

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hardiman, Thomas M.	2. Court or Organization U. S. Court of Appeals	3. Date of Report 05/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 2270 United States Courthouse 700 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Big Brothers Big Sisters of Greater Pittsburgh, Inc.
2.	Adjunct Law Professor (pro bono)	Duquesne Law School
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 28

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	The First City Company
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Chicago	Feb. 25-26, 2015	Chicago, IL	Lecture	Transportation, hotel
2. The Federalist Society	February 26-28, 2015	South Bend, IN	Lecture	Transportation, hotel
3. The Federalist Society	November 23-24, 2015	New Haven, CT	Lecture	Transportation, meals, hotel
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 28

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Academy of Trial Lawyers of Allegheny County	Honorary Membership	\$750.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 28

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Checking Account	A	Interest	K	T					
2. PNC Money Market Acct.	A	Interest	N	T					
3. Vanguard Prmpcp Mut. Fund (MF)	C	Dividend	L	T	Buy (add'l)	04/08/15	J		
4.					Buy (add'l)	05/14/15	J		
5.					Buy (add'l)	07/01/15	J		
6.					Buy (add'l)	07/22/15	J		
7.					Buy (add'l)	08/21/15	J		
8.					Buy (add'l)	09/15/15	J		
9.					Buy (add'l)	10/19/15	J		
10.					Buy (add'l)	12/18/15	J		
11. Fulton Financial Stk (CS)	A	Dividend	J	T					
12. WELLS FARGO EQUITY ACCOUNT									
13. Wells Fargo MM	A	Interest	N	T					
14. AltiSource Portfolio Solutions CS		None							
15. Alphabet Inc. Class A -- Formerly Google		None	L	T					
16. Alphabet Inc. Class C -- Formerly Google		None	L	T					
17. AIG Group	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 28

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Hardiman, Thomas M.

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Apple	A	Dividend	K	T	Sold (part)	03/10/15	K	D	
19.					Sold (part)	10/22/15	J	C	
20. AT&T -- Formerly Direct TV		None			Sold (part)	07/27/15	J	A	
21.					Sold	08/18/15	K	E	
22. Bank New York Mellon CS	A	Dividend	K	T					
23. Berkshire Hathaway		None	L	T					
24. Chemtura		None			Sold (part)	02/06/15	K	D	
25.					Sold (part)	09/28/15	K	D	
26.					Sold (part)	10/06/15	K	D	
27.					Buy (add'l)	10/07/15	K		
28.					Sold	10/07/15	K	D	
29. Citigroup	A	Dividend	L	T					
30. Continental Building Products		None	L	T	Buy (add'l)	01/20/15	J		
31. Cooper Standard		None	L	T	Buy	03/25/15	K		
32.					Buy (add'l)	04/27/15	J		
33.					Buy (add'l)	09/02/15	J		
34.					Buy (add'l)	09/28/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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FINANCIAL DISCLOSURE REPORT

Page 6 of 28

Name of Person Reporting

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Date of Report

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35.					Buy (add'l)	11/04/15	J		
36. Cott Corporation		None	K	T	Buy	06/19/15	K		
37. Direct TV CS -- Now AT&T		None			Sold	07/27/15	K	E	
38. Dish Network CS		None	L	T					
39. Ebay CS		None			Sold	01/23/15	K	E	
40. DSW Class A	A	Dividend	K	T	Buy	10/14/15	K		
41.					Sold (part)	11/25/15	J	A	
42. Express Scripts		None	L	T					
43. General Motors	C	Dividend	L	T	Buy (add'l)	05/01/15	K		
44.					Buy (add'l)	07/09/15	J		
45. Goldman Sachs	A	Dividend	K	T					
46. Google -- Now Alphabet		None	L	T					
47. Google Class C Nonvoting -- Now Alphabet		None	L	T					
48. Google Class A Voting -- Now Alphabet		None	L	T					
49. JP Morgan Chase CS	C	Dividend	M	T					
50. Kinross Gold_lorp		None	J	T					
51. Koppers Holdings		None			Buy	03/05/15	K		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 28

