

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) KERN, TERENCE C.	2. Court or Organization USDC/NDOK	3. Date of Report 05/10/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address The Federal Building 224 South Boulder Ave., Rm 241 Tulsa, OK 74103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Oil & Gas Leases:									Heading for lines 2-17
2. ----L Tuley, Garvin Co, OK	A	Royalty	J	W					
3. ----Lash, Murray Co, OK	A	Royalty	J	W					
4. ----Crump, Murray Co, OK	A	Royalty	J	W					
5. ----Floyd, Garvin Co, OK	B	Royalty	J	W					
6. ----Gibson, Garvin Co, OK	A	Royalty	J	W					
7. ----Muncrief, Garvin Co, OK	A	Royalty	J	W					
8. ----Beers Lite, Dewey Co, OK	C	Royalty	J	W					
9. ----Kenneth, Dewey Co, OK	A	Royalty	J	W					
10. ----Edna, Dewey Co, OK	A	Royalty	J	W					
11. ----Stanton, Dewey Co, OK	A	Royalty	J	W					
12. ----Kimball, Major Co, OK	A	Royalty	J	W					
13. ----Miller, Major Co, OK	B	Royalty	J	W					
14. ----Spark, Bensch, Major Co, OK	A	Royalty	J	W					
15. ----BcWk, Logan Co, OK	A	Royalty	J	W					
16. ----Royalty Int, Custer Co, OK	D	Royalty	J	W					
17. ----Royalty Int, Stephens Co, OK	A	Royalty	J	W					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. BROKERAGE ACCOUNT # 1									Heading for lines 19-29
19. --UBS Bank USA Dep Acct	A	Interest	K	T					(Active Asset Money Fund)
20. --Access Midstream					Merged (with line 29)	02/05/15	K		Now Williams Partners
21. --Breitburn	A	Dividend	K	T					
22. --Dow Chemical	A	Dividend	J	T					
23. --Enterprise Products Partner LP MLP	A	Distribution	K	T					
24. --Gilead Science	A	Dividend	L	T					
25. --Hi-Crush	A	Distribution			Sold	05/05/15	K	D	
26. --ONEOK Partners Lp	A	Dividend	K	T					
27. --SPDR S&P 500 ETF TR	A	Dividend			Buy	01/09/15	K		
28.					Sold	05/05/15	K		
29. --Williams Partners LP MLP	B	Distribution	K	T					from Merger line 20
30. BROKERAGE ACCOUNT # 2									Heading for lines 31-39
31. --UBS Bank USA Deposit Acct	A	Interest	L	T					(Active Asset Money Fund)
32. --Apple, Inc.	A	Interest	K	T	Buy	08/21/15	K		
33. --Cushing MLP Total Return Fund		None			Sold	01/28/15	K		
34. --CVR Refining	B	Distribution	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. --General Electric	A	Dividend	K	T	Buy (add'l)	08/21/15	J		
36. --Intel Corp.	A	Interest	J	T	Buy	12/08/15	J		
37. --Noble Energy		None			Sold	05/08/15	K		
38. --Pioneer National		None			Sold	05/08/15	K		
39. --Plains All American Pipeline		None	K	T	Sold (part)	05/08/15	K		
40. BROKERAGE ACCOUNT # 3									Heading for lines 41-73
41. --Fidelity Investment Fund Deposit Acct	A	Interest	J	T					(Active Asset Money Fund)
42. --Active Power		None	J	T	Buy (add'l)	05/21/15	J		
43.					Buy (add'l)	08/09/15	J		
44. --Advanced Semiconductor	A	Interest	J	T	Buy	04/27/15	J		
45.					Buy (add'l)	05/21/15	J		
46. --Alcatel-Lucent		None			Sold	10/29/15	J		
47. --Alcoa	A	Interest			Sold	01/21/15	J	A	
48. --Array Biopharma	A	Interest			Buy	04/27/15	J		
49.					Sold	06/22/15	J	A	
50. --Atmel	A	Interest			Buy	04/24/15	J		
51.					Buy (add'l)	08/21/15	J		

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52.					Sold	09/14/15	J	A	
53. --AU Optronics Corp	A	Interest	J	T	Buy	04/27/15	J		
54.			J	T	Buy (add'l)	05/01/15	J		
55. --Breitburn		None	J	T	Buy (add'l)	01/16/15	J		
56. --Dow Chemical	A	Interest			Buy	08/21/15	J		
57.					Sold	09/14/15	J	A	
58. --Eagle Rock Energy Partners	A	Interest			Merged (with line 72)	10/08/15	J		Now Vanguard
59. --General Electric	A	Interest	J	T	Buy	08/21/15	J		
60. --Jones Energy	A	Interest	J	T	Buy (add'l)	03/12/15	J		
61. --Kinder Morgan Inc.	A	Interest			Buy	10/12/15	J		
62.					Sold	10/23/15	J		
63. --Lucas Energy Inc		None	J	T					
64. --McDermott International		None			Sold	05/26/15	J		
65. --Powersecure Intl. Inc.	A	Interest			Sold	06/24/15	J	B	
66. --Profire		None	J	T					
67. --Rex Energy		None	J	T					
68. --Sonus Networks		None	J	T	Buy (add'l)	02/18/15	J		

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69.					Buy (add'l)	03/24/15	J		
70. --TherapeuticsMD	A	Interest			Sold	04/02/15	J	B	
71. --Unilife Corp	A	Interest			Sold	01/13/15	J	A	
72. --Vanguard	A	Interest	J	T					See line 58
73. --Vantage Drilling		None	J	T					
74. OK 529 College Savings Plan Aggressive Managed Allocat-no control	A	Interest	K	T	Buy	08/18/15	J		
75.					Buy (add'l)	12/15/15	J		
76. Chambers-BIP Kodiak	E	Distribution	M	T					
77. Bank of Oklahoma accounts	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ TERENCE C. KERN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544