

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sammartino, Janis L.	2. Court or Organization Southern District of California	3. Date of Report 05/10/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 940 Front St., Suite 3142 San Diego, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Chair, Judicial Advisory Board	Association of Business Trial Lawyers of San Diego
2. President	Louis M. Welsh Inn of Court Chapter, American Inns of Court
3. Trustee	Trust I
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

California State Judges Retirement

2. 2011

PDR Corp. - salary

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	PARENT PLUS LOANS	UNSECURED LOANS FOR COLLEGE TUITION	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MITEK	A	Dividend	J	T					
2. FISERV	A	Dividend	K	T					
3. TEVA	A	Dividend	J	T					
4. PRUDENTIAL	A	Dividend	J	T					
5. EURO PACIFIC GROWTH FUND (AEPGX) (IRA)		None			Sold	01/17/11	M	A	
6. INVESTMENT CO OF AMERICA (AIVSX) (IRA)		None			Sold	01/17/11	N	A	
7. AMERICAN GEN LIFE INS CO POLICY-- CASH VALUE		None	K	T					
8. RENTAL PROPERTY, SAN DIEGO, CA (TRUST I)		None	O	W					
9. MORGAN STANLEY SELECT UMA IRA		None	O	T	Buy	01/17/11	O		
10. TRUST #2 (MS ACTIVE ASSETS ACCT) (X)	E	Int/Div.	O	T					
11. -FTR stock (pref)									
12. -ZQK stock (pref)									
13. -Municipal Bonds:									
14. --CA St Various Purp									
15. --Santee CA Pub Fing Auth Lease Rev									
16. --Orange CA Redev Agy Tax Alloc Ref									
17. --CA St Pub Wks Brd Leas E Rev									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. --Turlock CA Uni Sch Dist									
19. --CA St. Genl Oblig Ser-2L									
20. --Banning CA Util Auth Wastew									
21. --CA St Var Purp Gen Oblig									
22. --Galt Schs Jt Pwrs Auth									
23. --Liberty Calif Un High Sch Dist Ref					Buy	07/11/11	K		
24. --CA St Genl Oblig Ser-1									
25. --MS Bank Acct									
26. TRUST #3 (SPECTRUM ASSET MGMT) (X)	E	Int/Div.	N	T					
27. --MS Bank account									
28. --Preferred stocks:									
29. --Aegon									
30. --Arch Cap Grp									
31. --Bank of America									
32. --Barclay's Bank									
33. --Commonwealth REIT									
34. --Credit Suisse									

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35. --Deutsche Bank					Buy (add'l)	10/05/11	J		
36. --Digital Realty									
37. --Duke Realty Corp									
38. --First Niagara Fin Grp					Buy	12/19/11	J		
39. --Goldman Sachs Group									
40. --HSBC Holdings									
41. --Ing Group NV 06.375					Buy	5/19/11	J		
42. --Ing Group NV 6.125									
43. --Ing Group NV 7.2									
44. --Ing Group NV 7.375									
45. --Ing Group NV 7.05					Buy (add'l)	03/17/11	J		
46. --Kimco Realty									
47. --Metlife Inc.									
48. --Partnerre Ltd.									
49. --Prudential PLC									
50. --PS Business Park									
51. --Public Storage					Buy (add'l)	04/06/11	J		

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52.					Buy (add'l)	07/20/11	J		
53.					Sold (part)	07/28/11	J	A	
54. --Realty Income Corp									
55. --Regency Centers									
56. --Renaissancere									
57. --Royal Bk Scot					Buy	07/15/11	J		
58. --Santander Fin									
59. --UBS stock									
60. --Vornado Realty					Buy (add'l)	08/15/11	J		
61. --Wachovia									
62. --Weingarten Realty									
63. --Wells Fargo									
64. --Fixed Rate Capital Securities:									
65. --Weingarten Realty Invest									
66. --Commonwealth REIT									
67. --Countrywide Capital IV									
68. --Wells Fargo Capital VII									