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2017

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52.					Sold (part)	11/17/15	K	A	
53.					Sold	12/29/15	K	B	
54. Market Vectors Gold	A	Dividend	K	T					
55. Newscorp CLA		None			Sold	05/22/15	J	D	
56. Newscorp CLB		None			Sold	05/14/15	K	A	
57. Netscout		None	K	T	Buy	08/06/15	K		
58.					Buy (add'l)	08/28/15	J		
59. Packaging Co. of America	B	Dividend	K	T	Buy	05/06/15	K		
60.					Buy (add'l)	07/10/15	J		
61. Pacific Drilling		None			Sold	12/24/15	J	A	
62. Range Resources	A	Dividend	K	T	Buy (add'l)	01/20/15	J		
63.					Buy (add'l)	07/31/15	J		
64. Spirit Airlines		None	K	T	Buy	12/29/15	K		
65. T. Mobile		None	L	T	Sold (part)	05/28/15	J	C	
66.					Buy (add'l)	05/29/15	J		
67.					Sold (part)	05/29/15	J	A	
68. Time Warner New	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 28

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2017

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69. TRW Automotive Holdings		None			Sold (part)	01/14/15	K	D	
70.					Buy (add'l)	01/15/15	K		
71.					Sold	01/15/15	K	D	
72. Twenty-First Century CLA	A	Dividend	L	T					
73. US Bancorp CS	A	Dividend	K	T	Sold (part)	11/09/15	J	D	
74. US Steel New		None			Buy	02/12/15	K		
75.					Sold	12/30/15	J	A	
76. WELLS FARGO BOND ACCOUNT									
77. Wells Fargo MM	A	Interest	J	T					
78. RBS Capital Funding f/k/a ABN Amro Cap VII	B	Dividend	K	T					
79. Abington PA School Dist.	B	Interest	K	T					
80. AmerisourceBergen Corp CS	A	Dividend	J	T					
81. Barclays Bank PLC Pfd. Stk (PF)	B	Dividend	K	T					
82. Deutsche Bank	B	Interest			Redeemed	04/20/15	K	A	
83. Duke Energy PF	A	Dividend	K	T					
84. Eaton Vance En. Equity MF	A	Dividend	J	T					
85. Eaton Vance Gr. India MF	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 28

Name of Person Reporting

Hardiman, Thomas M.

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86. Guggenheim Credit Allocation Fd	C	Dividend	K	T					
87. Investment Co. of America MF	B	Dividend	L	T					
88. Monroeville PA Mun.	A	Interest	K	T					
89. Mount Lebanon PA	B	Interest	K	T					
90. Octorara PA Area	B	Interest	K	T					
91. Pennsylvania HSG	A	Interest	K	T					
92. Spectra Energy Corp PF	A	Dividend	J	T					
93. University Area Jt.	A	Interest	K	T					
94. Washington Mutual Invest. MF	B	Dividend	L	T					
95. Capital World Income MF	A	Dividend	K	T					
96. Europacific Growth MF	A	Dividend	K	T					
97. New Perspective FDA MF	A	Dividend	K	T					
98. Lord Abbett Inv. Trust C Class	A	Dividend	J	T	Sold (part)	06/29/15	J	A	
99.					Sold (part)	07/28/15	J	A	
100.					Sold (part)	08/28/15	J	A	
101.					Sold (part)	09/28/15	J	A	
102. Lord Abbett Inv. Trust Class A	C	Dividend	L	T	Sold (part)	06/01/15	K	A	

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FINANCIAL DISCLOSURE REPORT

Page 10 of 28

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103.					Sold (part)	06/29/15	J	A	
104.					Sold (part)	07/28/15	J	A	
105.					Sold (part)	08/28/15	J	A	
106.					Sold (part)	09/28/15	J	A	
107.					Sold (part)	10/28/15	K	A	
108.					Sold (part)	11/30/15	K	A	
109.					Sold (part)	12/28/15	K	A	
110. Lord Abbett Equity Trust Calibrated Mid Cap	A	Dividend	K	T	Buy	06/01/15	K		
111.					Buy (add'l)	06/29/15	J		
112.					Buy (add'l)	07/28/15	J		
113.					Buy (add'l)	08/04/15	J		
114.					Buy (add'l)	08/28/15	J		
115.					Buy (add'l)	09/28/15	J		
116.					Buy (add'l)	10/28/15	J		
117.					Buy (add'l)	11/30/15	J		
118.					Buy (add'l)	12/28/15	J		
119. Lord Abbett Secs Trust Growth Leaders Fund		None	K	T	Buy	06/01/15	K		

