

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mummert III, Thomas C.	2. Court or Organization U.S. District Court, EDMO	3. Date of Report 05/05/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge (Full)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 111 South 10th Street, 13 North St. Louis, Missouri 63102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Walmart Common Stock	B	Dividend	L	T					
2. ATT Common Stock (formerly SBC)	A	Dividend	J	T					
3. Southern Commercial Bank Acct.	A	Interest	M	T					
4. IFUE Common Stock		None	J	T					
5. State of MO Deferred Compensation	C	Interest	M	T					
6. -ING - stable income fund	C	Interest							
7. Time Warner, Inc.	A	Dividend	J	T					
8. American Funds, American Mutual, A	B	Dividend	L	T					
9. American Funds, Euro Pac. Growth	A	Dividend			Sold	06/18/13	J	A	
10. Ameren (AEE)	B	Dividend	K	T					
11. U.S. Savings Bonds (HH)	A	Interest	L	T					
12. Southwest Bank (Now BMO Harris Bank)	A	Interest			Closed	04/13/13	M	A	
13. Commerce Bank	A	Interest	M	T					
14. Ameriprise Money Market Account	A	Dividend	K	T					
15. Lindbergh Schools	A	Interest	K	T					
16. St. Peters, MO (O Coupon)	A	Interest	K	T					
17. Anheuser Busch Credit Union	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Wisdom Tree Dividend Fund	A	Dividend			Sold	06/17/13	J	A	
19. First Community Credit Union	A	Dividend	J	T					
20. Regions Bank	A	Interest	M	T					
21. Nuveen Build America Fund	D	Interest	M	T					
22. Proctor & Gamble Co.	A	Dividend	J	T					
23. Wells Fargo & Co.	A	Dividend	J	T					
24.	A								
25. Bank of America	A	Dividend	J	T					
26. PNC Invesco	B	Dividend	K	T					
27. Eagle Bank	B	Interest	N	T					
28. Wells Fargo Bank NA	A	Interest	K	T					
29. Nuveen Dividend Adv.	A	Dividend	J	T					
30. St. Louis MO Indl. Dev. Ath. Multi. Fam.	A	Dividend			Redeemed	04/01/13	J		
31. Curator Univ. MO Sys.	A	Dividend			Redeemed	11/01/13	J		
32. Jefferson County MO Cons	A	Dividend	J	T					
33. Duke Energy Corp.	A	Dividend	J	T					
34. Edison Intl.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Laclede Group	A	Dividend	J	T					
36. Pulaski Financial Corp.	A	Dividend	J	T					
37. Nuveen Municipal Value Fund	A	Dividend	J	T					
38. Belton MO COP RFDG	A	Interest	J	T					
39. Boone Cnty MO Hosp. Rev.	A	Interest	J	T					
40.									
41.									
42.									
43. Franklin Cnty MO COP	A	Interest			Redeemed	03/01/13	J		
44. Great Rivers Greenway Met.	A	Interest			Redeemed	06/01/13	J		
45. Independence MO School District	A	Interest	J	T					
46. Johnson Cnty MO Hosp.	A	Interest	J	T					
47. Kansas City MO Arpt.	B	Interest			Redeemed	09/03/13	J		
48.									
49. Lincoln Cnty MO Reorg. School District	A	Interest	J	T					
50. MO St. Dev. Fin. Brd. Edl.	A	Interest	J	T					
51.									

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52.									
53. MO Std. Regl. Convention	A	Interest			Redeemed	08/21/13	J		
54.									
55. NIXA MO Reorg. School District	A	Interest	J	T					
56. O'Fallon MO COP	A	Interest			Redeemed	09/03/13	J		
57.									
58.									
59. Southeast MO State Univ.	A	Interest	K	T					
60. Springfield MO Public Utility	C	Interest	L	T					
61. St. Louis MO SPL, BOE, Pub. Saf. RFDG	B	Interest	K	T					
62.									
63.									
64. Vernon Cnty. MO Reorg. School District	A	Interest	J	T					
65. Warrernsburg MO CTFS	A	Interest			Redeemed	04/01/13	J		
66. Washington MO COP Mun Compl.	A	Interest	J	T					
67. Wentzville MO Economic Dev.	A	Interest			Redeemed	03/01/13	J		
68.	A	Interest			Redeemed	05/01/13	J		

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69. Wildwood MO COP	B	Interest	K	T					
70. Edward Jones Money Market	A	Interest	L	T					
71. Spectra Energy	A	Dividend	J	T					
72. Moberly Missouri School District	A	Interest	J	T					
73. J.P. Morgan Core Bond Fund	A	Interest	J	T					
74. Nuveen All American Municipal Bond Fund	A	Interest	J	T					
75. Nuveen High Yield Municipal Bond Fund	A	Interest	J	T					
76. Loomis Sayles Income Fund	A	Interest	J	T					
77. Columbia Missouri Sewer Rev. System	A	Interest	K	T					
78. Franklin County Missouri CTFS	A	Interest	K	T					
79. Missouri JT Municipal Electric Utility MOPEP	A	Interest	K	T					
80. Missouri Western State University	A	Interest	K	T					
81. Columbia MO Special Electric Utility	A	Interest	K	T	Buy	01/22/13	K		
82. MO State Public Buildings Revenue	A	Interest	J	T	Buy	02/14/13	J		
83. Southeast MO State System Facilities	A	Interest	K	T	Buy	03/20/13	K		
84. O'Fallon MO Special Obligation Revenue	A	Interest	J	T	Buy	05/28/13	J		
85. Spartanburg SC Sewer District	A	Interest	J	T	Buy	11/27/13	J		

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86. Wisconsin State General Obligation	A	Interest	K	T	Buy	12/28/13	K		
87.									
88.									
89.									
90.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas C. Mummert III

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544