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69. --JP Chase Capital XI									
70. --PNC Capital Trust									
71. --Everest Resource Capital Trust II									
72. --Wells Fargo Capital									
73. --JP Morgan Chase Cap XIV									
74. --BAC Capital Trust Ser V									
75. --Prudential Financial Inc.									
76. --Entergy Texas Inc.									
77. --Ameriprise Financial Inc.									
78. --Vornado Rlty LP									
79. --JP Chase Cap XXVIII									
80. --Entergy Louisiana LLC									
81. --JPMorgan Chase Capital XXIX									
82. --Entergy Arkansas Inc.									
83. --Entergy Louisiana LLC									
84. --FPL Group Capital Trust i									
85. --Nat'l Rural Util									

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86. --Berkley WR Corp									
87. --General Elec Cap Corp									
88. --Markel Corp									
89. --General Elec Cap Corp 6.05%									
90. --Gen Elec Cap Corp 6.00%									
91. --PPL Capital FDG									
92. --US Bancorp PFD									
93. --LLoyds Banking									
94. --Entergy Mississippi Inc 6%									
95. --Qwest Corp									
96. --BAC Capital Trust X									
97. --BAC Capital Trust 6.875%									
98. --Comcast Corp									
99. --Viacom Inc									
100. --CBS Corp									
101. --Comcast Corp 6.625%									
102. --Telephone and Data Systems									

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103. --Telephone and Data Systems Inc									
104. --United States Cellular Corp									
105. --Goldman Sachs Group Inc. (-111)									
106. --Goldman Sachs Group Inc. (-184)									
107. --DTE Energy Co Ser I									
108. --Merrill Lynch Cap TR II 6.45%									
109. --Dominion Resources Inc Ser A									
110. --Scana Corp 7.70%									
111. --USB Capital VIII									
112. --Protective Life Corp									
113. --USB Capital XI									
114. --Merrill Lynch Capital Trust I									
115. --USB Capital XII 6.30%									
116. --Wachovia Cap Trust IX									
117. --Wells Fargo Cap 6.25%									
118. --Fifth Third Cap Trust 7.250									
119. --Fifth Third Capital Trust 7.25%									

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120. --Xcel Energy Inc.									
121. --M&T Capital Trust IV 8.5%									
122. --PNC Capital Trust E									
123. --Suntrust Cap IX 7.875%									
124. --Nextera Energy Capital									
125. --Money Market Fund									
126. TRUST #4 (MS SELECT UMA BASIC SECURITIES ACCT) (X)	D	Int./Div.	N	T					
127. --Morgan Stanley Bank Account									
128. --Common stocks:									
129. --Affiliated Mgrs Group Inc									
130. --Allergan Inc.									
131. --Alliance Data Systems Corp									
132. --Allianz SE									
133. --Alstom ADR					Buy	08/19/11	J		
134. --Altera CP					Sold (part)	01/10/11	J	A	
135. --Alumina Ltd									
136. --Ametek Inc					Buy	02/10/11	J		

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137. --Anglogold Ashanti Ltd									
138. --ANSYS Inc					Sold (part)	3/18/11	J	A	
139. --Apple Inc					Sold (part)	01/18/11	J	B	
140. --Astrazeneca PLC					Buy (add'l)	02/08/11	J		
141. --Axis Capital Holdings Ltd					Buy (add'l)	7/18/11	J		
142. --Barrick Gold Corp									
143. --Baxter Intl Inc									
144. --Cameco Corp									
145. --Carrefour SA									
146. --Catalyst Health Solutions									
147. --Celgene Corp									
148. --Centrais Elec									
149. --Church & Dwight Co									
150. --Citrix Systems					Sold (part)	01/10/11	J	A	
151. --Coach Inc					Buy	3/16/11	J		
152.					Buy (add'l)	9/06/11	J		
153. --Cognizant Tech Solutions									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --Concho Res Inc.									
155. --DAI NIPPPN									
156. --DAIICHI Sankyo Co									
157. --Danaher Corp									
158. --Decker Outdoor Corp									
159. --Denbury Resources Inc					Buy (add'l)	3/21/11	J		
160. --East Japan Ry Co									
161. --Electricite de France									
162. --Embraer									
163. --EMC Corp					Buy (add'l)	1/18/11	J		
164. --Express Scripts Inc									
165. --Finmeccanica Spa Roma									
166. --Fujifilm Hldgs Corp									
167. --Gazprom O A O Spon ADR									
168. --Gilead Science									
169. --Glaxosmithkline PLC									
170. --Gold Fields Ltd									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --Google Inc									
172. --Hachijuni Bank Ltd									
173. --Home Retail Group ADR									
174. --Idex Corp Delaware									
175. --Ill Tool Works Inc.					Buy	02/10/11	J		
176. --Intercontinentalexchange Inc									
177. --IBM									
178. --Ishares Russell 2000 Index Fd					Sold (part)	01/18/11	K	B	
179.					Sold (part)	04/27/11	J	A	
180.					Sold (part)	05/2/11	J	A	
181.					Sold	10/20/11	J	A	
182.					Sold (part)	11/23/11	J	A	
183.					Buy	10/27/11	J		
184. --Johnson Controls Inc									
185. --Kinross Gold Corp									
186. --Korea Electric Power Corp									
187. --Marine Harvest Asa									