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FINANCIAL DISCLOSURE REPORT

Page 11 of 28

Name of Person Reporting

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	06/29/15	J		
121.					Buy (add'l)	07/28/15	J		
122.					Buy (add'l)	08/28/15	J		
123.					Buy (add'l)	09/28/15	J		
124.					Buy (add'l)	10/28/15	J		
125.					Buy (add'l)	11/30/15	J		
126.					Buy (add'l)	12/28/15	J		
127. Flaherty & Crumrin	C	Dividend	L	T					
128. Chemtura Corp		None			Sold	04/10/15	K	D	
129. Citigroup	A	Dividend			Sold	04/10/15	J	B	
130. Dish Network Class A		None	J	T					
131. IAMGOLD Corp		None			Sold	04/10/15	J	A	
132. JP Morgan Chase	A	Dividend			Sold	04/10/15	J	C	
133. Kinross Gold Corp		None			Sold	04/10/15	J	A	
134. T Mobile		None			Sold	04/10/15	K	C	
135. MFS SER Trust III Mun High Income Class A	A	Dividend	J	T	Sold (part)	01/21/15	J	A	
136. MFS Global Total Rtn Fund Class A	A	Dividend	M	T	Buy (add'l)	01/21/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 28

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. MFS Growth Class A		None	N	T	Buy (add'l)	01/21/15	J		
138. MFS Mid Cap Value	A	Dividend	M	T	Buy (add'l)	01/21/15	J		
139. MFS Municipal Limited Maturity Fund	C	Dividend	N	T	Sold (part)	01/21/15	K	A	
140.					Buy (add'l)	05/27/15	N		
141.					Sold (part)	06/29/15	J	A	
142.					Sold (part)	07/27/15	J	A	
143.					Sold (part)	08/27/15	J	A	
144.					Sold (part)	09/28/15	J	A	
145.					Sold (part)	10/27/15	J	A	
146.					Sold (part)	11/27/15	J	A	
147.					Sold (part)	12/28/15	J	A	
148. MFS Utilities Fund	C	Dividend	M	T	Buy (add'l)	05/27/15	L		
149.					Buy (add'l)	06/29/15	J		
150.					Buy (add'l)	07/27/15	J		
151.					Buy (add'l)	08/27/15	J		
152.					Buy (add'l)	09/28/15	J		
153.					Buy (add'l)	10/27/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 28

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	11/27/15	J		
155.					Buy (add'l)	12/28/15	J		
156. MFS Global Equity Fund Class A	B	Dividend	M	T	Buy (add'l)	01/21/15	J		
157. MFS International Growth Fund	B	Dividend	M	T	Buy (add'l)	01/21/15	J		
158. MFS International Value Fund	B	Dividend	M	T	Buy (add'l)	01/21/15	J		
159. Putnam Capital Spectrum		None	L	T	Buy	04/30/15	L		
160.					Buy (add'l)	05/29/15	J		
161.					Buy (add'l)	06/29/15	J		
162.					Buy (add'l)	07/28/15	J		
163.					Buy (add'l)	08/28/15	J		
164.					Buy (add'l)	09/28/15	J		
165.					Buy (add'l)	10/28/15	J		
166.					Buy (add'l)	11/30/15	J		
167.					Buy (add'l)	12/28/15	J		
168. Putnam Equity Spectrum		None	L	T	Buy	04/30/15	L		
169.					Buy (add'l)	05/28/15	J		
170.					Buy (add'l)	06/29/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 14 of 28

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	07/28/15	J		
172.					Buy (add'l)	08/28/15	J		
173.					Buy (add'l)	09/28/15	J		
174.					Buy (add'l)	10/28/15	J		
175.					Buy (add'l)	11/30/15	J		
176.					Buy (add'l)	12/28/15	J		
177. 401(k) ACCOUNT #1A									
178. Lg. Value Equity MF (Dodge & Cox)	A	Dividend	K	T					
179. Lg. Value Growth (T. Rowe Price)	A	Dividend	K	T					
180. Mid Cap Value (Perkins Mid Cap Value)	A	Dividend	K	T					
181. International Value II (Dodge & Cox)	A	Dividend	J	T					
182. International Growth (Jamns Overseas)-- Transfer to Invesco Intl Growth	A	Dividend	J	T					
183. Invesco International Growth	A	Dividend	J	T					
184. 401(k) ACCOUNT #2									
185. Merrill Lynch	A	Interest	J	T					
186. Central Europe & Russia MF	A	Dividend	J	T					
187. Century Link	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 28

