

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Durkin, Thomas M.	2. Court or Organization U.S. District Court - Northern District of Illinois	3. Date of Report 08/12/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Dirksen Federal Building 219 S. Dearborn Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-trustee and co-executor	
2.	Co-trustee and co-executor	
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2014-2015	received further return of partnership capital contribution from Mayer Brown LLP; remaining amount expected to be paid out in 2015
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Durkin, Thomas M.

Date of Report

08/12/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

Durkin, Thomas M.

Date of Report

08/12/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Durkin, Thomas M.

Date of Report

08/12/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CD Firstbank Puerto Rico	B	Interest	M	T					
2. CD Synovus Bank GA	A	Interest			Redeemed	02/13/14	M		
3. CD American Express Centuri	A	Interest	M	T					
4. CD General Electric Capital Bank	A	Interest	K	T					
5. CD General Electric Capital Bank	A	Interest	M	T					
6. ISHARES Russell 1000 Growth Fund	B	Dividend	M	T					
7. ISHARES Russell 1000 Value Fund	C	Dividend	M	T					
8. ISHARES Russell Midcap Growth Fund	A	Dividend	L	T					
9. ISHARES Russell Midcap Value Fund	B	Dividend	L	T					
10. ISHARES Gold Trust		None	K	T					
11. ISHARES Silver Trust		None	K	T					
12. ISHARES MSCI BRIC ETF Fund	A	Dividend			Sold	11/03/14	J		
13. ISHARES RS 2000 RS Growth Fund	A	Dividend			Sold	06/10/14	K	D	
14. ISHARES RS 2000 RS Value Fund	A	Dividend			Sold	06/10/14	K	D	
15. ISHARES MSCI Indonesia Fund	A	Dividend			Sold	11/03/14	J	A	
16. Chase accounts (various cash accounts)	A	Interest	K	T					
17. Northern Trust account (cash account)		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

Name of Person Reporting

Durkin, Thomas M.

Date of Report

08/12/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Nikrud LLC		None	J	T					
19. JP Morgan Investor Growth Fund	A	Dividend	J	T					
20. Investco American Franchise Fund	C	Dividend	L	T					
21. Merrill Lynch Bank Deposit Program (cash account)	B	Interest	N	T					
22. New Jersey St Trans TR, NJ Revenue Muni Bond	A	Interest			Redeemed	12/15/14	K		
23. New Jersey Economic Dev, NJ Revenue Muni Bond	B	Interest	L	T					
24. Toledo Ohi Cap IMPT, OH General Obligation Muni Bond	B	Interest	L	T					
25. Grapevine Texas Muni Bond	A	Interest	K	T					
26. Aiken Cnty SC Cons Sch Bond	B	Interest	K	T					
27. Eagle Garfld CO SD RE 50J Bond	B	Interest	K	T					
28. ISHARES MSCI Japan Fund	A	Dividend			Sold	10/29/14	J	A	
29. ISHARES Russell 1000 Growth Fund	B	Dividend	M	T					
30. ISHARES Russell 1000 Value Fund	B	Dividend	M	T					
31. ISHARES Russell Midcap Growth Fund	A	Dividend	L	T					
32. ISHARES Russell Midcap Value Fund	B	Dividend	L	T					
33. ISHARES MSCI EAFE Fund	A	Dividend			Sold	10/29/14	J	A	
34. ISHARES Gold Trust		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 13

Name of Person Reporting

Durkin, Thomas M.

