

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Drell, Dee D.	2. Court or Organization Western Distric LA, Alexandria	3. Date of Report 06/28/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 515 Murray Street Alexandria, LA 71309		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3. Member, Board of Directors	Louisiana Bar Foundatin
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Retirement Benefits, LASERS
2. 2013	Self Employed counselor- [REDACTED] --Service fees
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Kiwanis Club of Alexandria	08/24/2013	Baton Rouge, LA	Kiwanis convention	Partial travel expense for spouse's convention attendance (Registration, travel, hotel)
2. Louisiana Bar Foundation	01/18/2013	New Orleans, LA	Board Meeting	Hotel
3. Louisiana Bar Foundation	04/11/2013	New Orleans, LA	Board Meeting	Hotel
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	USAA Savings Bank	Credit Card	K
2.	AT&T	Credit Card	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mineral Interest, Loving Cty , TX(assessed taxable \$28,880)	C	Royalty	J	W					
2.									
3. Red River Bank		None	J	T					
4. General Electric Co. COM	A	Dividend	J	T					
5. New York Cmnty Bancorp, Inc. COM	A	Dividend			Sold	07/29/13	J	A	
6. Equitable Variable Life Ins. Co. (See Part VIII)	B	Interest	J	T					
7. Provident Life & Accident Ins. Co. (See Part VIII)	A	Interest	J	T					
8. Pimco Global Multi-Asset C	A	Dividend			Sold	07/05/13	J	A	
9. Shaw Communications, Inc, C1 B Conv	A	Dividend	J	T					
10.									
11.									
12. Templeton Global Bond Fund	A	Dividend			Sold	12/20/13	J	A	
13.									
14. Pimco Small Stocksplus	A	Dividend	K	T	Buy	07/05/13	J		
15. La Dept of Corrections Credit Union	A	Interest	J	T					
16. Lifelock, Inc.	A	Dividend	J	T	Buy	07/25/13	J		
17. JANUS Worldwide Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000.000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. JANUS Balanced Fund	B	Distribution	L	T					
19. Retail Properties of America, common (See Part VIII)	A	Dividend	J	T					
20.									
21. American Electric Power Common Stock	A	Dividend	J	T					
22.									
23. Capital One Fin. Corp Com	A	Dividend	J	T					
24.									
25.									
26.									
27.									
28. Reynolds Amern Inc Com	A	Dividend	K	T					
29. Southern Co Com	A	Dividend	K	T					
30.									
31. XCEL Energy Inc Com	A	Dividend	J	T					
32. Calamos Growth & Income Fund Class C	B	Dividend	J	T					
33.									
34.									

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35. FPA New Income Inc Com	A	Dividend	K	T	Sold (part)	11/15/13	J	A	
36.									
37. Franklin Income Fund Class C	B	Dividend	K	T					
38.									
39. Templeton Global Balanced Fund, Class C1 (See Part VIII)	A	Dividend	K	T					
40.									
41.									
42.									
43.									
44.									
45.									
46.									
47. Vanguard Bond Index Fund, Inc.	A	Dividend	K	T					
48. Vanguard Whitehall Funds, Inc.	B	Dividend	K	T					
49. Brokerage Money Market (Account #1), FSC Securities Corp.)	A	Interest	J	T					
50. ishares TR DJ Intl Sel Divd	A	Dividend	J	T					
51. ishares TR S&P Moderate	A	Dividend	K	T					

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52. Brokerage Money Market (Account #2), FSC Securities Corp.	A	Interest			Sold	12/27/13	J		
53.									
54.									
55.									
56.									
57.									
58.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

From Part VII, line 1: Value based on non-consummated offer to purchase

From Part VII, lines 6 and 7 : In regard to Equitable Variable Life Ins. Co and Provident Life & Accident Insurance Company. Both are universal or variable life policies. Value code reported for both is net value of unloaned portion of "Guaranteed Interest" Account..

From Part VII, line 2: Retirement Account converted into monthly retirement benefit in state retirement system ([REDACTED]) now reported in Part III-Instead of Part VII, thus deleting entry in Part VII

Part VII, lines 49 and 52 reflect that there are two different money market accounts, both with FSC Securities Corp.

Part VII, The changed status for 3 reported funds previously listed in lines 10 (Pershing Government Fund), 11(SunAmerica Money Market Fund), and 13 (FDIC Insured Deposits Program) shown as (Y) on the original 2013 report has been deleted, along with the fund entries. The Pershing issue was resolved by way of an amended 2011 report showing that fund was simply merged into the brokers money market named fund and reported that way thereafter. The Sunamerica Fund's mrger was reflected in the amended 2013 report on line 42 and the FDIC Fund merger was reflected in line 43 of the 2013 amended report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Dee D. Drell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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