

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pillard, Cornelia T.	2. Court or Organization U.S. Court of Appeals for the District of Columbia Circuit	3. Date of Report 05/13/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Chambers of the Hon. Cornelia T.L. Pillard United States Court of Appeals for the District of Columbia Circuit 333 Constitution Ave. N.W. Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2015	Georgetown University Law Center -- law faculty salary
2. 2015	The New York Review of Books -- freelance writer fees
3. 2015	Basic Books -- book royalty advance
4. 2015	The New York Times -- freelance writer fees
5. 2015	The Nation -- freelance writer fees
6. March 2015	Gustavus Adolphus College, Honorarium \$6000
7. April 2015	University of Pittsburgh, Honorarium \$2000
8. May 2015	University of Chicago, Honorarium \$250
9. May 2015	Minnesota Committee on Foreign Relations, Honorarium \$2000

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Boston University School of Law	April 16-18, 2015	Boston, MA	Homer Albers Prize Moot Court Competition	Travel, lodging, food

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2.	Administrative Office of the United States Courts	June 23-26, 2015	Philadelphia, PA	2015 D.C. Circuit Judicial Conference	Travel, lodging, food
3.	Indiana University Maurer School of Law	Nov. 13-15, 2015	Bloomington, IN	Sherman Minton Moot Court Finals	Travel and food
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. American Century Ultra Fund Mutual Fund	A	Int./Div.	K	T					
2. Barclays Ipath ETN ETF		None	K	T					
3. Blackrock Muniassests Fund Mutual Fund	C	Int./Div.	L	T					
4. Cohen & Steers Institutional Realty Mutual Fund	B	Int./Div.	L	T					
5. Cohen & Steers Realty Focus Mutual Fund	B	Int./Div.	L	T					
6. Ishares Dow Jones US Telecom Fund ETF	A	Int./Div.	J	T					
7. Ishares Iboxx S Investment Grade Corp Bond Fund ETF	A	Int./Div.	J	T	Sold (part)	01/08/15	J	A	
8.					Sold (part)	10/05/15	J	A	
9. Ishares Intermediate Credit Bond ETF	A	Int./Div.	K	T	Sold (part)	01/08/15	J	A	
10. Ishares JP Morgan Emerging MKTS Bond ETF	B	Int./Div.	L	T					
11. Ishares MSCI EAFE Index Fund ETF	A	Int./Div.	K	T					
12. Ishares Russell 1000 Growth Fund ETF	B	Int./Div.	L	T					
13. Ishares Russell 1000 Value ETF	C	Int./Div.	M	T					
14. Ishares Russell Mid Cap Index Fund ETF	A	Int./Div.	K	T					
15. Ishares S&P Midcap 400 Growth Fund ETF	B	Int./Div.	M	T	Buy (add'l)	02/23/15	J		
16.					Buy (add'l)	08/10/15	J		
17.					Sold (part)	10/05/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Ishares S&P Midcap 400 Value Index Fund ETF	B	Int./Div.	L	T	Buy (add'l)	02/23/15	J		
19.					Buy (add'l)	08/10/15	J		
20.					Sold (part)	10/05/15	J	A	
21. Ishares S&P National AMT Free Muni Bond Fund ETF	B	Int./Div.	L	T					
22. Ishares S&P Small Cap 600 Growth Fund ETF	A	Int./Div.	L	T	Buy (add'l)	02/23/15	J		
23.					Buy (add'l)	08/10/15	J		
24.					Sold (part)	10/05/15	J	A	
25. Ishares S&P Small Cap 600 Value Fund ETF	B	Int./Div.	L	T	Buy (add'l)	02/23/15	J		
26.					Buy (add'l)	08/10/15	J		
27.					Sold (part)	10/05/15	J	A	
28. Ishares U.S. Preferred Stock ETF	C	Int./Div.	L	T					
29. Janus Investment Mutual Fund	A	Int./Div.	L	T					
30. Pimco 1-5 Year U.S. Tips Index Fund	A	Int./Div.	K	T					
31. Royce Total Return Mutual Fund	A	Int./Div.	K	T					
32. SPDR Barclays High Yield Bond Fund ETF (X)	A	Int./Div.	J	T	Buy	01/08/15	J		
33. SPDR Barclays Short Term High Yield Fund ETF	B	Int./Div.	K	T					
34. SPDR Consumer Discretionary Select Sector Fund ETF	A	Int./Div.	K	T	Buy (add'l)	02/23/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Buy (add'l)	08/10/15	J		
36.					Sold (part)	10/05/15	J	A	
37. SPDR Consumer Staples Select Sector Fund ETF	A	Int./Div.	K	T	Sold (part)	01/08/15	J	A	
38. SPDR Energy Select Sector Fund ETF	A	Int./Div.	K	T	Buy (add'l)	10/05/15	J		
39. SPDR Euro Stoxx 50 ETF	B	Int./Div.	K	T					
40. SPDR Financial Select Sector Fund ETF	A	Int./Div.	K	T	Buy (add'l)	02/23/15	J		
41.					Buy (add'l)	08/10/15	J		
42.					Sold (part)	01/08/15	J	A	
43. SPDR Health Care Select Sector Fund ETF	A	Int./Div.	K	T	Buy (add'l)	02/23/15	J		
44.					Buy (add'l)	08/10/15	J		
45. SPDR Industrial Select Sector Fund ETF	A	Int./Div.	K	T	Buy (add'l)	02/23/15	J		
46. SDPR Materials Select Sector Fund ETF	A	Int./Div.	J	T					
47. SPDR S&P Dividend ETF	B	Int./Div.	L	T					
48. SPDR Technology Select Sector Fund ETF	B	Int./Div.	L	T	Buy (add'l)	02/23/15	J		
49.					Buy (add'l)	08/10/15	J		
50. T Rowe Price New Asian Mutual Fund	A	Int./Div.	K	T					
51. T Rowe Price Spectrum Income Mutual Fund	C	Int./Div.	L	T					

