

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Nathan, Alison J.	2. Court or Organization U.S. District Court, Southern District of New York	3. Date of Report 08/01/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Courthouse 40 Foley Square New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Advisor on the Restatement of the Law, Copyright Project	American Law Institute
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	NYU School of Law (teaching income)	\$20,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	NYU School of Law Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Harvard Law School	November 1, 2016	Cambridge, MA	Moot Court Final	Transportation, meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Navient	Student Loans	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Emigrant Direct (cash)	A	Interest	J	T					
2. Bank of America (cash) (X)		None	K	T					
3. Chase (cash) (X)	A	Interest	L	T					
4. Brokerage Account #1 (H)									
5. Merrill Lynch Bank Deposit Program	A	Interest	J	T					
6. ASG Managed Futures Str Fd ASFYX	A	Dividend	J	T	Buy (add'l)	02/08/16	J		
7.					Sold (part)	12/12/16	J		
8. Baron Real Estate Fund Class INSTL		None	J	T	Buy	11/10/16	J		
9. Blackrock Global Long Short Credit Fund BGCTX		None			Sold	02/26/16	J		
10. Blackrock Strategic Muni Opportunities Fund Instl MAMTX	A	Dividend	J	T	Sold (part)	11/10/16	J		
11. Boston Partners Global Long Short Fund INSTL CL	A	Dividend	J	T	Buy	02/08/16	J		
12.					Sold (part)	11/10/16	J		
13. Boston Partners Long / Short Equity Fd Instl BPLSX		None	J	T	Sold (part)	02/26/16	J		
14.					Buy (add'l)	07/07/16	J		
15.					Sold (part)	11/10/16	J		
16. Boston Partners Long Short Research FD		None			Buy	02/26/16	J		
17.					Buy (add'l)	07/07/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$15,000	D = \$15,001 - \$50,000 E = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18.					Sold	11/10/16	J		
19. Congress Midcap Growth Fund	A	Dividend	J	T	Buy	11/10/16	J		
20. Fidelity Advisor Biotechnology Fund FBTIX	A	Dividend	J	T					
21. Fidelity Advisor Industrials Fund FCLIX	A	Dividend			Sold	02/26/16	J		
22. Franklin Intl Small Cap FKSCX	A	Dividend	J	T	Sold (part)	07/07/16	J		
23.					Buy (add'l)	11/10/16	J		
24. Franklin Mutual Global Discovery Z MDISX	A	Dividend			Sold	02/26/16	J		
25. FRT TRT VAL INDX	A	Dividend	J	T	Buy	11/10/16	J		
26. GS Multi Manager Alternatives FD	A	Dividend			Buy	02/26/16	J		
27.					Sold	11/10/16	J		
28. Guggenheim S&P 500 Equal Weight Technology ETF RYT	A	Dividend	J	T					
29. Ishares Edge MSCI	A	Dividend	J	T	Buy	11/10/16	J		
30. Ishares US Helathcare	A	Dividend	J	T	Buy	11/10/16	J		
31. Ivy Science and Technology Fund ISTIX	A	Dividend			Sold	04/04/16	J		
32. John Hancock Intl Growth Fund GOGIX	A	Dividend	J	T	Sold (part)	02/26/16	J		
33. Kayne Anderson MLP KYN	A	Dividend	J	T	Buy	02/26/16	J		
34. Loomis Sayles Strategic NEZYK	A	Dividend	J	T	Buy	02/26/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Lord Abbett Short Duration Income Fd LDLFX	A	Dividend	J	T					
36. MFS Emerging Markets Debt Fd MEDIX	A	Dividend	J	T					
37. Nuveen Short Duration Credit Opportunities Fund	A	Dividend	J	T	Buy	12/12/16	J		
38. Nuveen Short Duration Hi Yld Muni Bd Fd NVHIX	A	Dividend			Sold	02/26/16	J		
39. Pimco All Asset Fund CL P	A	Dividend	J	T	Buy	11/10/16	J		
40. Pimco Foreign Bond Fd. PFBPX	A	Dividend			Sold	02/26/16	J		
41. Pimco RAE Fundamental Plus Fund PIXPX	A	Dividend			Sold	02/08/16	J		
42. Pimco Income Fund PONPX	A	Dividend	J	T	Buy (add'l)	02/26/16	J		
43.					Sold (part)	11/10/16	J		
44. Primecap Odyssey Growth Fund	A	Dividend	J	T	Buy	02/08/16	J		
45.					Buy (add'l)	02/26/16	J		
46. Prudential Jennison Health Sciences PHSZX		None			Sold	11/10/16	J		
47. SPDR® S&P 500 ETF Trust	A	Dividend	J	T	Buy	02/08/16	J		
48.					Buy (add'l)	02/26/16	J		
49.					Buy (add'l)	04/04/16	J		
50.					Sold (part)	11/10/16	J		
51. SunAmerica Focused Dividend Strategy FDSWX	A	Dividend	J	T					

