

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CHASANOW, DEBORAH K.	2. Court or Organization U.S. DIST. CT. FOR D. OF MD.	3. Date of Report 10/02/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE-SENIOR	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address RM 400 U.S. COURTHOUSE 6500 CHERRYWOOD LANE GREENBELT, MARYLAND 20770		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust created by family member's will (created 11/2011)
2. Personal Representative	Estate of family member (estate opened 5/9/17)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. monthly	State of Maryland Retirement	\$71,986.38
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. rollover IRA, Delaware Charter Trustee (H)									
2. --Morgan Stanley Bank NA, formerly Daily Income Fund	A	Interest	K	T					
3. --Conoco Phillips	A	Dividend	K	T					
4. --Phillips 66	B	Dividend	K	T					
5. --Washington REIT SBI	B	Dividend	K	T					
6. --Vanguard Dividend Appreciation	A	Dividend	K	T					
7. --Vanguard Short-Term Corporate	A	Dividend	J	T					
8. --Weyerhaeuser Company	D	Dividend	L	T					
9. --Chevron Corp	C	Dividend	L	T					
10. --Washington REIT SBI	A	Dividend	K	T					
11. --Enbridge Inc.	C	Dividend	K	T					
12. --Johnson and Johnson	B	Dividend	L	T					
13. --Caterpillar Inc.	B	Dividend	L	T	Sold (part)	05/10/18	K	D	
14. --Coca Cola Company	A	Dividend	K	T					
15. --General Mills Inc.	A	Dividend	K	T					
16. --Vanguard Intermediate Bond Fund	A	Dividend			Sold	10/09/18	J	A	
17. --iShares Short-term cor bond ETF	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. --Rayonier Inc.	A	Dividend	J	T					
19. --Matthews Asian Japan Inv.	A	Dividend	K	T					
20. --Bank of America Corp	A	Dividend	K	T					
21. --iShares S&P US PFD Stk Idx	A	Dividend	J	T					
22. --Pfizer Inc	B	Dividend	K	T	Buy (add'l)	10/09/18	K		
23. --Vanguard FTSE Europe ETF	A	Dividend	J	T					
24. --Wisdomtree Trust Japn Hedge EQ	A	Dividend	J	T					
25. --Calamos Market Neutral Inc.	A	Dividend	K	T					
26. --Doubleline Income Solutions FD	B	Dividend	K	T					
27. --Clearbridge Energy MLP FD Inc	B	Dividend	K	T					
28. --Kayne Andersen MLP Mids invt Co	B	Dividend	K	T	Buy	05/10/18	K		
29. Family Member's Estate (H)									
30. --Old Line Bank		None	L	T					
31. --UNIMPROVED AGRICULTURAL LAND IN ST. MARY'S COUNTY, MD.		None	L	Q					
32. Ameriprise Financial AQEAX	A	Dividend	K	T					
33. Bank of America SAVINGS	A	Interest	L	T					
34. Bank of America CHECKING	A	Interest	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Morgan Stanley Bank N.A.,	A	Interest	L	T					
36. Synchrony K Draper UT CD	C	Interest	L	T	Buy	05/10/18	L		
37. Merrill Lynch CMA	A	Interest	O	T					
38. America Movil SA	A	Dividend	K	T					
39. Equitable Life Insurance Policy--whole life	C	Dividend	M	T					
40. Hartford Life Insurance Policy--whole life on parents' lives	D	Interest	N	T					
41. Enbridge Inc.	A	Dividend	J	T					
42. Anadarko Petroleum Corp.	A	Dividend	K	T					
43. HP Inc Com, formerly Hewlett Packard Co.	A	Dividend	K	T					
44. DXC Technology Company (X)	A	Dividend	J	T	Spinoff (from line 43)	04/03/17	J		
45.					Distributed (part)	06/05/18	J		
46. Perspecta Inc	A	Dividend	J	T	Spinoff (from line 45)	06/01/18	J		
47. Hewlett Packard Enterprise (X)	A	Dividend	J	T	Spinoff (from line 43)	11/01/15	J		
48.					Distributed (part)	09/01/17	J		
49. Micro FCS Int (X)	A	Dividend	J	T	Spinoff (from line 48)	09/01/17	J		
50. Apache Corp.	A	Dividend	K	T	Sold (part)	10/09/18	J	A	
51. Conoco Phillips	A	Dividend	K	T					

