

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McGarity, Margaret D.	2. Court or Organization US Bankruptcy Court	3. Date of Report 05/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 517 E. Wisconsin Ave. Suite #162 Milwaukee, WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Book royalties - State Bar of Wisconsin	\$99.58
2. 2013	Book royalties - Matthew Bender & Co., Inc.	\$2,300.98
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Association of Bankruptcy Trustees (NABT) 2013 Spring Seminar	February 20-24, 2013	Scottsdale, AZ	speaker	transportation, food, & lodging
2. National State Courts Judges Association	February 24-27, 2013	San Antonio, TX	speaker	transportation, food, & lodging
3. State Bar of Wisconsin, 8th Annual Bankruptcy, Insolvency & Creditors Rights Section	February 28-March 1, 2013	Kohler, WI	speaker	transportation, food, & lodging
4. Southeastern Bankruptcy Law Institute	March 21-23, 2013	Atlanta, GA	speaker	transportation, food, & lodging

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5.	American Bankruptcy Institute (ABI), Annual Meeting	April 18-23, 2013	Washington, DC	speaker	transportation, food, & Lodging
6.	National Association of Consumer Bankruptcy Attorneys (NACBA), Annual Convention	April 25-28, 2013	San Diego, CA	speaker	transportation, food, & lodging
7.	3rd Annual Memphis Consumer Bankruptcy Conference (ABI)	June 6-8, 2013	Memphis, TN	speaker	transportation, food, & lodging
8.	26th Annual Seminar of the Alabama State Bar Bankruptcy & Commercial Law Section	June 21-22, 2013	Destin, FL	speaker	transportation, food, & lodging
9.	National Association of Bankruptcy Trustees (NABT) 2013 Annual Convention	August 8-11, 2013	White Sulphur Springs, WV	speaker	transportation, food, & lodging
10.	National Conference of Bankruptcy Judges (NCBJ) Annual Meeting	October 30-November 2, 2013	Atlanta, GA	speaker	transportation, food, & lodging
11.	Association of Bankruptcy Judicial Assistants (ABJA) Annual Meeting	October 30-November 2, 2013	Atlanta, GA	speaker	transportation, food, & lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America - Mastercard	credit card	J
2.	Chase Manhattan Bank - VISA	credit card	J
3.	Sears Mastercard	credit card	J
4.	Discover Card	credit card	J
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BMO Harris Bank (fka M&I Bank), Milwaukee, WI cash accounts	A	Interest	J	T					
2. Rent from 3rd flr apmt in personal residence, Milwaukee, WI	D	Rent							
3. This row is blank.									
4. IRA Trust #001 UBS ----									
5. --UBS Bank USA Deposit Account	A	Interest	J	T					
6. --Central Fund of Canada - CEF	A	Dividend	M	T					
7. --Central Fund of Canada - CEF					Sold (part)	12/03/13	K	D	
8. This row is blank.									
9. IRA Trust #003 - UBS---									
10. --UBS Bank USA Deposit Account	A	Interest	J	T					
11. --UBS Pace Money Mkt, Investment Fund Class P, PCEXX	A	Interest	J	T					
12. --Blackrock U.S. Opportunites A, symbol BMEAX	A	Dividend	J	T					
13. --Blackrock Equity Dividend Fund Cl A, symbol MDDVX	A	Dividend	K	T					
14. --Thornburg Intl Growth Fund, class A, symbol TIGAX	A	Dividend	K	T					
15. --Pace Global Real Estate Securities, invstmt fund A, PREAX	A	Dividend	J	T					
16. --Invesco Van Kampen Small Cap Value Fund Cls A, sym VSCAX	A	Dividend	J	T					
17. --Virtus Small-Cap Sustainable Growth Fund, Class A, PSGAX	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Loomis Sayles Investment Grade bond Fund, Class A, LIGRX	A	Dividend	K	T					
19. --Wells Fargo Advantage Growth Class A, symbol SGRAX	A	Dividend	K	T					
20. --Blackrock High Yield Bond A, symbol BHYAX	A	Dividend	K	T					
21. --Arbitrage Fund No Load Class, symbol ARBFX	A	Dividend	K	T					
22. This row is blank.									
23. Investment Account UBS---									
24. -- UBS Bank USA Deposit Account	A	Interest	J	T					
25. --Powershares High Yield Equity Dividend - symbol PEY	A	Dividend	J	T					
26. --Invesco Value Municipal Income Trust, symbol IIM	A	Dividend	J	T					
27. --Nuveen Premium Inc Muni Fund - symbol NPI	A	Dividend			Redeemed	12/18/13	J		
28. --Nuveen Muni Mkt Opportunity Fund - symbol NMO	A	Dividend			Redeemed	12/18/13	J		
29. --Nuveen Wis Muni Bond - symbol FWIAX	A	Dividend	K	T					
30. --Delaware Tax Free USA - symbol DMTFX	A	Dividend	J	T					
31. --Invesco quality Municipal Income Trust, symbol IQI	A	Dividend	J	T					
32. --Invesco Van Kampen Muni Income Fund, Cl A, symbol VKMMX	A	Dividend	J	T					
33. --Blackrock Energy & RES TR symbol BGR	A	Dividend	J	T					
34. --Neuberger Berman Muni Fund - symbol NBH	A	Dividend	J	T					

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. --Calamos Strategic Total Return Fund - symbol CSQ			K	T	Buy	12/18/13	K		
36. This row is blank.									
37. Vanguard Wellington Fund, symbol VWELX	B	Int./Div.	L	T					
38. Vanguard Windsor Fund Admiral, symbol VWNEX	A	Int./Div.	K	T					
39. --ditto VWNEX					Redeemed (part)	06/13/13	J		
40. --ditto VWNEX					Redeemed (part)	07/08/13	K		
41. --ditto VWNEX					Redeemed (part)	08/27/13	K		
42. --ditto VWNEX					Redeemed (part)	09/25/13	K		
43. --ditto VWNEX					Redeemed (part)	11/12/13	K		
44. This row is blank.									
45. Investment Account #2 - UBS---									
46. --UBS Bank USA Deposit Account	A	Interest	J	T	Open	03/06/13	J		
47. --Central Fund of Canada - CEF					Buy	03/07/13	J		
48. --Central Fund of Canada - CEF					Buy (add'l)	04/12/13	J		
49. --Central Fund of Canada - CEF					Buy (add'l)	05/09/13	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note regarding Part VII - Investments and Trusts:

There is no IRA Trust #002. That account was closed in 2008 and the proceeds transferred (i.e., rolled into) IRA Trust #001.

Line #2, Rent from apartment: no valuation for the apartment is stated because this rental unit is contained within the filer's personal residence and is not a separate building.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Margaret D. McGarity**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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