

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Thompson , Kathleen	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 04/28/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 21041 Burbank Blvd. Woodland Hills, CA 91367		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. **Sun Life Financial (whole life common shares)	A	Dividend	J	T					
2. **Wells Fargo Bank	A	Interest	J	T					
3. **IRA #1 Edward Jones									
4. **AAPL	A	Dividend	L	T	Sold (part)	01/23/14	J	D	
5. **Edward Jones IRA #2									
6. **DODIX (Dodge & Cox Income Fund)	A	Dividend	J	T	Buy	01/23/14	J	A	
7. **DODFX (Dodge & Cox Intl Stock Fund)	A	Dividend	J	T	Buy	01/23/14	J	A	
8. **NAMAX (Columbia Mid Cap Value)	A	Dividend	J	T					
9. **EIBIX (Eaton Vance Ind. of Boston)	A	Dividend	J	T	Buy	01/23/14	J	A	
10. **HDGIX (Hartford Div & Growth)	A	Dividend	J	T	Buy	01/23/14	J	A	
11. **LSIIX (Loomis Sayles Inv Grade Bond Fund)	A	Dividend	J	T	Buy	01/23/14	J	A	
12. **MEIIX (MFS Value Fund Cl I)	A	Dividend	J	T	Buy	01/23/14	J	A	
13. **IGLIX (ING Global Real Estate Fund Cl I, name change to Voya Global)	A	Dividend	J	T	Buy	01/23/14	J	A	
14. **JFMXX (JP Morgan Fed Mon Mkt Fund)	A	Interest	J	T	Buy	01/23/14	J	A	
15. **TGVIX (Thornburg Intl Value Fund)	A	Dividend	J	T	Buy	01/23/14	J	A	
16. **PRDGX (T Rowe Price Div Growth)	A	Dividend	J	T	Buy	01/23/14	J	A	
17. **CRSOX (Credit Suisse Comm Ret Str Cl)	A	Dividend	J	T	Buy	01/23/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. **MWTIX (MetroWest Tot Ret. Bd C1 1)	A	Dividend	J	T	Buy	01/23/14	J	A	
19. **PRCIX (T. Rowe Price New Income)	A	Dividend	J	T	Buy	01/23/14	J	A	
20. **SAGIX (Cba Aggressive Growth)	A	Dividend	J	T	Buy	01/23/14	J	A	
21. **MFEIX (MFS Growth Fund C1 1)	A	Dividend	J	T	Buy	01/23/14	J	A	
22. **MINIX (MFS International Value Fund C1 1)	A	Dividend	J	T	Buy	01/23/14	J	A	
23. **MGOYX (Munder Mid Cap Core Growth Fund)	A	Dividend	J	T					
24. **HLLVX (JPMorgan Short Duration Bond Fund)	A	Dividend	J	T	Buy	01/23/14	J	A	
25. **DISRX (Dreyfus International Stock Fund)	A	Dividend	J	T	Buy	01/23/14	J	A	
26. **TGBAX (Templeton Global Bond Fund Advisor Class)	A	Dividend	J	T	Buy	01/23/14	J	A	
27. **FVHIX (Franklin High Income Fund Advisor Class)	A	Dividend	J	T	Buy	01/23/14	J	A	
28. **WBFFX (Capital World Bond Fund C1 F1)	A	Dividend	J	T	Buy	01/23/14	J	A	
29. **BBTBX (Bridge Builder Bond Fund Solicited)	A	Dividend	J	T	Buy	01/23/14	J	A	
30.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section 1. The only asset in Trust #1 is my personal residence.

Section VII. Investments and Trusts

**= an asset as of 12/31/14

Line 4 - Lists the only asset (APPL) in IRA #1 Edward Jones.

Line 5 - This is a heading for the assets in IRA #2 Edward Jones that are listed on Line 6 and following.

The stock previously reported as CWBFX on Line 31 of FDR 2013 is the same as the stock previously reported as WBFFX on Line 47 of FDR 2013. Pursuant to trade confirmations, WBFFX is the correct symbol for this stock. CWBFX has been deleted as of FDR 2014. WBFFX is reported on Line 29 of FDR 2014. WBFFX has been purchased in three transactions: (1) on 6/22/2012 with a value of J; (2) on 9/24/2013 with a value of J; and (3) on 1/23/2014 with a value of J.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kathleen Thompson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544