Date of Report

08/12/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. ISHARES Silver Trust		None	J	T					
36. ISHARES MSCI BRIC ETF Fund	A	Dividend			Sold	06/10/14	J		
37. ISHARES RS 2000 Growth Fund	A	Dividend			Sold	06/10/14	J	A	
38. ISHARES RS 2000 Value Fund	A	Dividend			Sold	06/10/14	J		
39. ISHARES MSCI Indonesia Fund	A	Dividend			Sold	10/29/14	J		
40. ISHARES Russell 1000 Growth Fund	A	Dividend	K	T					
41. ISHARES Russell 1000 Value Fund	A	Dividend	K	T					
42. ISHARES Russell Midcap Growth Fund	A	Dividend	J	T					
43. ISHARES Russell Midcap Value Fund	A	Dividend	J	T					
44. ISHARES MSCI EAFE Fund	A	Dividend			Sold	11/03/14	J		
45. ISHARES Gold Trust		None	J	T					
46. ISHARES Silver Trust		None	J	T					
47. ISHARES MSCI BRIC ETF Fund	A	Dividend			Sold	11/03/14	J		
48. ISHARES RS Growth Fund	A	Dividend			Sold	06/13/14	J		
49. ISHARES RS 2000 Value Fund	A	Dividend			Sold	11/03/14	J		
50. Richland Cnty SC Muni Bond	A	Interest	K	T					
51. Eagle Garfld Co SD RE50J Bond	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 13

Name of Person Reporting

Durkin, Thomas M.

Date of Report

08/12/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. ISHARES MSCI Japan Fund	A	Dividend			Sold	11/03/14	J		
53. ISHARES MSCI Indonesia Fund	A	Dividend			Sold	11/03/14	L		
54. AUD Australian Govt, Australian Bond Taxable	C	Interest	K	T					
55. Cocoa Fla WTR&SWR REV, FL Revenue Muni Bond	A	Interest			Redeemed	10/01/14	K		
56. CD Bank of Baroda	A	Interest	M	T	Buy	02/04/14	M		
57. CD First Niagra Bank	A	Interest	M	T	Buy	10/29/14	M		
58. CD Key Bank Natl Assn	A	Interest	L	T	Buy	02/04/14	L		
59. CD Sallie Mae Bank/Salt	A	Interest	M	T	Buy	10/29/14	M		
60. CD Goldman Sachs Bk USA	A	Interest	L	T	Buy	02/04/14	L		
61. CD Discover Bank	A	Interest	M	T	Buy	10/29/14	M		
62. Cook Cnty Ill Sch Dist Municipal Bond	A	Interest	K	T	Buy	03/05/14	K		
63. ISHARES S&P Small Cap 600	A	Interest	J	T	Buy	06/13/14	J		
64. ISHARES S&P Small Cap 600	A	Interest	J	T	Buy	06/13/14	J		
65. Washington St Motor Bond	B	Interest	K	T	Buy	03/04/14	K		
66. Colorado St Brd Gov Univ Bond	B	Interest	L	T	Buy	03/20/14	L		
67. Oklahoma St Wtr Res Brd Bond	A	Interest	K	T	Buy	03/05/14	K		
68. Atlanta GA Bond	B	Interest	K	T	Buy	03/17/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

Durkin, Thomas M.

Date of Report

08/12/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Galveston Cnty Tex Pass Bond	A	Interest	K	T	Buy	12/15/14	K		
70. ISHARES S&P Small Cap Growth 600	A	Dividend	K	T	Buy	06/10/14	K		
71. ISHARES S&P Small Cap 600 Value	A	Dividend	K	T	Buy	06/10/14	K		
72. ISHARES S&P Small Cap 600 Growth	A	Dividend	K	T	Buy	06/10/14	K		
73. ISHARES S&P Small Cap 600 Value	A	Dividend	K	T	Buy	06/10/14	K		
74. SPDR S&P ETF Trust	A	Dividend	J	T	Buy	06/13/14	J		
75. ISHARES Russell 2000 ETF	A	Dividend	J	T	Buy	06/13/14	J		
76. ISHARES MSCI EAFE ETF	A	Dividend	J	T	Buy	06/13/14	J		
77. American Century Value Fund	A	Dividend	J	T	Buy	06/13/14	J		
78. Blackrock FDS	A	Dividend	J	T	Buy	06/13/14	J		
79. Blackrock Inter Index Fund	A	Dividend	J	T	Buy	11/14/14	J		
80. Delaware Group Emerging	A	Dividend	J	T	Buy	06/13/14	J		
81. Dodge & Cox Funds International	A	Dividend	J	T	Buy	09/22/14	J		
82. Dodge & Cox Income Fund	A	Dividend	J	T	Buy	10/03/14	J		
83. Federated Institutional High	A	Dividend	J	T	Buy	06/13/14	J		
84. Goldman Sachs Small Cap	A	Dividend	J	T	Buy	06/13/14	J		
85. Goldman Sachs Emerging Mkts	A	Dividend	J	T	Buy	06/13/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 13

Name of Person Reporting

Durkin, Thomas M.

