

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cox, Susan E.	2. Court or Organization US District Court	3. Date of Report 08/11/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge -Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 219 South Dearborn Ave. Room 1334 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Custodian	Custodial Account #1
2.	Custodian	Custodial Account #2
3.	Director	Helping Hand Rehabilitation Center
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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Name of Person Reporting

Cox, Susan E.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Baxter International - Salary & Bonus

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First National Bank of LaGrange Accounts	A	Interest	K	T					
2. Fifth Third Bank Account	A	Interest	J	T					
3. Wells Fargo Bank Account	A	Interest	J	T					
4. US Employees Credit Union Account	A	Interest	J	T					
5. Baxter International - Exercisable Stock Options		None	N	T					
6. Baxter International - Unvested Stock Options		None	M	T					
7. Baxter Int'l Common	B	Dividend	M	T	Sold (part)	09/30/13	J	A	
8. Edward Lifesciences Common		None	J	T					
9. Farm - Wilson County, KS (1/12th Interest)	A	Rent	K	W					
10. BAXTER INT'L 401K PLAN									
11. Stable Income Fund	B	Dividend	L	T					
12. Composite Fund	E	Dividend	M	T					
13. S&P 500 Equity Index Fund	E	Dividend	L	T					
14. General Equity Fund	D	Dividend	K	T					
15. International EAFE Equity Index Fund	D	Dividend	L	T					
16. Small Cap Fund	D	Dividend	L	T					
17. Baxter Int'l Common	D	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. BROKERAGE ACCOUNT #1									
19. Aflac Inc.	A	Dividend	K	T	Buy	01/02/13	J		
20. Annaly Capital Mgt. Unit Trust	B	Dividend			Sold (part)	06/26/13	J	A	
21.					Sold	12/24/13	J	A	
22. Apache Corp	A	Dividend	J	T	Buy	12/24/13	J		
23. Berkshire Hathaway Inc C1 B		None	J	T	Buy	12/24/13	J		
24. Chemtrade Logistics	A	Dividend	J	T					
25. CSX Corp	A	Dividend	K	T	Buy	01/02/13	K		
26. China Mobile Limited	A	Dividend	J	T	Buy	12/24/13	J		
27. EBAY Common		None	J	T					
28. Fidelity Cash Reserves Fund	A	Interest	J	T					
29. Fidelity Floating Rate High Income	A	Int./Div.	J	T	Buy	12/24/13	J		
30. Fidelity High Income	A	Dividend			Sold	06/26/13	J	A	
31. Fidelity Investment Grade Bond Fund	A	Int./Div.	J	T	Sold (part)	01/02/13	K	A	
32. Fidelity New Markets Income	A	Int./Div.			Sold	12/24/13	J	A	
33. Fidelity Select Natural Gas	A	Dividend	J	T	Buy	06/28/13	J		
34. Fidelity Short Term Bond Fund	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Harbor Bond Institutional Fund	A	Int./Div.			Sold	12/24/13	J	A	
36. Las Vegas Sands Corp	A	Dividend	K	T	Buy	06/28/13	J		
37. Matthews Asia Dividend	A	Dividend	J	T					
38. Matthews China Fund	B	Dividend	K	T	Buy	01/02/13	J		
39.					Buy (add'l)	12/12/13	J		
40. McDonalds Corp	A	Dividend	J	T					
41. Nestle	A	Dividend	J	T	Buy	12/24/13	J		
42. Northern Property Trust	A	Dividend	J	T					
43. Oakmark International	A	Dividend	K	T	Buy	06/28/13	K		
44. Pembina Pipeline	A	Dividend	J	T					
45. PIMCO Investment Grade Corp Bond Fund	B	Int./Div.			Sold (part)	06/26/13	J	A	
46.					Sold	12/24/13	J	A	
47. SPDR Financial Sector ETF	A	Dividend	J	T	Buy	06/28/13	J		
48. SPDR Gold Trust Shares		None			Sold	01/02/13	J	B	
49. Templeton Global Bond Fund	A	Int./Div.	J	T	Sold (part)	12/24/13	J	A	
50. BROKERAGE ACCOUNT #2									
51. Abbvie Inc	A	Dividend			Sold	06/26/13	J	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Abbott Laboratories	A	Dividend	J	T					
53. Annaly Capital Management	A	Dividend			Sold	12/24/13	J	A	
54. Apple Inc. Common	A	Dividend	J	T					
55. Baidu Inc		None	K	T	Buy	06/28/13	J		
56. Baxter Int'l Common	A	Dividend	J	T					
57. Canadian Imperial Bank of Commerce	A	Dividend	J	T	Buy	06/28/13	J		
58. Direxion 20+ Year Treasury Bear		None	J	T	Buy	12/24/13	J		
59. Energy Transfer Partners	A	Dividend	K	T					
60. Express Scripts		None	J	T	Buy	12/24/13	J		
61. Fidelity Floating Rate High Income	A	Int./Div.	J	T	Buy	12/24/13	J		
62. Fidelity Muni Money Market Fund	A	Interest	J	T					
63. Fidelity High Income	A	Int./Div.	J	T	Sold (part)	10/16/13	J	A	
64. Fidelity Investment Grade Bond Fund	A	Int./Div.			Sold	01/02/13	J	A	
65. IBM Common	A	Dividend	K	T					
66. JPMorgan Chase	A	Dividend	J	T					
67. Kohlberg Kravis Roberts	A	Dividend	J	T	Buy	06/28/13	J		
68. Magellan Midstream Partners	A	Dividend	K	T	Buy	01/02/13	K		