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52. Vanguard Emerging Markets Stock Index Fund ETF	C	Int./Div.	M	T	Buy (add'l)	01/08/15	J		
53.					Buy (add'l)	02/23/15	J		
54.					Buy (add'l)	08/10/15	J		
55.					Buy (add'l)	10/05/15	J		
56. Vanguard FTSE Developed Markets Fund ETF	C	Int./Div.	M	T	Buy (add'l)	01/08/15	J		
57.					Buy (add'l)	02/23/15	J		
58.					Buy (add'l)	08/10/15	J		
59.					Buy (add'l)	10/05/15	J		
60. Vanguard Reit Index Fund ETF	A	Int./Div.	K	T					
61. Wisdomtree Emerging Mkts ETF	B	Int./Div.	K	T					
62. Wisdomtree Managed Futures Strategy Fund		None	L	T					
63. Wisdomtree Total Dividend Fund ETF	B	Int./Div.	L	T					
64. IRA-BDA #1	A	Distribution	K	T					
65. --Fidelity Canada Mutual Fund	A	Int./Div.	J	T					
66. --Fidelity Diversified International Mutual Fund	A	Int./Div.	J	T					
67. --Fidelity Select Technology Mutual Fund	A	Int./Div.	J	T					
68. IRA-BDA #2	A	Distribution	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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69. --Fidelity Canada Mutual Fund	A	Int./Div.	J	T					
70. --Fidelity Diversified International Mutual Fund	A	Int./Div.	J	T					
71. --Fidelity Select Technology Mutual Fund	A	Int./Div.	J	T					
72. Laudus U.S. Large Cap Mutual Fund		None			Sold (part)	04/23/15	J	A	
73.					Sold (part)	06/08/15	J	A	
74.					Sold	09/29/15	J	A	
75. Suntrust cash accounts	A	Interest	J	T					
76. Schwab International Index Mutual Fund		None			Sold (part)	02/11/15	J	A	
77.					Sold	03/09/15	J	A	
78. Schwab S&P 500 Index Mutual Fund		None			Sold (part)	02/11/15	J	A	
79.					Sold	09/29/15	J	A	
80. Schwab Small Cap Index Mutual Fund		None			Sold	09/29/15	J	A	
81. Schwab Total Stock Market Fund	A	Int./Div.	J	T					
82. Vanguard Windsor II Mutual Fund	E	Int./Div.	N	T					
83. Vanguard Windsor Mutual Fund	G	Int./Div.	P1	T					
84. Fidelity Magellan Mutual Fund	E	Int./Div.	O	T					
85. Fidelity Low Priced Stock Mutual Fund	E	Int./Div.	O	T					

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86. Metzler/Payden European Emerging Markets Mutual Fund (Y)									
87. Alphaclone Alternative Alpha ETF (X)		None			Buy	06/15/15	J		
88.					Sold	09/30/15	J	A	
89. Schwab U.S. REIT ETF (X)		None			Buy	09/30/15	J		
90.					Sold	10/29/15	J	A	
91. Schwab Emerging Markets Equity ETF (X)	A	Int./Div.	J	T	Buy	09/30/15	J		
92.					Sold (part)	10/29/15	J	A	
93. Schwab International Equity ETF (X)	A	Int./Div.	J	T	Buy	09/30/15	J		
94.					Sold (part)	10/29/15	J	A	
95. Schwab U.S. Aggregate Bond Fund (X)	A	Int./Div.			Buy	09/30/15	J		
96.					Sold	10/29/15	J	A	
97. Schwab Health Care Mutual Fund (X)	A	Int./Div.	J	T	Buy	06/15/15	J		
98. Powershares Emerging Markets ETF (X)	A	Int./Div.	J	T	Buy	10/29/15	J		
99. Schwab U.S. Broad Market ETF (X)	A	Int./Div.	J	T	Buy	09/30/15	J		
100. Schwab U.S. TIPS ETF (X)	A	Int./Div.	J	T	Buy	10/29/15	J		
101. TIAA-CREF Lifecycle 2020 Retirement Fund (X)		None	K	T	Buy	05/11/15	K		
102. TIAA-CREF Large Cap Growth Fund (X)	A	Int./Div.	J	T					

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103. Ameritas Principal Plan Plus - DC529 (X)	A	Int/Div.	J	T					
104. State Street Equity Index - DC529 (X)		None	K	T					
105. NY 529 Moderate Age-Based Option: Income Portfolio (X)		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Pillard, Cornelia T.

Date of Report

05/13/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Pillard, Cornelia T.

Date of Report

05/13/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Cornelia T. Pillard**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544