

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Vilardo, Lawrence J.	2. Court or Organization United States District Court Western District of New York	3. Date of Report 05/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Robert H. Jackson United States Courthouse 2 Niagara Square Buffalo, NY 14202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Canisius College
2.	Trustee	Irish Classical Theatre Company
3.	Director	Desmond Law Society of Canisius College
4.	Co-Trustee	Family Trust (no reportable assets)
5.	President	FWGA Corporation
6.	Member	Canisius High School President's Advisory Council

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2016	Continue to pay for daughter's COBRA Health Insurance to my former law firm, now known as Connors, LLP
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Vilardo, Lawrence J.

Date of Report

05/15/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Cornell University	February 12-14, 2016	Ithaca, NY	Moot Court Competition	Hotel, meals, and travel expenses
2. NYSBA Commercial & Federal Litigation Section	May 13-15, 2016	Cooperstown, NY	Spring Meeting	Hotel, meals, and travel expenses
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Vilardo, Lawrence J.

Date of Report

05/15/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.	Connors, LLP - former law partners	Investiture Reception	\$11,342.37
3.	Rodney Personius, Esq. and Brian Melber, Esq. of Personius Melber LLP	Knife Block Set - Investiture Gift	\$400.00
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Vilardo, Lawrence J.

Date of Report

05/15/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mass Mutual Financial Group - Universal Life Insurance I	E	Int./Div.	N	T					
2. John Hancock Whole Life	A	Dividend	L	T					
3. John Hancock Whole Life	A	Dividend	K	T					
4. Rental Property Town of Tonawanda, New York	D	Rent	M	R					
5. M&T Bank Account	A	Interest	K	T					
6. RBC Bank Account	A	Interest	J	T					
7. TD Ameritrade FDIC Insured Deposit Money MarketAccount	B	Interest	J	T					
8. First Trust Mid-Cap Index	A	Dividend	J	T	Donated (part)				
9. First Trust Small Cap Index	A	Dividend	J	T	Sold (part)	03/03/16	J	A	
10.					Donated (part)				
11. Ishares IBOXX Inv Grd Bond f/k/a I Shares Corps Bond Index	B	Dividend	K	T	Sold (part)	03/03/16	J	A	
12.					Sold (part)	10/13/16	J	A	
13. I Shares US Preferred Stock f/k/a I Shares Preferred Stock Index	A	Dividend	J	T	Sold (part)	03/03/16	J	A	
14. Ishares JPM US Emerging Markets Bond	A	Interest	K	T	Sold (part)	03/03/16	J	A	
15. Ishares National AMT-Free Muni Bond	A	Interest	K	T					
16. Schwab US Large Cap	C	Dividend	M	T	Sold (part)	03/03/16	J	A	
17.					Sold (part)	06/20/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Vilardo, Lawrence J.

Date of Report

05/15/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	10/13/16	J	A	
19. Vanguard Short Term Corporate Bond	A	Interest			Sold (part)	03/03/16	J	A	
20.					Sold (part)	06/20/16	J	A	
21.					Sold	10/13/16	J	A	
22. Vanguard Mortgage Backed Securities	A	Dividend	K	T	Sold (part)	03/03/16	J	A	
23.					Sold (part)	12/09/16	J	A	
24. Vanguard REIT Index	A	Dividend	J	T	Sold (part)	03/03/16	J	A	
25.					Sold (part)	10/13/16	J	A	
26. Vanguard Crsp US Mid Cap	A	Dividend	K	T	Sold (part)	06/20/16	J	A	
27. Vanguard Small Cap f/k/a Vanguard US Small Cap Index	A	Dividend	K	T	Sold (part)	06/20/16	J	A	
28. Vanguard FTSE Developed Markets	A	Dividend	K	T					
29.					Sold (part)	03/03/16	J	A	
30.					Sold (part)	03/03/16	J	A	
31. Vanguard Emerging Markets	A	Dividend	J	T	Sold (part)	03/03/16	J	A	
32.					Sold (part)	06/20/16	J	A	
33.					Sold (part)	10/13/16	J	A	
34. 1 Shares Jpm USD Emer Mkt Bond	A	Interest	K	T	Buy	01/08/16	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Vilardo, Lawrence J.

Date of Report

05/15/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Schwab US Large Cap	A	Dividend	O	T	Buy	01/08/16	O		
36. Vanguard FTSE Developed Mkts	A	Dividend	M	T	Buy	01/08/16	M		
37. Vanguard S/T Inflation Protected	A	Dividend	L	T	Buy	01/08/16	L		
38. Vanguard S/T Corp Bond	A	Interest	K	T	Buy	01/08/16	K		
39. Vanguard Mortgage Backed Sec	A	Dividend	L	T	Buy	01/08/16	L		
40. Vanguard REIT	A	Dividend	K	T	Buy	01/08/16	K		
41. Vanguard CRSP US Mid Cap	A	Dividend	L	T	Buy	01/08/16	L		
42. Vanguard Small Cap	A	Dividend	L	T	Buy	01/08/16	L		
43. First Trust Large Cap Core Alphadex	A	Dividend	K	T					
44. First Trust Small Cap Core Alphadex	A	Dividend	K	T					
45. Ishares IBOXX Investment Grade Bonds	B	Interest	M	T					
46. Ishares US Preferred Stock	A	Dividend	K	T					
47. Ishares High Yield	A	Interest	K	T					
48. Vanguard Emerging Markets f/k/a Vanguard Emerging	A	Dividend	L	T					
49. First Trust Large Cap Alphadex f/k/a Ishares Large Cap Index	A	Dividend	K	T					
50. First Trust Mid Cap Core Alphadex f/k/a Ishares Mid Cap Index	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Vilardo, Lawrence J.

Date of Report

05/15/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I. Positions:

Line 5. FWGA Corporation -- Inadvertently not included in prior disclosures.

VII. Investments and Trusts.

Line 5. Rental Property purchased 3/31/2009, purchase price \$171,500.

With respect to my amended report filed 10/7/2016, please note the following:

Line 116. First Trust Large Cap -- This is a duplicate of Line 110 and should not be listed on the report.

Line 117. First Trust Small Cap Index f/ka First Trust Small Cap Index -- This is a duplicate of Line 111 and should not be listed on the report.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Vilardo, Lawrence J.

Date of Report

05/15/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Lawrence J. Vilardo**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544