

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Renn, Thomas M.	2. Court or Organization Bankruptcy Court-Oregon	3. Date of Report 06/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2012 to 12/31/2012
7. Chambers or Office Address U. S. Bankruptcy Court Wayne L. Morse U. S. Courthouse 405 East 8th Avenue, Suite 2600 Eugene, OR 97401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Owner		Thomas M. Renn, Trustee in Bankruptcy - sole proprietorship
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2012	US District Court - chapter 7 trustee fees (gross)	\$1,039.86
2. 2012	Thomas M. Renn, Trustee in Bankruptcy - case fees and expenses (gross)	\$225,814.80
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Oregon State Bar, Debtor-Creditor Section	4/26/2012 to 4/28/2012	Seattle, Washington	Northwest Bankruptcy Institute CLE (seminar speaker)	registration, transportation, hotel
2. Oregon State Bar, Debtor-Creditor Section	10/19/2012 to 10/20/2012	Portland, Oregon	Debtor-Creditor Section Annual Meeting and CLE (seminar speaker)	registration, hotel
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	California Lutheran University	tuition and fees; room and board	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Chase Bank (accounts)	A	Interest	J	T					
2. Oregon Community Credit Union (accounts)	A	Interest			Closed	12/31/12	J		
3. Umpqua Bank (accounts)	A	Interest	K	T					
4. US Bank (accounts)	A	Interest	L	T					
5. New York Life Insurance (cash value)	A	Int./Div.	J	T					
6. State Farm Universal Life	A	Interest	J	T					
7. Thrivent Financial for Lutherans Universal Life	B	Interest	K	T					
8. Thrivent Financial for Lutherans SEP-IRA	C	Int./Div.	K	T					
9. -Large Cap Stocks									
10. -Mid Cap Stocks									
11. -International Stocks (Y)									
12. -Partner Worldwide Allocation (X)									
13. -High Yield Bonds									
14. Thrivent Financial for Lutherans Traditional IRA	C	Int./Div.	K	T					
15. -Mid Cap Growth					Buy	4/19/12	J		
16. -Partner International Stock (Y)									
17. -Partner Worldwide Allocation (X)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Large Cap Growth					Buy	4/19/12	J		
19. -Large Cap Stock									
20. -High Yield Bonds									
21. Thrivent Financial for Lutherans Traditional IRA	D	Int./Div.	K	T					
22. -Large Cap Growth					Buy	4/19/12	J		
23. Thrivent Investment Management (Roth IRA) (Y)									
24. -Thrivent Aggressive Allocation Fund-A (Y)									
25. Thrivent Investment Management (Roth IRA)	A	Int./Div.	J	T					
26. -Thrivent Aggressive Allocation Fund-A					Buy	4/20/12	J		
27.									
28.									
29.									
30.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- (1) Part I, line 1. Thomas M. Renn, Trustee in Bankruptcy, sole proprietor business is no longer active, but I expect to receive future income from the business based on trustee's fees and expense reimbursement generated by successor bankruptcy trustees. I have no control over generation of income.
- (2) Part III-A, lines 1-2. Thomas M. Renn, Trustee in Bankruptcy, sole proprietor business is no longer active and involves no work on my part. I do expect to continue to receive chapter 7 trustee's fees and expenses from open cases as the successor trustee completes the work and makes distributions.
- (3) Part V, line 1. The Oregon State Bar Debtor-Creditor Section provided a catered reception for its members and guests following my investiture on January 6, 2012. The donors were anonymous, I was not involved in the solicitation of funds or the payment of the expenses, and I am not aware of the total costs which were kept confidential.
- (4) Part VII, lines 8-26. All funds listed are Thrivent Financial for Lutherans mutual funds, held within the listed Thrivent-managed IRAs.
- (5) Part VII, lines 11-12 and 16-17. Thrivent Financial for Lutherans discontinued the Partner International Stock funds and automatically converted the value to the Partner Worldwide Allocation fund.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas M. Renn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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