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000	E --\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$5,000,000	M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. --MS&AD Ins Group					Buy (add'l)	4/08/11	J		
189. --MSC Indl Direct Co									
190. --Netapp Inc									
191. --Netlogic Microsystems Inc									
192. --Newcrest Mining Ltd									
193. --Nexen Inc									
194. --Nintendo Co Ltd									
195. --Nippon Telegraph&Telephone									
196. --Nokia Cp									
197. --Oreilly Automotive Inc									
198. --Occidental Petroleum Corp					Buy	06/07/11	J		
199. --Oracle Corp									
200. --Panasonic Corp									
201. --Pepsico Inc									
202. --Polyus Gold Intl Ltd									
203. --Praxair Inc					Buy (add'l)	02/10/11	J		
204. --Precision Castparts Corp									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. --Priceline.com Inc									
206. --Proctor & Gamble									
207. --PT Telekomunikasi Indonesia									
208. --Qualcomm Inc					Buy	03/18/11	J		
209. --Riverbed Tech Inc					Buy	07/20/11	J		
210. --Rohm Co Ltd									
211. --Ross Stores Inc					Buy	08/24/11	J		
212. --Sanofi ADR									
213. --Schlumberger Ltd									
214. --Sekisui House Ltd									
215. --Seven & I Holdings Co									
216. --Shiseido Ltd									
217. --Siemens Aktiengesellschaft									
218. --SK Telecom Co									
219. --Societe Generale Sp ADR									
220. --Southwestern Energy Co									
221. --SPDR Barclays Capital					Sold (part)	8/18/11	J	A	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold (part)	9/6/11	J	A	
223.					Sold (part)	9/8/11	J	A	
224.					Sold (part)	10/10/11	K	A	
225.					Sold (part)	10/17/11	J	A	
226.					Sold (part)	10/24/11	J	A	
227.					Sold (part)	10/27/11	J	A	
228.					Sold	11/14/11	J	A	
229.					Buy	11/17/11	J		
230.					Buy (add'l)	11/21/11	J		
231.					Buy (add'l)	11/22/11	J		
232.					Buy (add'l)	11/23/11	J		
233.					Sold (part)	11/30/11	J	A	
234.					Sold (part)	12/01/11	J	A	
235.					Buy (add'l)	12/14/11	J		
236.	--SPDR DJIA Trust				Sold (part)	7/20/11	J	A	
237.					Sold (part)	8/4/11	J	A	
238.					Sold (part)	08/15/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold	11/23/11	J	A	
240.					Buy	11/14/11	J		
241. --SPDR Trust Series 1					Sold (part)	02/23/11	J	A	
242.					Sold (part)	03/14/11	K	A	
243.					Sold (part)	03/15/11	J	A	
244.					Sold (part)	5/05/11	J	A	
245.					Sold (part)	05/09/11	J	A	