Date of Report

08/12/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. John Hancock Income Fund	A	Dividend	J	T	Buy	06/13/14	J		
87. Oakmark Fund	A	Dividend	J	T	Buy	06/13/14	J		
88. JP Morgan IT US Equity I	A	Dividend	J	T	Buy	06/13/14	J		
89. JP Morgan TR II Core	A	Dividend	J	T	Buy	06/13/14	J		
90. JP Morgan TR I Mid Cap	A	Dividend	J	T	Buy	06/13/14	J		
91. JP Morgan TR I Small Cap	A	Dividend	J	T	Buy	06/13/14	J		
92. JP Morgan TR II High Yield	A	Dividend	J	T	Buy	06/13/14	J		
93. JP Morgan Short Duration Bond	A	Dividend	J	T	Buy	06/13/14	J		
94. JP Morgan Growth Advantage	A	Dividend	J	T	Buy	06/13/14	J		
95. JP Morgan TR I International	A	Dividend	J	T	Buy	06/13/14	J		
96. Massachusetts Investors	A	Dividend	J	T	Buy	06/13/14	J		
97. MFS Ser TR X Emerging Markets	A	Dividend	J	T	Buy	06/13/14	J		
98. MFS Research International	A	Dividend	J	T	Buy	06/13/14	J		
99. Pimco Short Term Fund	A	Dividend	J	T	Buy	06/13/14	J		
100. T Rowe Price New Income Fund	A	Dividend	J	T	Buy	06/13/14	J		
101. Vanguard Short Term Bond	A	Dividend	J	T	Buy	06/13/14	J		
102. Wells Fargo Advantage Funds	A	Dividend	J	T	Buy	06/13/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 13

Name of Person Reporting

Durkin, Thomas M.

Date of Report

08/12/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Franklin Moderate Allocation	A	Dividend	J	T					
104. Dodge & Cox Funds International	A	Dividend	J	T	Buy	11/17/14	J		
105. Goldman Sachs Small Cap	A	Dividend	J	T	Buy	12/12/14	J		
106. Goldman Sachs Small Cap	A	Dividend	J	T	Buy	07/28/14	J		
107. JP Morgan TR II High Yield	A	Dividend	J	T	Sold (part)	07/28/14	J		
108. JP Morgan Short Duration Bond	A	Dividend	J	T	Buy	07/28/14	J		
109. Pimco Short Term Fund	A	Dividend	J	T	Buy	07/28/14	J		
110. Vanguard Short Term Bond	A	Dividend	J	T	Sold (part)	11/14/14	J	A	
111. Wells Fargo Advantage Funds	A	Dividend	J	T	Sold (part)	09/19/14	J	A	
112. Cohen Steers Real Estate Securities	A	Dividend			Buy	06/13/14	J		
113. Cohen Steers Real Estate Securities	A	Dividend			Sold	11/17/14	J	A	
114. SPDR S&P 500 ETF Trust	A	Dividend	J	T	Buy	06/13/14	J		
115. SPDR S&P 500 ETF Trust	A	Dividend	J	T	Sold (part)	07/25/14	J	A	
116. JP Morgan TR I Emerging Markets	A	Dividend			Buy	06/13/14	J		
117. JP Morgan TR I Emerging Markets	A	Dividend			Sold	09/19/14	J		
118. Vanguard Sector Index	A	Dividend			Buy	06/13/14	J		
119. Vanguard Sector Index	A	Dividend			Sold	11/14/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 13

Name of Person Reporting

Durkin, Thomas M.