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52. T Rowe Price Capital Appreciation Fd Retail PRWCX	A	Dividend	J	T					
53. TCW Total Return Bond Fd TGLMX	A	Dividend	J	T	Sold (part)	02/26/16	J		
54. The Oakmark Intl Fund OAKIX (X)	A	Dividend	J	T	Sold (part)	02/08/16	J		
55.					Buy (add'l)	02/26/16	J		
56. Touchstone Sands Capital Select Growth Fund CFSIX	A	Dividend			Sold (part)	02/08/16	J		
57.					Sold	02/26/16	J		
58. Thornburg Global Opportunities Fund CL I	A	Dividend	J	T	Buy	02/26/16	J		
59.					Sold (part)	07/07/16	J		
60. Victory Sycamore Established Value Fund	A	Dividend	J	T	Buy	11/10/16	J		
61. Wisdomtree Trust Japan Hedged Equity Fd DXJ	A	Dividend			Sold	06/03/16	J		
62. Wisdomtree Europe Hedged Equity Fund HEDJ	A	Dividend			Sold	02/08/16	J		
63. Brokerage Account #2 (H)									
64. Merrill Lynch Bank Deposit Program	A	Interest	J	T					
65. ASG Managed Futures Str Fd ASFYX	A	Dividend			Sold	12/15/16	J		
66. Baron Real Estate Fund		None	J	T	Buy	02/26/16	J		
67. Blackrock Strategic Muni Opportunities Fund Instl MAMTX	A	Dividend	J	T					
68. Boston Partners Global Long Short Fund INSTL CL	A	Dividend	J	T	Buy	02/08/16	J		

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69. Boston Partners Long / Short Equity Fd Instl BPLSX	A	Dividend	J	T	Buy (add'l)	02/26/16	J		
70. Boston Partners Long Short Research FD INSTL (X)		None			Sold (part)	02/26/16	J		
71.					Sold	11/10/16	J		
72. Congress Midcap Growth Fund INSTL Class	A	Dividend	J	T	Buy	11/10/16	J		
73. Delaware National High Yield Muni Bond DVHIX	A	Dividend	J	T	Sold (part)	02/26/16	J		
74. Fidelity Advisor Biotechnology Fund FBTIX		None			Sold	11/10/16	J		
75. Fidelity Advisor Industrials Fund FCLIX	A	Dividend			Sold	02/26/16	J		
76. Franklin Intl Small Cap FKSCX	A	Dividend	J	T					
77. Franklin Mutual Global Discovery Fd Cl Z MDISX	A	Dividend			Sold	02/26/16	J		
78. FRT TRT Val Indx	A	Dividend	J	T	Buy	11/10/16	J		
79. GS Multi Manager ALternatives FD INSTL CL	A	Dividend			Buy	02/26/16	J		
80.					Sold	11/10/16	J		
81. Guggenheim S&P 500 Equal Weight Technology ETF RYT	A	Dividend	J	T	Buy (add'l)	04/04/16	J		
82. Ishares Edge MSCI	A	Distribution	J	T	Buy	11/10/16	J		
83. Ishares Nasdaq Biotech	A	Distribution	J	T	Buy	11/10/16	J		
84. Ishares S&P Mid-Cap 400	A	Distribution	J	T	Buy	11/10/16	J		
85. Ishares US Healthcare	A	Distribution	J	T	Buy	11/10/16	J		

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86. Ivy Science and Technology Fund ISTIX		None			Sold	04/04/16	J		
87. John Hancock Intl Growth Fund GOGIX	A	Dividend	J	T					
88. Kayne Anderson MLP KYN	A	Dividend	J	T	Buy	02/26/16	J		
89. Lord Abbett Intermediate LISFX	A	Dividend	J	T					
90. MFS MUNI Limited Maturity FD CL I	A	Dividend	J	T	Buy	02/26/16	J		
91. Nuveen Real Asset Income Fund	A	Dividend	J	T	Buy	02/26/16	J		
92. Nuveen Short Duration Hi Yld Muni Bd Fd NVHIX	A	Dividend	J	T	Sold (part)	02/26/16	J		
93. Primecap Odyssey Growth Fund CL	A	Dividend	J	T	Buy	02/08/16	J		
94. Prudential Jennison Health Sciences PHSZX	A	Dividend			Sold	11/10/16	J		
95. SunAmerica Focused Dividend Strategy FDSWX	A	Dividend	J	T					
96. T Rowe Price Capital Appreciation Fd Retail PRWCX	A	Dividend	J	T					
97. The Oakmark Intl Fund OAKIX	A	Dividend	J	T					
98. The Oakmark Select Fund OAKLX	A	Dividend	J	T					
99. Thornburg Global Opportunities Fund CL I	A	Dividend	J	T	Buy	02/26/16	J		
100. Touchstone Sands Capital Select Growth Fund CFSIX		None			Sold	02/08/16	J		
101. Vanguard S&P 500 Growth	A	Dividend			Buy	01/04/16	J		
102.					Sold	02/26/16	J		