246.					Sold (part)	05/16/11	J	A	
247.					Sold (part)	05/20/11	J	A	
248.					Sold (part)	6/6/11	J	A	
249.					Sold (part)	6/7/11	J	A	
250.					Sold (part)	6/23/11	J	A	
251.					Sold (part)	7/12/11	K	A	
252.					Sold (part)	7/13/11	J	A	
253.					Sold (part)	7/15/11	J	A	
254.					Sold (part)	7/18/11	J	A	
255.					Sold (part)	7/27/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Sold (part)	7/29/11	J	A	
257.					Sold (part)	11/1/11	J	A	
258.					Sold (part)	11/21/11	J	A	
259.					Sold	11/22/11	J	A	
260.					Buy	12/01/11	J		
261.					Sold (part)	12/14/11	J	A	
262. --Stericycle Inc									
263. --Sumitomo Mitsui Tr Hldgs									
264. --Swisscom Ag ADR					Buy (add'l)	11/7/11	J		
265. --Talisman Energy Inc									
266. --Target Corp									
267. --Telcom Italia									
268. --TNT Express Nv									
269. --Toyota Motor Cp									
270. --Transocean Ltd									
271. --UBS Ag New									
272. --VF Corp					Buy	08/24/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. --Verifone Systems Inc					Buy	05/05/11	J		
274. --Visa Inc									
275. --Vodafone Gp									
276. --Wacoal Cp									
277. --Waste Connections									
278. --Wolters Kluwer									
279. -Lazard Emerging Markets Open Mutual Fund									
280. TRUST #5 (MS FID SVCS ACTIVE ASSETS ACCT (X)	D	Dividend	N	T					
281. -MS Active Assets Money Trust									
282. -Common Stocks:									
283. --Anglogold Ashanti Ltd					Buy (add'l)	1/13/11	J		
284. --AON Corp									
285. --Apache Corp									
286. --Aurico Gold Inc					Buy	10/27/11	J		
287. --Barrick Gold Corp					Sold (part)	4/28/11	J	A	
288.					Sold (part)	11/11/11	J	C	
289. --CA Incorporated									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. --Cisco Sys Inc					Buy	09/08/11	J		
291. --Citigroup Inc					Buy (add'l)	03/29/11	J		
292.					Buy (add'l)	03/31/11	J		
293.					Buy (add'l)	05/12/11	J		
294.					Buy (add'l)	06/01/11	J		
295.					Buy (add'l)	11/16/11	J		
296. --Convergys Corp					Buy (add'l)	08/22/11	J		
297. --Delphi Automotive					Buy	11/17/11	J		
298.					Buy (add'l)	11/22/11	J		
299. --Denbury Resources Inc					Buy (add'l)	6/29/11	J		
300.					Buy (add'l)	06/30/11	J		
301. --FBR & Co									
302. --GM					Buy (add'l)	2/22/11	J		
303. --Genworth Financial Inc									
304. --Guess Inc					Buy	09/09/11	J		
305.					Buy (add'l)	09/12/11	J		
306.					Buy (add'l)	09/22/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Sammartino, Janis L.