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Citigroup CS	A	Dividend	J	T					
189. General Electric CS	A	Dividend	J	T					
190. Nektar Therapeutics CS		None	J	T					
191. Sirius CS		None	K	T					
192. TRUST #1									
193. Wells Fargo Account	A	Interest	K	T					
194. AMCAP MF		None	M	T					
195. Capital World Gr. & Income MF	B	Dividend	L	T					
196. Europacific Growth MF	B	Dividend	L	T	Buy (add'l)	04/13/15	J		
197.					Buy (add'l)	05/13/15	J		
198.					Buy (add'l)	06/15/15	J		
199.					Buy (add'l)	07/13/15	J		
200. Fundamental Inv. MF	B	Dividend	M	T	Buy (add'l)	04/13/15	J		
201.					Buy (add'l)	05/13/15	J		
202.					Buy (add'l)	06/15/15	J		
203.					Buy (add'l)	07/13/15	J		
204. Growth Fund of America MF	A	Dividend	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 28

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Investment Co. of America MF	B	Dividend	L	T					
206. New Perspective MF	A	Dividend	M	T					
207. Washington Mutual Inv. MF	B	Dividend	L	T					
208. TRUST #2									
209. Wells Fargo Account	A	Interest	K	T					
210. AMCAP MF		None	L	T	Buy (add'l)	04/13/15	J		
211.					Buy (add'l)	05/13/15	J		
212.					Buy (add'l)	06/15/15	J		
213.					Buy (add'l)	07/13/15	J		
214. Capital World Gr. & Income MF	B	Dividend	L	T					
215. Europacific Growth MF	B	Dividend	L	T					
216. Fundamental Inv. MF	A	Dividend	L	T	Buy (add'l)	04/13/15	J		
217.					Buy (add'l)	05/13/15	J		
218.					Buy (add'l)	06/15/15	J		
219.					Buy (add'l)	07/13/15	J		
220. Growth Fund of America MF	A	Dividend	L	T	Buy (add'l)	04/13/15	J		
221.					Buy (add'l)	05/13/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 28

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	06/15/15	J		
223.					Buy (add'l)	07/13/15	J		
224. Investment Co. of America MF	A	Dividend	L	T					
225. New Perspective MF	A	Dividend	L	T					
226. Washington Mutual Inv. MF	A	Dividend	L	T					
227. TRUST #3									
228. Wells Fargo Account	A	Interest	L	T					
229. AMCAP MF		None	L	T	Buy (add'l)	04/13/15	J		
230.					Buy (add'l)	05/13/15	J		
231.					Buy (add'l)	06/15/15	J		
232.					Buy (add'l)	07/13/15	J		
233. Capital World Gr. & Income MF	B	Dividend	L	T					
234. Europacific Growth MF	A	Dividend	L	T	Buy (add'l)	04/13/15	J		
235.					Buy (add'l)	05/13/15	J		
236.					Buy (add'l)	06/15/15	J		
237.					Buy (add'l)	07/13/15	J		
238. Fundamental Inv. MF	A	Dividend	L	T	Buy (add'l)	04/13/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 28

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Buy (add'l)	05/13/15	J		
240.					Buy (add'l)	06/15/15	J		
241.					Buy (add'l)	07/13/15	J		
242. Growth Fund of America MF	A	Dividend	L	T	Buy (add'l)	04/13/15	J		
243.					Buy (add'l)	05/13/15	J		
244.					Buy (add'l)	06/15/15	J		
245.					Buy (add'l)	07/13/15	J		
246. Investment Co. of America MF	A	Dividend	L	T					
247. New Perspective MF	A	Dividend	L	T					
248. Washington Mutual Inv. MF	A	Dividend	K	T					
249. TRUST #4									
250. Wells Fargo Account	A	Interest	J	T					
251. AMCAP MF		None	M	T					
252. Fundamental Inv. MF	B	Dividend	M	T					
253. Growth Fund of America MF	A	Dividend	M	T					
254. Investment Co. of America MF	B	Dividend	M	T					
255. Lord Abbet Inv. Trust	A	Dividend			Sold	03/06/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 28

