

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dorsey, Jennifer A.	2. Court or Organization US District Court - Nevada	3. Date of Report 05/07/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Lloyd D. George Federal Courthouse 333 Las Vegas Boulevard South Las Vegas, Nevada 89101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Family Trust #1
2.	Adjunct Professor (Part-Time)	William S. Boyd School of Law at University of Nevada, Las Vegas
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	William S. Boyd School of Law at University of Nevada, Las Vegas - Adjunct Professor	\$3,200.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Ainsworth Game Technology - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. State Bar of Nevada	June 29, 2017 - July 2, 2017	Austin, Texas	State Bar of Nevada Bar Association Annual Meeting (Instructor - Trial Academy)	Transportation and lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Horizon Ridge Professional Park, L.P.	A	Interest	J	W					
2. US Series EE Savings Bonds	A	Interest	J	T					
3. 529 Accounts (H)									
4. - American Funds Bond Fund of America 529	A	Interest	K	T					
5. - American Funds Growth Fund of America 529	A	Interest	L	T	Sold (part)	12/21/17	J		
6.					Buy (add'l)	12/21/17	J		
7. - American Funds Capital World Bond Fund 529	A	Interest	J	T					
8. - American Funds EuroPacific Growth Fund 529	A	Interest	J	T					
9. - American Funds New World Fund 529	A	Interest	J	T					
10. - American Funds US Govt Sec Fund 529	A	Interest	J	T					
11. - American Funds Fund of America 529	A	Interest	J	T					
12. Trust # 1 Accounts									
13. - Wells Fargo Cash Accounts	A	Interest	M	T					
14. - Morgan Stanley Cash Accounts	B	Interest	N	T					
15. - Clark County, NV Rental Property	E	Rent	N	R					
16. Stocks/Equities (H)									
17. - AES Corp stock	A	Dividend	J	T	Buy (add'l)	08/10/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Allergan PLC stock	A	Dividend			Sold	11/09/17	J		
19. - Alphabet Inc. Class A stock (f/k/a Google)	A	Dividend	K	T					
20. - Altria Group Inc stock	A	Dividend	K	T	Buy (add'l)	07/31/17	J		
21. - Amazon Com stock	A	Dividend	J	T	Buy	06/15/17	J		
22.					Buy (add'l)	09/25/17	J		
23. - American Intl Gp Inc (new) stock	A	Dividend	J	T	Buy	05/17/17	J		
24. - Amgen Inc stock	A	Dividend	J	T					
25. - Amphenol Corp New Class A stock	A	Dividend	J	T					
26. - Anadarko Pete stock	A	Dividend	J	T					
27. - Anheuser Busch Inbev SA stock	A	Dividend	J	T	Buy (add'l)	03/02/17	J		
28.					Buy (add'l)	05/18/17	J		
29. - Anthem Inc stock	A	Dividend	J	T	Buy	09/12/17	J		
30. - Apple Inc stock	A	Dividend	K	T	Sold (part)	06/01/17	J		
31.					Buy (add'l)	09/13/17	J		
32. - Applied Materials Inc stock	A	Dividend	J	T	Sold (part)	11/10/17	J		
33. - Bank of America Corp stock	A	Dividend	K	T	Sold (part)	02/08/17	J		
34.					Buy (add'l)	03/21/17	J		

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35.					Buy (add'l)	09/07/17	J		
36. - Baxter Intl Inc stock	A	Dividend	J	T					
37. - Berkshire Hathaway Class B stock	A	Dividend	J	T					
38. - Boeing Inc stock	A	Dividend	J	T	Sold (part)	03/02/17	J		
39.					Sold (part)	03/16/17	J		
40. -Bristol Myers Squibb Co stock	A	Dividend	J	T					
41. - British Amer Tob Spon ADR stock	A	Dividend	J	T					
42. - Broadridge Financial Solution LLC stock	A	Dividend	J	T	Buy	06/01/17	J		
43. - CSX Corp stock	A	Dividend	J	T					
44. - CVS Health Corp stock	A	Dividend	J	T	Buy (add'l)	12/12/17	J		
45. - Casey's General Stores Inc stock	A	Dividend	J	T	Sold (part)	12/07/17	J		
46. - Celgene Corp stock	A	Dividend	K	T	Buy (add'l)	02/14/17	J		
47. - Cerner Corp stock	A	Dividend	J	T					
48. - Chevron Corp stock	A	Dividend	J	T	Buy (add'l)	05/02/17	J		
49. - Citigroup Inc stock	A	Dividend	J	T					
50. - Coca Cola Co stock	A	Dividend			Sold	03/09/17	J		
51. - Cognizant Tech Solutions stock	A	Dividend	J	T					