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52. Phillips 66	A	Dividend	K	T					
53. iShares MSCI Germany Index	A	Dividend			Sold	10/09/18	J	A	
54. John Deere & Co.	B	Dividend	M	T					
55. Northern Trust Co. checking account	C	Interest	N	T					
56. B of A. Fund Strat Mngd Acct (Merrill Lynch)(no control)(H)									
57. --BIF Tax-Exempt Fund/ML Bank Deposit	A	Int./Div.	J	T					
58. --Blackrock Eqty Dividend		None			Sold	01/25/18	K	B	
59. --MFS Value FD CL 1	A	Dividend	J	T					
60. --The Oakmark Int'l Fund		None			Sold	01/25/18	J	A	
61. --Oppenheimer International	A	Dividend	J	T					
62. --iShares Russell 1000 IWF	A	Dividend	K	T	Buy (add'l)	01/29/18	J		
63. --iShares Russell 1000IWD	A	Dividend	K	T	Buy (add'l)	01/29/18	J		
64. --iShares TR Core MSCI EAF	A	Dividend	K	T	Buy (add'l)	01/29/18	J		
65. --MFS Muni Income FD		None			Sold	01/25/18	J	A	
66. --Spdr Nuveen Blmbrg/BRCLYMNCB BOND ETF/TFI		None			Sold	01/29/18	J	A	
67. --T Rowe Price Emerging Markets Stock		None			Sold	01/25/18	J	A	
68. --Vaneck Vectors High Yield Municipal INDE	A	Dividend			Sold	01/25/18	J	A	

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69. --Edgewood Growth FD INST		None			Sold	01/25/18	J	A	
70. --Delaware Emerging Markets Fund	A	Dividend			Buy (add'l)	01/26/18	J		
71.					Sold	08/02/18	J	A	
72. --Glenrede Small Cap Equity fund	A	Dividend	J	T					
73. --Nuveen Short Duration HI Yield Muni Bd Fd	B	Dividend	K	T	Buy	01/26/18	J		
74.					Buy (add'l)	08/02/18	K		
75. NextEra Energy, Inc. formerly FPL Group	B	Dividend	L	T					
76. iShares Barclays TIPS bond	A	Dividend	K	T	Buy (add'l)	10/09/18	J		
77. Vanguard Intermediate Bond Fund	A	Dividend			Sold	10/09/18	J	A	
78. iShares Barclays 1-3 Credit Bond (shl trm cor bnd eft)	A	Dividend	K	T					
79. iShares MSCI Emerging Market Index Fund9	A	Dividend	J	T					
80. Apple, Inc.	B	Dividend	M	T					
81. CF Industries Holdings, Inc.	B	Dividend	L	T					
82. Schlumberger Ltd.	A	Dividend	K	T					
83. General Mills Inc.	A	Dividend	J	T					
84. iShares MSCI Japan ETF	A	Dividend	K	T					
85. Genl Dynamics Corp	A	Dividend	K	T					

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86. The India Fund Inc	B	Dividend	K	T					
87. Vanguard FTSE Europe ETF	A	Dividend	K	T					
88. Vanguard FTSE Pacific ETF	A	Dividend	K	T					
89. Vanguard Mid-Cap ETF	A	Dividend	L	T					
90. Vanguard Small Cap ETF	B	Dividend	L	T					
91. Wisdomtree Europe Hedged Equit	A	Dividend	K	T					
92. Wisdomtree Trust India	A	Dividend	K	T					
93. Wisdomtree Trust Japn Hedge EQ	A	Dividend	K	T					
94. Wells Fargo & Co New	B	Dividend	K	T					
95. Blackstone REIT	A	Int./Div.	K	T					
96. Putnam Short Duration Income A	B	Dividend	M	T					
97. Berkshire Hathaway CL-B New		None	L	T	Buy	05/10/18	L		
98.					Buy (add'l)	10/09/18	K		
99. Trust Under Family Member's Will (H)									
100. --Property in Sussex Co. Delaware		None	N	W					
101. --Bank of America checking	A	Interest	M	T					
102. --Plains All American Pipeline LP	B	Distribution	K	T					