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. New Perspective MF	A	Dividend	M	T					
257. Washington Mutual Inv. MF	B	Dividend	M	T	Sold (part)	03/06/15	J	C	
258. TRUST #5									
259. Wells Fargo Bank Account	A	Interest	L	T					
260. AMCAP MF		None	K	T					
261. Capital Income Builder MF	A	Dividend	K	T					
262. Capital World Gr. & Income MF	A	Dividend	K	T					
263. Eaton Vance Gr. India MF	B	Dividend	L	T					
264. INTL Growth & Income MF	A	Dividend	K	T					
265. Fundamental INVS Inc. MF	A	Dividend	K	T					
266. Growth Fund of America MF	A	Dividend	K	T					
267. Investment Co. of America MF	A	Dividend	K	T					
268. JJ Lorick LLC		None	P1	T					
269. New Perspectives FD Inc. MF	A	Dividend	K	T					
270. Alphabet Inc. Class A -- Formerly Google		None	K	T					
271. Alphabet Inc. Class C -- Formerly Google		None	K	T	Sold (part)	05/04/15	J	A	
272. AIG Group	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 28

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. Apple Inc.	A	Dividend	K	T	Sold (part)	03/10/15	J	C	
274.					Sold (part)	10/22/15	J	B	
275. AT&T Inc. -- Formerly Direct TV		None			Sold	08/18/15	J	D	
276. Bank of NY Mellon	A	Dividend	K	T					
277. Berkshire Hathaway		None	K	T					
278. Chemtura		None			Sold (part)	02/06/15	J	B	
279.					Sold (part)	07/16/15	J	B	
280.					Sold (part)	09/28/15	J	B	
281.					Buy (add'l)	09/29/15	J		
282.					Sold (part)	09/29/15	J	A	
283.					Sold (part)	09/30/15	J	B	
284.					Sold	10/06/15	J	C	
285. Citigroup	A	Dividend	K	T					
286. Continental Building Products		None	K	T	Buy (add'l)	02/11/15	K		
287. Cooper-Standard		None	K	T	Buy (add'l)	03/25/15	J		
288.					Buy (add'l)	04/27/15	J		
289.					Buy (add'l)	09/02/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 28

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	09/28/15	J		
291. Cott	A	Dividend	K	T	Buy	06/19/15	J		
292. Direct TV CS --Merged with AT&T 7/7/15		None			Sold	07/27/15	J	D	
293. Dish Network CS		None	K	T					
294. DSW	A	Dividend	J	T	Buy	10/14/15	J		
295.					Sold (part)	11/25/15	J	A	
296. Ebay CS		None			Sold	01/23/15	J	D	
297. Express Scripts		None	K	T					
298. General Motors	B	Dividend	K	T	Buy (add'l)	05/01/15	K		
299.					Buy (add'l)	07/09/15	K		
300. Goldman Sachs	A	Dividend	K	T					
301. Google (Became Alphabet -- see lines 269 & 270)									
302. JPMorgan Chase CS	A	Dividend	K	T					
303. Kinross		None	J	T					
304. Market Vectors Gold	A	Dividend	J	T					
305. Newscorp - CLA		None			Sold	05/22/15	J	B	
306. Newscorp - CLB		None			Sold	05/14/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 28

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Netscout		None	J	T	Buy	08/06/15	J		
308.					Buy (add'l)	08/28/15	J		
309. Pacific Drilling		None			Sold	12/24/15	J	A	
310. Packaging Corp.	A	Dividend	K	T	Buy	05/06/15	J		
311.					Buy (add'l)	07/10/15	J		
312.					Buy (add'l)	09/30/15	J		
313. Range Resources	A	Dividend	J	T	Buy (add'l)	01/20/15	J		
314.					Buy (add'l)	07/31/15	J		
315. Kinross Gold Corp		None	J	T					
316. Koppers Holdings Inc		None			Buy	03/05/15	J		
317.					Sold (part)	11/17/15	J	B	
318.					Sold	12/29/15	J	A	
319. Spirit Airlines		None	J	T	Buy	12/29/15	J		
320. T Mobile		None	K	T	Sold (part)	09/22/15	J	C	
321. Time Warner, Inc. New	A	Dividend	J	T					
322. TRW Automotive Holdings		None			Sold	01/14/15	J	D	
323. Twenty First Century Fox	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 28