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52. - ConocoPhillips stock	A	Dividend	K	T					
53. - Corning Inc stock	A	Dividend	J	T	Sold (part)	07/05/17	J		
54. - Cummins Inc stock	A	Dividend			Sold	04/27/17	J		
55. - Walt Disney Co Holding Company stock	A	Dividend	K	T	Buy (add'l)	09/07/17	J		
56. - Dollar Tree Inc stock	A	Dividend	J	T					
57. - Du Pont Ei De Nemours & Co stock	A	Dividend	J	T					
58. - Ecolab Inc stock	A	Dividend	J	T					
59. - Express Scripts Hldg Co stock	A	Dividend	J	T					
60. - Exxon Mobil stock	A	Dividend	J	T					
61. - FMC Corp stock	A	Dividend	J	T					
62. - Facebook Inc Class A stock	A	Dividend	K	T	Buy (add'l)	04/06/17	J		
63.					Buy (add'l)	09/25/17	J		
64. - Fastenal Co stock	A	Dividend	J	T					
65. - Fleetcor Technologies stock	A	Dividend	J	T					
66. - Gilead Science stock	A	Dividend			Sold (part)	02/08/17	J		
67.					Sold	03/10/17	J		
68. - Halliburton Co stock	A	Dividend	K	T					

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69. - Honeywell International Inc stock	A	Dividend	J	T					
70. - Humana Inc stock	A	Dividend	J	T					
71. - Intel Corp stock	A	Dividend	J	T					
72. - Intuit Inc stock	A	Dividend	J	T					
73. - JP Morgan Chase & Co stock	A	Dividend	J	T					
74. - Johnson & Johnson stock	A	Dividend	J	T					
75. - Juniper Networks stock	A	Dividend	J	T					
76. - Littlefuse Inc stock	A	Dividend	J	T	Buy	11/08/17	J		
77. - Lockheed Martin Corp stock	A	Dividend	J	T					
78. - Lowes Companies Inc stock	A	Dividend	J	T					
79. - MGM Resorts International stock	A	Dividend	J	T	Buy	10/02/17	J		
80. - Marathon Petroleum Corp stock	A	Dividend	J	T					
81. - Markel Corp (Holding Co) stock	A	Dividend	J	T	Buy	01/20/17	J		
82. - Mastercard Inc stock	A	Dividend	J	T	Sold (part)	11/06/17	J		
83. - Maximus Inc stock	A	Dividend	J	T					
84. - Merck & Co Inc stock	A	Dividend	J	T					
85. - Metlife Incorporated stock	A	Dividend	J	T					

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86. - Microsoft Corp stock	A	Dividend	K	T					
87. - Middleby Corp stock	A	Dividend	J	T					
88. - Monro Muffler & Brake stock	A	Dividend			Sold	05/01/17	J		
89. - Morgan Stanley stock	A	Dividend	J	T					
90. - Nextera Energy Inc stock	A	Dividend	J	T	Sold (part)	12/12/17	J		
91. - Nike Inc stock	A	Dividend	J	T					
92. - Novo Nordisk A/S ADR stock	A	Dividend	J	T					
93. - Palo Alto Networks Inc stock	A	Dividend	J	T	Buy	03/03/17	J		
94. - Pepsico Inc stock	A	Dividend	J	T					
95. - Philip Morris Intl Inc stock	A	Dividend	J	T					
96. - Phillips 66 stock	A	Dividend	K	T	Buy (add'l)	05/18/17	J		
97.					Sold (part)	10/11/17	J		
98. - Priceline Grp Inc stock	A	Dividend	J	T	Buy (add'l)	11/14/17	J		
99. - Qualcomm Inc stock	A	Dividend	J	T	Buy (add'l)	01/23/17	J		
100. - Reynolds American Inc stock	A	Dividend			Redeemed (part)	07/25/17	J		
101. - Royal Dutch Shell PLC stock	A	Dividend	J	T					
102. - Schlumberger Ltd stock	A	Dividend	J	T					

