

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) REINHARD, PHILIP G.	2. Court or Organization NDIL - WESTERN DIVISION	3. Date of Report 05/06/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 327 SOUTH CHURCH STREET ROOM 6100 ROCKFORD, ILLINOIS 61101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	TRUSTEE	TRUST #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2014	Illinois Judges' Retirement System & Illinois Municipal Retirement Fund - Vested. Benefits began 1/12/1996.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Illinois Judges' Retirement System	\$74,369.04
2. 2014	Illinois Municipal Retirement Fund	\$15,098.66
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014-Int.	Substitute Teacher - Harlem Consolidated School District
2. 2014	Teachers Retirement System of the State of Illinois
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regal Beloit Corporation Common Stock - Trust #1	B	Dividend	M	T					
2. EQTCorp, formerly listed as Equitable Resources Common Stock - Trust #1	A	Dividend	N	T					
3. Vanguard - Index Total Stock Market Fund	D	Dividend	N	T					
4. Vanguard - Index Total Stock Market Fund - Trust #1	A	Dividend	K	T					
5. E*Trade Clearing LLC Brokerage Account - Trust #1	A	Interest	K	T					
6. Vanguard Prime Money Market Fund	A	Dividend	J	T					
7. Vanguard Prime Money Market Fund - Trust #1	A	Dividend	K	T					
8. Gold - Krugerrands		None	M	T					
9. Johnson & Johnson Corp. Common Stock - Trust #1	B	Dividend	L	T					
10. MGE Energy Inc. Common Stock - Trust #1	B	Dividend	K	T					
11. 3M Corp. Common Stock - Trust #1	A	Dividend	L	T					
12. Exco Resources Inc. Common Stock - Trust #1	A	Dividend	J	T	Buy (add'l)	07/18/14	J		
13. Rights to purchase add'l shares		None			Sold	01/02/14	J	A	
14. Vanguard Intn. Eq. Ind Fd Emr. Mkt. ETF - Trust #1	A	Dividend	K	T					
15. Vanguard Intermediate-term Tx. Muni bonds - Admiral	D	Interest	N	T					
16. Alpine Bank Financial Accounts	A	Interest	L	T					
17. Alpine Bank Financial Account - Trust #1	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Vanguard Intermediate-term Tx. Muni bonds - Inv - Trust #1	B	Interest	M	T					
19. Abraxas Pete, Common Stock - Trust #1		None	J	T	Buy	11/12/14	J		
20. WisdomTree Emerging Markets Small Cap Div. Fund - Trust #1	A	Dividend	K	T					
21. Devon Energy Corp. Common Stock - Trust #1	A	Dividend	K	T	Buy (add'l)	10/17/14	J		
22. Ally Bank - Trust #1	B	Interest	M	T					
23. Ally Bank	B	Interest	M	T					
24. Energy Transfer Partners LP Units - Trust #1	A	Dividend	K	T					
25. Apple Inc. Common Stock - Trust #1	A	Dividend	K	T					
26. Vanguard REIT Index Fund - Trust #1	A	Dividend	K	T					
27. Novartis AG Common Stock - Trust #1		None	K	T	Buy	10/12/14	K		
28. Vanguard ST Invest. Grade Fund	A	Dividend	L	T	Buy	07/28/14	L		
29. Vanguard ST Invest. Grade Fund - Trust #1	A	Dividend	L	T	Buy	07/28/14	L		
30. Polaris Global Value Fund	A	Dividend	K	T	Buy	02/18/14	K		
31. T.Rowe Price Health Sciences Fund	C	Dividend	K	T	Buy	02/18/14	K		
32. Vanguard Capital Opportunity Fund	A	Dividend	K	T	Buy	07/23/14	J		
33.					Buy (add'l)	11/13/14	J		
34. Vanguard Healthcare Fund	B	Dividend	K	T	Buy	07/23/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Buy (add'l)	11/13/14	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Article VII, No. 2 shows in B.(1) income in Amount Code A. My 2013 Financial Disclosure Report is amended in Article VII, No. 2, B.(1) income in Account Code A also. The dividend for EQT Stock was significantly reduced for the years 2013 and 2014 compared to prior years.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **PHILIP G. REINHARD**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544