

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Romero, Michael E.	2. Court or Organization Colorado - Bankruptcy Court	3. Date of Report 09/27/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge - U.S. Bankruptcy Court - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 518 U.S. Custom House 721 19th Street Denver, CO 80202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. member - executive board	Our Courts, Colorado
2. personal representative (x)	Estate #1 parent deceased 12/9/16
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Romero, Michael E.

Date of Report

09/27/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bankruptcy Institute	January 2016	Colorado	Bar Conference	tuition waiver
2. Continuing Legal Education of Colorado	January 2016	Colorado	Professional Education Program	lodging and food
3. National Conference of Bankruptcy Judges	October 2016	California	Professional Association annual meeting	transportation, lodging and food
4. National Conference of Bankruptcy Judges	April 2016	Washington, DC	Professional meeting with members of Congress and staff	transportation, lodging and food

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Romero, Michael E.

Date of Report

09/27/2017

5.	Instituto Brasileiro de Estudos de Recuperacao de Empresas	March 2016	Brazil	educational program with Brazilian Bankruptcy Judges and practioners	transportation lodging and food
6.	National Conference of Bankruptcy Judges	April 2016	Texas	Professional Association mid-year meeting	transportation lodging and food
7.	National Conference of Bankruptcy Judges	April 2016	Nevada	Professional meeting	transportation lodging and food

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Romero, Michael E.

Date of Report

09/27/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	Credit Cards	J
2.	Bank of America	Credit Card	J
3.	Barclays Bank Delaware	Credit Card	J
4.	Wells Fargo National Bank	Line of Credit/Credit Card	J
5.	Capital One	Credit Card	J

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Romero, Michael E.

Date of Report

09/27/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Wells Fargo Bank Custodian Traditional IRA - See note in part VIII	B	Interest	K	T					
2. Wells Fargo National Bank, Accounts	A	Interest	J	T					
3. Northwestern Life Insurance Co. Whole Life #1	C	Interest	L	T					
4. Synetra Life Ins/Ins Prods Edge Pro 7 Annuity	A	Int./Div.	L	T					
5. Fed. Inc.Sec Tr. Federated Cap Income IRA	A	Int./Div.	K	T					
6. Lord Abbett Inv. Tr. Short Duration	A	Int./Div.	L	T					
7. Colaska, LLC (x) See comment in Section VIII	A	Royalty	J	S					
8. Estate #1 (x)(H) See comment in Section VIII									
9. -Wells Fargo National Bank accounts	A	Interest	M	T					
10. -Fidelity and Guaranty Life Insurance Company (Annuity)	A	Interest	L	T					
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Romero, Michael E.

Date of Report

09/27/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII.1 [REDACTED] retirement account. Total investment in American Balanced Fund Inc. Class A. no transaction

VII.8 Colaska, LLC created 2014 to hold OK property and royalty interest. Colaska, LLC interests held equally between [REDACTED] and [REDACTED] Royalty payments average \$125 per year.

VII.9 [REDACTED] passed 12/9/16. Estate consists of cash in bank and one annuity as noted in VII, lines 9 and 10. Estate will be fully distributed per will and closed in 2017

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Romero, Michael E.

Date of Report

09/27/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael E. Romero**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544