Date of Report

05/10/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307. --Hartford Fin Sers Grp					Buy (add'l)	07/25/11	J		
308.					Buy (add'l)	9/22/11	J		
309.					Buy (add'l)	11/16/11	J		
310.					Buy (add'l)	11/17/11	J		
311. --Hess Corp									
312. --Ingersoll-Rand PLC									
313. --Interpublic Group of Cos Inc					Buy (add'l)	08/22/11	J		
314. --JP Morgan Chase									
315. --Loews Corp					Sold (part)	2/02/11	J	B	
316. --MKS Instruments					Buy (add'l)	06/10/11	J		
317. --Mattson Tech Inc									
318. --Motorola Solutions Inc					Sold (part)	4/26/11	J	A	
319.					Sold (part)	4/28/11	J	A	
320.					Sold (part)	10/25/11	J	A	
321.					Sold (part)	12/09/11	J	A	
322. --Noble Energy Inc									
323. --NRG Energy Inc									

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000	E --\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$50,000,000	M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. --Paccar Inc					Buy	9/08/11	J		
325.					Buy (add'l)	9/09/11	J		
326. --Pfizer Inc					Sold (part)	12/07/11	J	A	
327. --Pitney Bowes Inc					Sold (part)	3/25/11	J	A	
328. --PMC Sierra Inc					Buy (add'l)	1/28/11	J		
329.					Buy (add'l)	3/9/11	J		
330.					Buy (add'l)	3/15/11	J		
331.					Buy (add'l)	4/12/11	J		
332.					Buy (add'l)	12/6/11	J		
333.					Buy (add'l)	12/8/11	J		
334. --Private Bancorp Inc					Buy (add'l)	7/26/11	J		
335.					Buy (add'l)	7/28/11	J		
336. --Redwood Trust Inc									
337. --Reinsurance Group of America					Sold (part)	6/29/11	J	A	
338.					Sold (part)	6/30/11	J	A	
339. --Salix Pharm Ltd					Buy (add'l)	6/24/11	J		
340.					Buy (add'l)	7/11/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000	E --\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$50,000,000	M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341.					Buy (add'l)	7/18/11	J		
342.					Buy (add'l)	7/20/11	J		
343. --Sanofi ADR									
344. --Talisman Energy Inc					Buy (add'l)	7/29/11	J		
345.					Buy (add'l)	10/21/11	J		
346.					Buy (add'l)	11/9/11	J		
347. --Teva Pharmaceuticals					Buy	6/07/11	J		
348.					Buy (add'l)	6/08/11	J		
349.					Buy (add'l)	6/21/11	J		
350.					Buy (add'l)	9/20/11	J		
351. --Trinity Ind Delaware					Sold (part)	11/15/11	J	A	
352. --Unumprovident Corp									
353. --Vertex Pharmaceuticals					Buy	11/17/11	J		
354. --Viacom Inc					Sold (part)	05/25/11	J	A	
355.					Sold (part)	05/26/11	J	A	
356. TRUST #6 (MS FID SVCS ACTIVE ASSETS (X))	E	Int./Div.	O	T					
357. -MS Active Assets Money Trust									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. --Common Stocks:									
359. --Abbott Laboratories					Buy (add'l)	02/03/11	J		
360.					Buy (add'l)	02/15/11	J		
361. --American Water Works Co					Buy (add'l)	02/03/11	J		
362.					Sold (part)	6/08/11	J	A	
363. --AT&T					Buy (add'l)	02/03/11	J		
364. --BCE Inc									
365. --BK Montreal					Buy (add'l)	02/03/11	J		
366. --Cincinnati Financial Ohio									
367. --Cinemark Holdings Inc									
368. --Digital Realty Trust Inc.					Buy (add'l)	7/12/11	J		
369. --Donnelley RR & Sons					Buy (add'l)	2/03/11	J		
370. --Eli Lilly & Co									
371. --Energy Transfer Partners					Buy (add'l)	5/04/11	J		
372.					Buy (add'l)	7/12/11	J		
373. --Enerplus Corp					Buy (add'l)	2/03/11	J		
374.					Buy (add'l)	7/12/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. --Enterprise Prod Ptners LP					Buy (add'l)	2/03/11	J		
376.					Buy (add'l)	07/12/11	J		
377.					Sold (part)	7/25/11	J	B	
378. --GE					Buy	11/29/11	J		
379. --Glaxosmithkline PLC					Buy (add'l)	2/03/11	J		
380.					Buy (add'l)	2/16/11	J		
381. --HJ Heinz Co									
382. --HCP Inc					Buy (add'l)	02/03/11	J		
383.					Sold (part)	7/25/11	J	A	
384. --Intel Corp									
385. --International Paper					Buy	06/09/11	J		
386. --Johnson & Johnson					Buy (add'l)	02/03/11	J		
387. --Kinder Morgan Energy					Buy (add'l)	02/03/11	J		
388. --Limited Brands Inc					Buy	12/05/11	J		
389. --Maxim Integrated Products Inc					Buy (add'l)	02/03/11	J		
390.					Sold (part)	3/9/11	J	A	