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103. Vanguard S&P 500 Values ETF	A	Dividend			Buy	01/04/16	J		
104.					Sold	02/26/16	J		
105. Wisdomtree Europe Hedged Equity Fund HEDJ	A	Dividend			Sold	02/08/16	J		
106. Brokerage Account #3 (H)									
107. Merrill Lynch Bank Deposit Program	A	Interest	J	T					
108. ASG Managed Futures Str Fd ASFYX	A	Dividend			Sold	12/15/16	J		
109. Baron Real Estate Fund		None	J	T	Buy	02/26/16	J		
110. Blackrock Strategic Muni Opportunities Fund Instl MAMTX	A	Dividend	J	T	Sold (part)	11/10/16	J		
111. Boston Partners Global Long Short Fund	A	Dividend	J	T	Buy	02/08/16	J		
112. Boston Partners Long / Short Equity Fd Instl BPLSX	A	Dividend	J	T	Sold (part)	02/26/16	J		
113. Boston Partners Long Short Research Fund		None	J	T	Buy	02/26/16	J		
114. Columbia Absolute Return		None	J	T	Buy	02/08/16	J		
115. Columbia Balanced Fund	A	Dividend	J	T	Buy	02/08/16	J		
116.					Buy (add'l)	12/15/16	J		
117. Fidelity Advisor Biotechnology Fd FBTIX	A	Dividend	J	T					
118. Franklin Intl Small Cap Growth Fund FKSCX	A	Dividend			Sold	02/26/16	J		
119. Franklin Mutual Global Discovery Fd Cl Z MDISX	A	Dividend			Sold	02/26/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. Guggenheim S&P 500 Equal Weight Technology ETF RYT	A	Dividend			Sold	02/26/16	J		
121. Ishares US Consumer Goods EFT IYK	A	Dividend	J	T	Buy	02/26/16	J		
122. Ishares US Healthcare	A	Dividend	J	T	Buy	11/10/16	J		
123. Kayne Anderson MLP KYN	A	Dividend	J	T	Buy	02/26/16	J		
124. Loomis Sayles Strategic NEZYK	A	Dividend	J	T	Buy	02/26/16	J		
125.					Buy (add'l)	11/10/16	J		
126. Lord Abbett Short Duration Income Fd LDLEX	A	Dividend	J	T	Sold (part)	11/10/16	J		
127. MFS Diversified Income Fund DIFIX	A	Dividend	J	T	Buy (add'l)	11/10/16	J		
128. Nuveen Real Asset Income Fund NRIIX	A	Dividend	J	T					
129. Pimco All Asset Fund	A	Dividend	J	T	Buy	11/10/16	J		
130. Pimco Foreign Bond Fd PFBPX	A	Dividend	J	T					
131. Pimco Income Fund PONPX	A	Dividend	J	T	Buy (add'l)	02/26/16	J		
132. Pimco Rae Fundamental Plus Fund PIXPX	A	Dividend			Sold	02/08/16	J		
133. Primecap Odyssey Growth Fund	A	Dividend	J	T	Buy	04/08/16	J		
134. Prudential Jennison Health Sciences PHSZX		None			Sold	11/10/16	J		
135. SPDR® KBW Reigonal Banking ETF KRE		None			Sold	02/26/16	J		
136. SPDR S&P 500 Trust	A	Dividend			Buy	02/08/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	11/10/16	J		
138. SunAmerica Focused Dividend Strategy FDSWX	A	Dividend	J	T					
139. T. Rowe Price Capital Appreciation Fd Retail PRWCX (X)	A	Dividend	J	T					
140. TCW Total Return Bond Fd TGLMX	A	Dividend	J	T	Buy (add'l)	11/10/16	J		
141. The Oakmark Intl Fund OAKIX	A	Dividend	J	T					
142. Thornburg Global Oppoertunities Fund	A	Dividend	J	T	Buy	02/26/16	J		
143. Touchstone Sands Capital Select Growth Fund CFSIX	A	Dividend			Sold	02/26/16	J		
144. Vanguard S&P 500 Growth	A	Dividend			Buy	01/04/16	J		
145.					Sold	02/26/16	J		
146. Vanguard S&P 500 Values ETF	A	Dividend			Buy	01/04/16	J		
147.					Sold	02/26/16	J		
148. Brokerage Account #4 (H)									
149. TD Ameritrade Cash Account	A	Interest	J	T					
150. Apple Inc Stock, AAPL	A	Dividend	K	T					
151. Retirement Plan #1 (H)									
152. Vanguard Target Retirement 2040	D	Dividend	N	T					
153. Vanguard Target Retirement 2020	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Vanguard FTSE Social Index	A	Dividend	L	T					
155. 529 Account #1 (H)									
156. J.P Morgan Moderate Growth Age-Based Portfolio C	A	Dividend	J	T					
157. 529 Account #2 (H)									
158. NextGen Blackrock Age 0-7 Years Portfolio C (X)	A	Dividend	J	T					
159. NextGen Franklin Templeton Age 0-8 Years Portfolio C (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 2: This is a non-interest bearing account.
Part VII, lines 155 and 156 are merged entries of lines 126-131 of the 2015 report. No reportable transactions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ Alison J. Nathan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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