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103. --Energy Transfer Partners LP	C	Distribution	K	T					
104. --Enterprise Prods Partners LP	B	Distribution	K	T					
105. --MPLX LP	B	Distribution	K	T					
106. --Merck & Co Inc	A	Dividend	K	T					
107. --Nestle Spon ADR Rep	B	Dividend	K	T					
108. --First TR Morningstar Div	B	Dividend	L	T	Buy (add'l)	11/08/18	K		
109. --AT&T Inc	B	Dividend			Sold	11/08/18	K	A	
110. --Verizon Communications	C	Dividend	K	T					
111. --Johnson & Johnson	A	Dividend	K	T					
112. --NRG Yield Inc./Clearway Energy Inc CL C	A	Distribution			Sold	09/20/18	K	C	
113. --Unit Guggenheim Dividend Strength 20	A	Dividend			Sold	02/26/18	L	D	
114. --iShares Nat Mun BN ETF (MUB)	A	Dividend			Sold	02/08/18	K		
115. --Nuveen Intermediate Duration (NIQ)	A	Dividend			Sold	02/08/18	K	A	
116. --Unit Invesco Stocks for 2019	A	Dividend	L	T					
117. --CISCO sys Inc	A	Dividend			Sold	06/12/18	K	D	
118. --Targa Resources CP	A	Dividend			Sold	01/25/18	K	E	
119. --Morgan Stanley Bank	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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CHASANOW, DEBORAH K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --Wash Real Est Inv Tr Maryland	A	Dividend	K	T					
121. --Cummins Inc	A	Dividend	J	T					
122. --Caterpillar Inc	A	Dividend	K	T					
123. --Berkshire Hathaway CL-B New		None	K	T	Buy (add'l)	11/08/18	J		
124. --JP Morgan Alerian MLP Index ETN		None			Sold	11/08/18	J	A	
125. --S & P 500 Index fund	A	Dividend	K	T	Buy (add'l)	04/24/18	K		
126. --Blackstone Grooup LP	B	Dividend	K	T					
127. --Constellation Brands Inc CL A (STZ)	A	Dividend	K	T					
128. --Welltower Inc (HNC)	B	Dividend			Sold	04/24/18	J	A	
129. --First Tr High YI LG SHT ETF (HYLS)	B	Dividend			Sold	11/08/18	K	A	
130. --FT Preferred Secur & Inc ETF (FPE)	B	Dividend			Sold	11/08/18	K	A	
131. --iShares Select Dividend ETF (DVY)	B	Int./Div.	K	T					
132. --Unit First Trust Capital Strength Buy- Write 29		None			Sold	01/22/18	K	B	
133. --Unit First Trust Dividend Strength 35	A	Dividend	M	T					
134. --Unit Guggenheim SMID Dividend Strength 8	A	Dividend	K	T					
135. --Unit First Trust Dividend Strength 37	A	Dividend	K	T					
136. --Unit Invesco S&P Dividend Sustainability 2017-3	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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CHASANOW, DEBORAH K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --Unit First Trust Cap Strength Buy-Write 36		None	J	T	Buy	01/22/18	K		
138. --First Tr Valu Ln Div IDX	B	Dividend	K	T	Buy	01/25/18	K		
139. --Goldman Sachs BK New York CD	B	Interest	L	T	Buy	02/08/18	L		
140. --Unit Guggenheim Dividend Strength 29	B	Dividend	L	T	Buy	02/26/18	L		
141. --S&P 500 Index Fund	B	Dividend	K	T	Buy	04/24/18	K		
142. --Kraft Heinz Co	A	Dividend			Buy	06/12/18	K		
143.					Sold	11/08/18	J	A	
144. --Pfizer Inc	A	Dividend	K	T	Buy	06/12/18	K		
145. --FT-Preferred Secur & Inc ETF	B	Dividend	K	T	Buy	09/20/18	K		
146. --First Tr Valu LN Div IDX	B	Dividend	K	T	Buy	01/25/18	K		
147.					Buy (add'l)	11/08/18	J		
148. --FT North American energy Infra	B	Dividend	K	T	Buy	11/08/18	K		
149. --SPDR Port S&P High Div ETF	B	Dividend	K	T	Buy	11/08/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 31: Appraisal of land as of 4/2/2017, conducted 6/20/2017 for estate. Lines 44-49: some information inadvertently left off prior year reports.

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ DEBORAH K. CHASANOW**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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