391. --Meadwestvaco Corp					Buy	02/07/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. --Merck & Co					Buy (add'l)	02/03/11	J		
393. --Microchip Technology Inc					Sold (part)	01/11/11	J	B	
394. --Nat'l Grid Transco					Buy (add'l)	02/03/11	J		
395. --Nisource Inc					Buy (add'l)	02/03/11	J		
396.					Buy (add'l)	7/25/11	J		
397. --Northeast Utilities					Sold (part)	11/08/11	J	B	
398. --Nustar Energy LP					Buy (add'l)	02/03/11	J		
399. --Oneok Partners LP					Buy (add'l)	02/03/11	J		
400. --Pfizer Inc					Buy (add'l)	7/12/11	J		
401. --Plains All American Piplin									
402. --Seadrill Ltd					Buy (add'l)	07/12/11	J		
403. --Spectra Energy Corp					Buy (add'l)	07/12/11	J		
404. --Statoil ASA					Buy (add'l)	2/03/11	J		
405.					Buy (add'l)	7/12/11	J		
406. --Telefonica SA ADR					Buy (add'l)	02/03/11	J		
407.					Buy (add'l)	7/01/11	J		
408.					Buy (add'l)	9/23/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. --Valley Natl Bancorp					Buy	4/29/11	J		
410.					Buy (add'l)	5/2/11	J		
411.					Buy (add'l)	5/05/11	J		
412. --Vodafone GP PLC					Buy	01/06/11	J		
413. --Williams Co Inc					Buy	03/10/11	J		
414. --Windstream Corp					Buy (add'l)	02/03/11	J		
415. --YPF Sociedad ADS					Buy	11/08/11	J		
416. TRUST #7 (MS ACTIVE ASSETS ACCT) (X)	F	Int./Div.	P1	T					
417. --MS Bank Account									
418. --Bank of America Corp stock (common)									
419. --Ing Groep stock (pref)									
420. --CA St Genl Oblig Build America Mun Bond									
421. --Corporate Bonds:									
422. --Bank of America 8.0% fixed div									
423. --Bank of America 8.125% fixed D IV									
424. --Goldman Sachs Capt II									
425. --JPMorgan Chase									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. --Wells Fargo Co									
427. --Unitedhealth Group Inc									
428. --General Elec Cap Corp									
429. --American Express									
430. --Conocophillips									
431. --Altria Group Inc									
432. --At&T Inc (-AQ5)									
433. --Wellpoint Inc									
434. --Caterpillar Finl									
435. --Dell Inc									
436. --Rio Tinto finance USA Ltd									
437. --Morgan Stanley (-CE3)									
438. --Donnelley & Sons									
439. --Morgan Stanley (-BR9)									
440. --Boston Scientific Corp									
441. --Comcast Corp									
442. --Barclays Bank PLC					Buy	10/04/11	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. --Prologis Trust									
444. --Marathon Oil Corp									
445. --General Electric Co									
446. --Goldman Sachs Group Inc									
447. --AT&T Inc (-AJ1)									
448. --Verizon Communications									
449. --Kraft Foods Inc									
450. --Frontier Communications									
451. --TYCO International Finan									
452. --Boeing Co									
453. --CVS Caremark Corp									
454. --Indiana Michigan Power									
455. --Lorillard Tobacco Co									
456. --Altria Group Inc									
457. --Step Up Callable Notes									
458. --General Elec Cap Corp					Buy	10/04/11	K		
459. --Countrywide Alternative Loan Trust									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. -JP Morgan Mortgage Trust (-AK6)									
461. -JP Morgan Mortgage Trust (-AE0)									
462. -Government Securities:									
463. --FNMA 09-19 TD									
464. --FHR 3249 CB									
465. -Mutual Funds:									
466. --Blackrock Energy Res					Buy	4/04/11	L		
467. --Blackrock Strat Inc Opport					Buy	04/04/11	L		
468.					Buy (add'l)	7/11/11	K		
469.					Buy (add'l)	10/4/11	K		
470. --Highland Floating RT Opport									
471. --Oppenheimer SR Floating Rate					Buy	02/01/11	K		
472.					Buy (add'l)	10/04/11	K		
473. --Templeton Global Bond Fd					Buy	06/16/11	L		
474. -AIP Gbl L/S P Hedge Fund					Buy (add'l)	11/30/11	J		
475.									
476.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

Name of Person Reporting	Date of Report
Sammartino, Janis L.	05/10/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Janis L. Sammartino**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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