

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Klein, Sandra R.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 05/07/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 255 E. Temple Street Suite 1582 Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2012

- Salary & bonus

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. California Bankruptcy Forum

5/18/2012 - 5/20/2012

Rancho Mirage, CA

24th Annual California
Bankruptcy Conference

Lodging and meals

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase	Mortgage on Rental Property #1	O
2.	Chase	Mortgage on Rental Property #2	O
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage #1									
2. -Altria	A	Dividend	J	T					
3. -British Petroleum	A	Dividend	K	T					
4. -Bristol Myers Squibb	A	Dividend	K	T					
5. -Walt Disney	A	Dividend	J	T					
6. -Frontier Communications	A	Dividend	J	T					
7. -Hewlett Packard	A	Dividend	J	T					
8. -Kraft Foods	A	Dividend	J	T					
9. -Mondelez	A	Dividend	J	T	Spinoff (from line 8)	10/5/12	J		
10. -Microsoft	A	Dividend	J	T					
11. -Pfizer	A	Dividend	J	T					
12. -Philip Morris International	B	Dividend	K	T					
13. -Powershares QQQ	A	Dividend	J		Sold	2/6/12	J	D	
14. -Tyler Technologies		None	K	T					
15. -Verizon	A	Dividend	J	T					
16. -VXX		None	J	T					
17. -VPU	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. - Cash in Wells Fargo Bank Deposit Sweep Account*	A	Interest	K	T					
19.									
20. Brokerage #2									
21. -Apache	A	Dividend	J	T					
22. -Devon Energy	A	Dividend	J	T					
23. -Exxon Mobil	A	Dividend	J	T					
24. - Highmark California MMA	A	Dividend	K	T					
25.									
26. Union Bank 401-K #1	E	Dividend	O	T					
27. -UB Stable Value Fund C									
28. -Vanguard Target Ret. 2025									
29. -Vanguard Intl Index Plus					Sold	1/20/12	M	A	
30. - Metropolitan West Total Return					Buy	9/30/12	N		
31.									
32. Wells Fargo 401-K #2	D	Dividend	M	T					
33. -WF Stable Value Fund									
34. -S&P 500 Index Fund					Sold	1/23/12	K	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - US Bond Index Fund					Buy	1/23/12	K		
36.									
37. Vanguard IRA #1	D	Dividend	M	T					
38. -Equity Income Fund									
39. -Morgan Growth Fund									
40. -Value Index Fund									
41. -Windsor Fund									
42. -Lifestrategy Growth Fund									
43. -Prime Money Market Fund									
44. -Global Equity Fund									
45. -Pacific Stock Index Fund									
46. -Wellington Fund									
47. -Energy Fund									
48. -Strategic Equity Fund									
49. -REIT Index Fund									
50.									
51. Vanguard 529 Plan #1	A	Dividend	J	T					

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52. -Growth Index					Sold	1/20/12	J	A	
53. -Morgan Growth					Sold	1/20/12	J	A	
54. -Total Int'l Stock Index					Sold	1/20/12	J	A	
55. -Value Index					Sold	1/20/12	J	A	
56. - Income Fund					Buy	1/20/12	J		
57.									
58. Vanguard 529 Plan #2	A	Dividend	J	T					
59. -Total Int'l Stock Index					Sold	1/20/12	J	A	
60. -Total Stock Market Index					Sold	1/20/12	J	A	
61. -High Yield Bond Portfolio					Sold	1/20/12	J	A	
62. - Income Fund					Buy	1/20/12	J		
63.									
64. Vanguard 529 Plan #3									
65. - Income Fund	A	Dividend	J	T	Buy	1/30/12	J		
66.									
67. Brokerage #3									
68. -Wells Fargo Calif. MMA	A	Dividend	M	T					

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69. -Altria	A	Dividend	J	T					
70. -British Petroleum	A	Dividend	J	T					
71. -Bristol Myers Squibb	A	Dividend	J	T					
72. -General Electric	A	Dividend	J	T					
73. -Pfizer	A	Dividend	J	T					
74. -Philip Morris Int'l	A	Dividend	K	T					
75.									
76. Brokerage #4									
77. -Highmark Calif. MMA	A	Dividend	J	T					
78. -Apache	A	Dividend	J	T					
79. -Coca Cola	A	Dividend	J	T					
80. -Devon Energy	A	Dividend	J	T					
81. -Exxon Mobil	A	Dividend	J	T					
82.									
83. Fidelity Brokerage #5									
84. -Fidelity Cash Reserves	A	Dividend	K	T					
85. -Worldwide	A	Dividend	K	T					

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86. -Asset Manager 70%	A	Dividend	L	T					
87. -Magellan	A	Dividend	K	T					
88. -Growth & Income	A	Dividend	M	T					
89.									
90. Fidelity IRA #2	E	Dividend	M	T					
91. -Stock Selector Large Cap Value									
92. -Fifty									
93. -Balanced									
94. -Select Energy									
95. -Value									
96.									
97. Fidelity IRA #3	D	Dividend	K	T					
98. -Real Estate Investment									
99. -Select Energy									
100. -Select Defense & Aerospace									
101.									
102. Fidelity Brokerage #6									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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103. -Calif. MMA	A	Dividend	K	T					
104.									
105. Rental property #1 - Redondo Beach, CA	E	Rent	O	W					
106. Rental property #2 - Redondo Beach, CA	F	Rent	P1	W					
107. Rental property #3 - Redondo Beach, CA	D	Rent	O	W	Buy	3/18/12	O		
108.									
109. Brokerage #7									
110. - MUFJ	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

* Section VII, line 18 was inadvertently left off of my previous financial disclosure reports.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sandra R. Klein

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544