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103. - Sherwin Williams Company stock	A	Dividend	J	T					
104. - Starbucks Corp stock	A	Dividend			Sold	08/01/17	J		
105. - TJX Cos Inc stock	A	Dividend	J	T	Buy (add'l)	05/19/17	J		
106. - Target Corporation stock	A	Dividend	J	T	Buy (add'l)	03/09/17	J		
107. - Teradata Corp stock	A	Dividend	J	T					
108. - Tractor Supply Co stock	A	Dividend			Sold	11/01/17	J		
109. - Tyson Foods Inc stock	A	Dividend	J	T					
110. - Union Pacific Corp stock	A	Dividend	J	T					
111. - United Technologies Corp stock	A	Dividend	J	T					
112. - Unumprovident Corp stock	A	Dividend	J	T	Sold (part)	12/11/17	J		
113. - Valero Energy CP stock	A	Dividend	J	T	Sold (part)	05/02/17	J		
114. - VCA Antech Inc stock	A	Dividend			Sold	01/12/17	J		
115. - Verizon Communications stock	A	Dividend	J	T					
116. - Visa Inc stock	A	Dividend	J	T					
117. - Wells Fargo & Co stock	A	Dividend	K	T	Buy (add'l)	05/16/17	J		
118. - Williams Co Inc stock	A	Dividend	J	T	Buy (add'l)	01/10/17	J		
119.					Buy (add'l)	01/23/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	I = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. ETF/Equity Index Holdings (H)									
121. - Guggenheim Enhanced Short Duration ETF	A	Dividend			Sold	02/09/17	J		
122. - Guggenheim S&P 500 Equal Weight ETF	A	Dividend	J	T	Buy	03/14/17	J		
123.					Buy (add'l)	07/12/17	J		
124. - First Trust Large Cap Core ETF	A	Dividend	J	T	Sold (part)	06/06/17	J		
125.					Sold (part)	11/08/17	J		
126. - First Trust Dow Jones Stoxx Eur ETF	A	Dividend	J	T	Buy	06/06/17	J		
127.									
128.									
129.									
130. - O'Shares FTSE US Quality ETF	A	Dividend	J	T	Buy (add'l)	06/06/17	J		
131. - Global X RBTCS & AI ETF	A	Dividend	J	T	Buy	12/01/17	J		
132. - IQ Enhanced Core Plus Bond ETF	A	Dividend	J	T	Buy	07/27/17	J		
133.					Buy (add'l)	11/09/17	J		
134. - iShares Core S&P Total US Stock ETF	A	Dividend	K	T	Buy (add'l)	06/06/17	J		
135. - iShares TIPS Bond ETF	A	Dividend	J	T	Buy	10/17/17	K		
136.					Sold (part)	11/28/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - S&P 500 Index Fund ETF	A	Dividend	J	T	Sold (part)	02/09/17	J		
138.					Sold (part)	09/11/17	J		
139. - iShares Core US Aggregate ETF	A	Dividend			Sold (part)	04/11/17	J		
140.					Sold (part)	07/12/17	J		
141.					Sold	09/11/17	J		
142. - iShares SP Smallcap 600 Index ETF	A	Dividend	J	T	Buy	02/09/17	J		
143.					Buy (add'l)	03/14/17	J		
144.					Buy (add'l)	07/12/17	J		
145. - iShares MSCI Emerging Markets ETF	A	Dividend	J	T	Buy (add'l)	06/06/17	J		
146.					Sold (part)	06/06/17	J		
147. - iShares MSCI USA Min Vol ETF	A	Dividend	J	T	Sold (part)	07/12/17	J		
148. - JPM Diversified Ret Intl Equity ETF	A	Dividend	J	T	Buy (add'l)	06/06/17	J		
149. - Mainstay Def Term Muni Opp ETF	A	Dividend	J	T	Buy	03/09/17	J		
150. - Powershares DB Base Metals FD ETF	A	Dividend	J	T	Sold (part)	05/01/17	J		
151.					Buy (add'l)	07/17/17	J		
152. - Powershares QQQ ETF	A	Dividend	K	T	Buy (add'l)	09/11/17	J		
153. - Powershares DB Multi-Sec Energy FD ETF	A	Dividend	J	T	Buy	04/11/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold	05/11/17	J		
155.					Buy	09/11/17	J		
156. - SPDR Portf Emerging Markets ETF	A	Dividend	J	T	Buy	11/08/17	J		
157. - SPDR Blackstone GSO Sen Loan ETF	A	Dividend	J	T	Buy (add'l)	05/11/17	J		
158.					Sold (part)	07/12/17	J		
