

**FINANCIAL DISCLOSURE REPORT
INITIAL FILING**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Connelly, Rebecca B.	2. Court or Organization US Bankruptcy Court for the Western District of Virginia	3. Date of Report 12/31/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2012
7. Chambers or Office Address 116 North Main Street Harrisonburg VA 22802		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ **NONE** *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ **NONE** *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2010	Standing Chapter 13 Trustee Commission and Chapter 12 Commissions	\$189,678.00
2. 2011	Standing Chapter 13 Trustee Commission	\$194,446.84
3. 2011	Chapter 12 Trustee Commission	\$600.00
4. 2012	Standing Chapter 13 Trustee Commission	\$81,045.80
5. 2012	Chapter 12 Trustee Commission	\$1,024.24
6.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Baylor University Honorarium \$1613.00
2. 2011	Washington and Lee University Salary
3. 2012	Washington and Lee University Salary
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of Botetourt savings account	A	Interest	J	T					
2. Cornerstone Bank CD of dependent son	A	Interest	J	T					
3. Cornerstone Bank CD of dependant daughter	A	Interest	J	T					
4. Cornerstone Bank CD of spouse	A	Interest	J	T					
5. Cornerstone Bank stock of spouse	A	Interest	K	T					
6. Cornerstone Bank savings account of spouse	A	Interest	J	T					
7. SunTrust Bank joint money market bank account	A	Interest	K	T					
8. SunTrust Bank joint checking account	A	Interest	J	T					
9. Ameriprise Money Market Acct	A	Interest	J	T					
10. Ameriprise AIM Invesco Equity & Inc Fund	A	Dividend	K	T					
11. Ameriprise Columbia Funds Account	A	Dividend	N	T					
12. Ameriprise Columbia Pacific Mutual Fund	A	Dividend	J	T					
13. Ameriprise Gabelli Asset Mutual Fund	A	Dividend	J	T					
14. Ameriprise Metro West Bond Fund	A	Dividend	J	T					
15. Ameriprise Putnam US Gov Inc Mutual Fund	A	Dividend	J	T					
16. Ameriprise Quaker Mid Cap Value Fund	A	Dividend	J	T					
17. Ameriprise Virtus Small Cap Core Fund	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. TRP Mid Cap Growth fund	A	Dividend	K	T					
19. TRP Spectrum Income fund	A	Dividend	K	T					
20. Vanguard Tax Mangd Balanced Fund	A	Dividend	K	T					
21. Vanguard Target Ret 2015 mutual fund	A	Dividend	K	T					
22. Vanguard Target Ret 2020 mutual fund	A	Dividend	K	T					
23. Vanguard Vanguard Balanced Index mutual fund	A	Dividend	K	T					
24. Vanguard Wellesley Inc Fund mutual fund	A	Dividend	K	T					
25. Vanguard Wellington Fund mutual fund	A	Dividend	K	T					
26. Janney Montgomery mutual fund for dependant daughter UGTMA	A	Dividend	J	T					
27. Janney Montgomery mutual fund for dependant son UGTMA	A	Dividend	J	T					
28. Janney Montgomery Advantage Insured Sweep Fund	A	Interest	J	T					
29. Janney Montgomery Equities Fund	A	Dividend	L	T					
30. Janney Montgomery Fixed Inc Bonds Fund	A	Dividend	J	T					
31. Janney Montgomery Fixed Inc Mutual Funds Acct	A	Dividend	K	T					
32. Government I Bonds	A	Dividend	J	T					
33. Government I Bond	A	Dividend	J	T					
34. Gov Series EE Bonds	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Government Series EE Bonds	A	Dividend	J	T					
36. Government Series EE Bonds	A	Dividend	J	T					
37. Government Series EE Bonds	A	Dividend	J	T					
38. TIAA Cref Retirement Account	A	Dividend	O	T					
39. Virginia 529 account (age based)	A	Dividend	J	T					
40. Virginia 529 account (age based)	A	Dividend	J	T					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Rebecca B. Connelly**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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