

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stoll, Kara F.	2. Court or Organization United States Court of Appeals for the Federal Circuit	3. Date of Report 09/25/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Chambers of the Honorable Kara Fernandez Stoll United States Court of Appeals for the Federal Circuit 717 Madison Place, N.W. Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	Self-employed attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. New York Intellectual Property Law Association	April 1-2	New York, NY	Annual Judges Dinner	Transportation, Lodging, Food
2. Federal Circuit Bar Association	May 19-20	Wilmington, DE	Bench and Bar Conference	Transportation, Lodging, Food
3. International Trademark Association	May 21-23	Orlando, FL	Meeting	Transportation, Lodging, Food
4. The University of Michigan	July 20-23	Mackinac Island, MI	ICLE Seminar	Transportation, Lodging, Food
5. State Bar of Georgia	September 16-18	Amelia Island, FL	IP Law Institute Seminar	Transportation, Lodging, Food

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6.	Houston Intellectual Property Law Association	October 5-9	Galveston, TX	Institute on IP Law	Transportation, Lodging, Food
7.	American Intellectual Property Law Association	October 28	Washington, DC	Annual AIPLA meeting	Dinner

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo	Mortgage of Rental Property #1 (Part VII, Line 33)	O
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. PNC Bank - Accounts	A	Interest	M	T					
2. Wells Fargo - Accounts	A	Interest	J	T					
3. Finnegan Capital Accounts	E	Distribution			Redeemed	07/01/16	J	A	
4. American Funds College 2027 Fund - 529	A	Int./Div.	M	T					
5. American Funds College 2024 Fund - 529	A	Int./Div.	M	T					
6. American Funds College 2021 Fund - 529	A	Int./Div.	M	T					
7. College Counts Age-Based Moderate 9-12 Years C	A	Int./Div.	J	T					
8. IRA #1	B	Dividend	K	T					
9. - Vanguard 500 Index Fund Admiral Shares									
10. 401(k) Account #1	A	Dividend	P1	T					
11. -Vanguard Target Retirement 2035									
12. iShares Russell 2000 Growth ETF	A	Dividend	J	T					
13. PowerShares FTSE RAFI US 1000 Portfolio Fund	A	Dividend	K	T					
14. SPDR DJ Wilshire REIT ETF	A	Dividend	J	T					
15. Vanguard Mid-Cap Value Index Fund	A	Dividend	J	T					
16. Vanguard Growth Index Fund	A	Dividend	K	T					
17. American Century Small Cap Value Inv	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Mainstay Epoch Global Equity Yield Fund	A	Dividend	J	T					
19. Oppenheimer Developing Markets Fund	A	Int./Div.	J	T					
20. Oppenheimer International Growth Fund	A	Dividend	J	T					
21. T Rowe Price Mid-Cap Growth Fund	A	Dividend	J	T					
22. Fidelity Advisor Floating Rate High Income Fund	A	Dividend	J	T					
23. Western Asset Total Return Unconstrained A	A	Dividend	J	T					
24. T Rowe Price VA - Tax Free Bond	A	Dividend	K	T					
25. Templeton Global Bond Fund	A	Dividend	J	T					
26. 401(k) Account #2									
27. - Boeing Lifecycle 2030 Fund	A	Dividend	J	T					
28. - SSgA S&P 500 Index Fund	A	Dividend	J	T					
29. - PIMCO Global Bond Fund	A	Dividend	J	T					
30. - Boeing VIP Stable Value Fund	A	Dividend	J	T					
31. - Boeing International Companies Fund	A	Dividend	J	T					
32. - Boeing US Small/Mid Companies Fund	A	Dividend	J	T					
33. Rental Property #1 (Sussex County, DE) (X)	E	Rent	P1	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kara F. Stoll**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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