Date of Report

08/12/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Pimco Total Return	A	Dividend			Buy	06/16/14	J		
121. Pimco Total Return	A	Dividend			Buy	07/25/14	J		
122. Pimco Total Return	A	Dividend			Sold	10/03/14	J		
123. JPM IT COR BD 1 (part of annuity)		None	M	T	Open	09/10/14	J		
124. JPM IT EQ INDX 1 (part of annuity)		None			Closed	09/10/14	J		
125. JPM IT EQ INDX 1 (part of annuity)		None			Closed	12/12/14	K		
126. JPM IT INTREPID GR 1 (part of annuity)		None			Closed	09/10/14	J		
127. JPM IT INTREPID GR 1 (part of annuity)		None			Closed	12/12/14	J		
128. JPM IT INTREPID MDCAP 1 (part of annuity)		None	J	T	Closed	09/10/14	J		
129. JPM IT MDCAP GR 1 (part of annuity)		None			Closed	09/10/14	J		
130. JPM IT MDCAP GR 1 (part of annuity)		None			Closed	12/12/14	K		
131. JPM IT US EQ 1 (part of annuity)		None	K	T	Closed	09/10/14	J		
132. NW NVIT MNY MKT 1 (part of annuity)		None	L	T	Open	12/12/14	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Durkin, Thomas M.

Date of Report

08/12/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

(1) In my initial 2014 Financial Disclosure Report I noted in Section VIII that items 63-68 of Part VII were incorrectly included in my 2013 Financial Disclosure Report, as I could find no evidence I sold them during 2014, and yet no evidence I owned them at the end of 2014. For that reason I did not include them in my initial 2014 Report, but I stated I would continue to look for records to explain this anomaly.

I have since located the appropriate records. These funds are in a non-qualified annuity [REDACTED] purchased [REDACTED] 2003. So the funds themselves were properly reported in the 2013 Report, and this amendment to the 2014 Report added them at lines 123-32 in Section VII.

I have also since learned that annuities such as these have no dividend or interest incomes, but rather the funds simply change in value over time. Income is reported (through an IRS Form 1099) upon a withdrawal of which there have been none. So I have reported no income on these items on this amended 2014 Report. My reported income on the 2013 Report was based on estimates of the values of the funds, but was incorrect. I will amend my 2013 Report if required.

(2) Part VII, item 22 on my 2013 Financial Disclosure Report was also incorrectly reported. The investment was titled "ISHARES Russell 1000 Value Index Fund." I have since learned that it is the same fund as "ISHARES Russell 1000 Value Fund." It was incorrectly separated from several disclosures of the "ISHARES Russell 1000 Fund" made on the 2013 Form (items 7, 33, 44). Accordingly, item 22 on the 2013 Form will not be shown as held or sold on the 2014 Form. Once again, I will amend the 2013 Form if that is required.

(3) The audit function stated that I should mark items 128 and 132 in Part VII as "Closed (Part)," but this is not an option in the drop-down menu for dispositions. The Committee staff said that I should indicate this issue here.

(4) This second amendment is to report a previously inadvertently non-disclosed asset. On 4/19/06 [REDACTED] invested \$9,500 in the Fidelity Founding mutual fund, held in [REDACTED] IRA. On 5/16/13, that investment was converted to the Franklin Moderate Allocation mutual fund, with a value of \$11,981.09. There have never been any outside contributions or withdrawals from this fund, and its growth is from automatic re-investment of dividends.

I reported the 2014 Franklin Moderate Allocation income on line 103 of the disclosure form, but had inadvertently failed to report the two assets (Fidelity Founding converted to the Franklin Moderate Allocation in 2013) and the dividends derived therefrom on my 2013 disclosure. When I recently telephoned the staff for the Committee on Financial Disclosure, they suggested I make this disclosure here in Section VIII, rather than also amending my 2013 report.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Durkin, Thomas M.

Date of Report

08/12/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas M. Durkin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544