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69. Matthews China Fund	B	Dividend	K	T	Buy	01/02/13	K		
70. Medical Properties Trust	B	Dividend	K	T	Buy	01/02/13	K		
71. Petro China	A	Dividend	K	T	Buy	01/02/13	K		
72. Pioneer High Yield Bond	A	Interest	J	T	Buy	12/24/13	K		
73. Powershares ETF Int'l Dividend	A	Dividend	J	T					
74. Powershares Nat'l Muni Bond Fund	B	Int./Div.			Sold	12/24/13	K	A	
75. SPDR Gold Trust		None			Sold	01/02/13	J	A	
76. Vanguard Emerging Markets	A	Dividend	J	T	Buy	01/02/13	K		
77.					Sold (part)	12/24/13	J	A	
78. Vanguard Natural Resources	A	Dividend			Buy	01/02/13	K		
79.					Sold	06/26/13	K	B	
80. Vanguard Short-Term Bond ETF	A	Int./Div.			Sold	06/26/13	J	A	
81. BROKERAGE ACCOUNT #3									
82. Apple Common	A	Dividend	J	T					
83. Direxion 20+ Year Treasury Bear		None	J	T	Buy	12/24/13	J		
84. Fidelity Cash Fund	A	Interest	J	T					
85. Fidelity Floating Rate High Income	A	Int./Div.	J	T	Buy	12/24/13	J		

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86. Fidelity High Income	A	Int./Div.	J	T					
87. Fidelity Investment Grade Bond Fund	A	Int./Div.			Sold	01/02/13	J	A	
88. Fidelity New Markets Income	A	Int./Div.	J	T	Sold (part)	01/02/13	J	B	
89.					Sold (part)	12/24/13	J	A	
90. Fidelity Select Natural Gas	A	Dividend	J	T	Buy	06/28/13	J		
91. Ishares S&P US Preferred Stock Index	A	Dividend			Buy	12/24/13	K		
92.					Sold	12/24/13	K	A	
93. Paychex Inc	A	Dividend			Sold	12/24/13	J	B	
94. Pimco Invest Grade Corp Bond Fund	A	Int./Div.			Sold	12/24/13	J	A	
95. Pioneer High Yield Bond	A	Interest	J	T	Buy	12/24/13	J		
96. S&P Midcap 400	A	Dividend	J	T	Buy	12/24/13	J		
97. BROKERAGE ACCOUNT #4									
98. Apple Common	A	Dividend	J	T					
99. Direxion 20+ Year Treasury Bear		None	J	T	Buy	12/24/13	J		
100. Fidelity Cash Fund	A	Interest	J	T					
101. Fidelity High Income Fund	A	Int./Div.	K	T	Buy (add'l)	12/24/13	J		
102. Fidelity Investment Grade Bond Fund	A	Int./Div.			Sold	01/02/13	J	A	

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103. Fidelity New Markets Income	A	Int./Div.	J	T	Sold (part)	01/02/13	J	A	
104.					Sold (part)	12/24/13	J	A	
105. Fidelity Select Natural Gas	A	Dividend	J	T	Buy	06/28/13	J		
106. Ishares S&P US Preferred Stock Index	A	Dividend			Buy	01/02/13	K		
107.					Sold	12/24/13	K	A	
108. Paychex Inc	A	Dividend			Sold	12/24/13	J	B	
109. S&P Midcap 400	A	Dividend	J	T	Buy	12/24/13	J		
110. Vanguard Short Term Bond ETF	A	Int./Div.			Sold	06/26/13	J	A	
111. CUSTODIAL ACCOUNT #1									
112. First National Bank of LaGrange Account	A	Interest	J	T					
113. CUSTODIAL ACCOUNT #2									
114. First National Bank of LaGrange Account	A	Interest	J	T					
115. IL Bright Directions 529 Plan #1 - Fund 80A	B	Int./Div.	L	T					
116. IL Bright Directions 529 Plan #2 - Fund 60A	B	Int./Div.	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Cox, Susan E.

Date of Report

08/11/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Susan E. Cox

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544