159.					Sold (part)	09/11/17	J		
160. - SPDR S&P Midcap 400 ETF	A	Dividend	J	T	Buy	02/09/17	J		
161.					Buy (add'l)	07/12/17	J		
162. - SPDR Barcap Short Term High Yield ETF	A	Dividend			Sold	07/12/17	J		
163. - SPDR Technology Sel Sector FD ETF	A	Dividend	J	T	Buy	05/11/17	J		
164.					Sold (part)	05/16/17	J		
165. - Energy Select Sector SDPR ETF	A	Dividend			Buy (add'l)	02/09/17	J		
166.					Sold	03/14/17	J		
167. - WT BBRG DLR Bull ETF	A	Dividend	J	T					
168. - Invesco Diversified Dividend EFT	C	Dividend	L	T	Buy	03/29/17	M		
169.					Sold (part)	10/17/17	K		
170.					Sold (part)	10/26/17	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	12/15/17	J		
172. Mutual Funds (H)									
173. - American Century Equity Inc Inv	A	Interest			Sold (part)	03/29/17	L		
174. - American Century Emerg Mkts Inv	A	Interest	L	T	Buy	06/08/17	L		
175.					Buy (add'l)	07/20/17	J		
176.					Buy (add'l)	12/29/17	J		
177. - Brandes Intl Small Cap Equity	A	Interest	M	T	Buy (add'l)	03/29/17	K		
178.					Sold (part)	10/17/17	K		
179.					Buy (add'l)	12/08/17	J		
180.					Buy (add'l)	12/15/17	J		
181.					Buy (add'l)	12/29/17	J		
182. - Columbia Contrarian Core	A	Interest			Sold	03/29/17	K		
183. - Columbia Dividend Inc Z	C	Interest	L	T	Sold (part)	03/29/17	M		
184.					Buy (add'l)	10/17/17	L		
185.					Buy (add'l)	10/26/17	K		
186. - Columbia Sel Large Cap Value Z	A	Interest	L	T	Sold (part)	03/29/17	J		
187.					Buy (add'l)	03/29/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold (part)	10/26/17	J		
189. - EV Floating Rate I	A	Interest	K	T	Sold (part)	03/29/17	J		
190.					Sold (part)	10/17/17	K		
191.					Sold (part)	10/26/17	J		
192. - Goldman Sachs SMCP Eq Insight	A	Interest	L	T	Buy	11/28/17	L		
193.					Buy (add'l)	12/21/17	J		
194. - Goldman Sachs Emerging Markets Debt I	A	Interest	K	T	Buy	10/26/17	K		
195.					Buy (add'l)	11/28/17	J		
196. - Ivy Intl Core Equity I	A	Interest	L	T	Buy	08/16/17	K		
197.					Buy (add'l)	10/17/17	K		
198.					Buy (add'l)	10/26/17	J		
199.					Buy (add'l)	12/15/17	J		
200. - Clearbridge Aggressive Growth I	C	Interest	M	T	Buy (add'l)	03/29/17	K		
201.					Sold (part)	03/29/17	J		
202.					Sold (part)	10/26/17	J		
203.					Buy (add'l)	12/08/17	J		
204. - First Trust Preferred Sec & Inc Index	A	Interest	L	T	Buy (add'l)	03/29/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	10/17/17	K		
206.					Sold (part)	10/17/17	K		
207.					Buy (add'l)	10/26/17	J		
208.					Sold (part)	10/26/17	J		
209.					Buy (add'l)	12/29/17	J		
210. - Mainstay Tax Free Bond I	A	Interest	K	T					
211. - Neuberger Berman Intl Eq Inst	B	Interest	K	T	Sold (part)	03/29/17	K		
212.					Sold (part)	10/17/17	J		
213.					Sold (part)	10/26/17	J		
214. - Neuberger Intrinsic Value Inst	A	Interest			Sold	03/29/17	K		
215. - Nuveen Muni Tot Ret Mngd Accts	A	Interest	M	T					
216. - Nuveen Symp Floating Rt Inc I	A	Interest			Sold	07/26/17	K		
217. - Oppenheimer Intl Small-Mid Co	A	Interest	K	T	Buy	10/17/17	K		
218. - Principal Preferred Sec Inst NBR	A	Interest	J	T	Buy (add'l)	03/29/17	J		
219.					Sold (part)	10/17/17	K		
220.					Sold (part)	10/26/17	J		
221. - Prudential Jennison SM Comp Z	A	Interest	L	T	Buy (add'l)	03/29/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold (part)	11/28/17	L		
223. - Virtus Vontobel Foreign Opportunity I	A	Interest	L	T	Buy	03/29/17	L		