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. US Bancorp CS	A	Dividend	J	T	Sold (part)	11/09/15	J	C	
325. United States Steel		None	J	T	Buy	02/04/15	J		
326.					Sold (part)	12/30/15	J	A	
327. REAL ESTATE PARTNERSHIP									
328. West Moon Twp. Assoc.	E	Distribution	M	U					
329. Bensalem Realty Assoc.	G	Distribution			Sold	06/30/15	O	G	
330. FC Donegal Assoc.	G	Distribution	P1	U					
331. First City GA Partners	F	Distribution			Sold	05/15/15	O	G	
332. McIntyre Square Assoc.	D	Distribution	L	U					
333. WELLS FARGO ACCOUNT: REVOCABLE TRUST									
334. AMCAP MF		None	L	T	Buy	06/03/15	K		
335.					Buy (add'l)	07/06/15	J		
336.					Buy (add'l)	08/03/15	J		
337.					Buy (add'l)	09/03/15	J		
338.					Buy (add'l)	10/05/15	J		
339.					Buy (add'l)	11/03/15	J		
340.					Buy (add'l)	12/03/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 28

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. Capital World Growth & Income Fd	B	Dividend	L	T	Buy	06/03/15	K		
342.					Buy (add'l)	07/06/15	J		
343.					Buy (add'l)	08/03/15	J		
344.					Buy (add'l)	09/03/15	J		
345.					Buy (add'l)	10/05/15	J		
346.					Buy (add'l)	11/03/15	J		
347.					Buy (add'l)	12/03/15	J		
348. Fundamental Inv. MF	A	Dividend	L	T	Buy	06/03/15	K		
349.					Buy (add'l)	07/06/15	J		
350.					Buy (add'l)	08/03/15	J		
351.					Buy (add'l)	09/03/15	J		
352.					Buy (add'l)	10/05/15	J		
353.					Buy (add'l)	11/03/15	J		
354.					Buy (add'l)	12/03/15	J		
355. Growth Fund America	A	Dividend	L	T	Buy	06/03/15	K		
356.					Buy (add'l)	07/06/15	J		
357.					Buy (add'l)	08/03/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 28

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

358.					Buy (add'l)	09/03/15	J		
359.					Buy (add'l)	10/05/15	J		
360.					Buy (add'l)	11/03/15	J		
361.					Buy (add'l)	12/03/15	J		
362. Lord Abbett Inv't Tr	E	Dividend	O	T	Buy	07/31/15	O		
363. New Perspective Fd	A	Dividend	L	T	Buy	06/03/15	K		
364.					Buy (add'l)	07/06/15	J		
365.					Buy (add'l)	08/03/15	J		
366.					Buy (add'l)	09/03/15	J		
367.					Buy (add'l)	10/05/15	J		
368.					Buy (add'l)	11/03/15	J		
369.					Buy (add'l)	12/03/15	J		
370. Smallcap World		None	L	T	Buy	06/03/15	K		
371.					Buy (add'l)	07/06/15	J		
372.					Buy (add'l)	08/03/15	J		
373.					Buy (add'l)	09/03/15	J		
374.					Buy (add'l)	10/05/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 26 of 28

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2017

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	11/03/15	J		
376.					Buy (add'l)	12/03/15	J		
377. Short Term Bd Fd Amer	C	Dividend	O	T	Buy	06/03/15	N		
378.					Sold (part)	07/06/15	K	A	
379.					Buy (add'l)	07/31/15	N		
380.					Sold (part)	08/03/15	K	A	
381.					Sold (part)	09/03/15	K	A	
382.					Sold (part)	10/05/15	K	A	
383.					Sold (part)	11/03/15	K	A	
384.					Sold (part)	12/03/15	K	A	
385. Wash Mut Invs Fd	B	Dividend	L	T	Buy	06/03/15	K		
386.					Buy (add'l)	07/06/15	J		
387.					Buy (add'l)	07/31/15	J		
388.					Buy (add'l)	09/03/15	J		
389.					Buy (add'l)	10/05/15	J		
390.					Buy (add'l)	11/03/15	J		
391.					Buy (add'l)	12/03/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 27 of 28

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

NOTE: The asset Altisource Portfolio Solutions CS listed in Line 14 was sold on December 22, 2014, and was inadvertently left off of my 2014 Financial Disclosure Report. The Gain Code was A. Please accept this amendment to also amend my 2014 Financial Disclosure Report.

FINANCIAL DISCLOSURE REPORT

Page 28 of 28

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas M. Hardiman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544