224.					Buy (add'l)	10/17/17	J		
225.					Buy (add'l)	10/26/17	J		
226. - Virtus Vontobel Emerging Market Opportunity I	A	Interest	L	T	Buy	03/29/17	L		
227.					Buy (add'l)	10/26/17	J		
228. - Ridgeworth SEIX FT/RX Hi Inc	A	Interest			Sold	07/26/17	J		
229. - Western Asset Core Plus BD I NBR	C	Interest	M	T	Sold (part)	03/29/17	K		
230.					Sold (part)	10/26/17	J		
231. - Western Asset Int Term Muni	A	Interest	M	T	Buy (add'l)	02/14/17	M		
232.					Sold (part)	03/13/17	K		
233. Fixed Income/Bonds (H)									
234. - Chaseflex Trust 2005-1	A	Interest	K	T					
235. - Delta Airlines 2010-2A	A	Interest	J	T					
236. - NAA 2006-AP1	A	Interest	J	T					
237. - Boone Cnty Ky School Dist	A	Interest	J	T					
238. - Carmel Ind Local Pub Impt Board	A	Interest	J	T	Buy	11/16/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. - Chaffey Calif United HS	A	Interest	J	T					
240. - Connecticut St Spl Tax Oblig	A	Interest			Sold	04/17/17	K		
241. - Clark County WA School Dist	A	Interest	J	T	Buy	04/20/17	J		
242. - Geisinger Auth PA Health System	A	Interest	J	T	Buy	04/19/17	J		
243. - Johnson Cnty Kansas Uni School	A	Interest	J	T					
244. - Kentucky St Prop & Bldgs Comm Rev Ref Proj 100-A	A	Interest	K	T					
245. - Massachusetts St Genl Oblig	A	Interest			Sold	04/24/17	J		
246. - Miami-Dade Cnty FL Spl Oblig Ser	A	Interest			Redeemed	10/02/17	K		
247. - New York City Trans Fin Auth	A	Interest	J	T	Buy	04/27/17	J		
248. - Ohio State Infrastr Impt	A	Interest	K	T					
249. - Port Auth NY & NJ Consolidated Rev	A	Interest	K	T					
250. - Seattle Wash Wtr Sys Rev	A	Interest			Sold	11/16/17	J		
251. - Texas St Mobility Fund Rev	A	Interest	K	T					
252. Dependent Child Bank Accounts									
253. - Wells Fargo Cash Accounts	A	Interest	J	T					
254. - Chase Bank Cash Account			J	T					
255.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Dorsey, Jennifer A.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts

- Trust #1 notation includes all relevant investment, brokerage and retirements accounts held via family trust (filer and spouse being co-trustees) and/or beneficiary at Morgan Stanley Smith Barney. Holdings are included under Trust #1 and itemized individually for ease of reference.
- Line 15 asset listed was acquired in prior years and disclosed with purchase price and transaction details at such time.
- Holding at Line 41 (British American Tob Spon ADR) was associated with redemption and purchase of holding at line 100 (Reynolds American Inc) and the transaction dated July 25, 2017. None of the categories of transaction codes match transaction, so filer lists the holding and describes holding here in efforts to comply with applicable requirements.
- Filer lists checking/savings accounts at lines 253-254 belonging to adult dependent child that are above the applicable reporting threshold. These accounts were inadvertently omitted from prior reporting and are identified based on their values in Filer's 2017 report.

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Name of Person Reporting

Dorsey, Jennifer A.

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05/07/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Jennifer A. Dorsey

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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