

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Vescovo, Diane K.	2. Court or Organization Western District of Tennessee	3. Date of Report 07/10/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge Fulltime	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 341 Federal Building 167 North Main Street Memphis, Tennessee 38103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board Member	University of Memphis Board of Visitors
2.	Director	Federal Magistrate Judges Association
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

Vescovo, Diane K.

Date of Report

07/10/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

Salary - Black McLaren Jones Ryland & Griffee PLC Law Firm

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

Vescovo, Diane K.

Date of Report

07/10/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Bank	1st Mortgage on Real Property #1 (Rental) - Destin, FL	N
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

Vescovo, Diane K.

Date of Report

07/10/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Real Property #1, Destin, FL, Undivided 1 interest	E	Rent	O	R					
2. Sovereign Inherent Return Fund, L.P.		None	J	T					
3. 1st Tennessee Bank Accounts	A	Interest	L	T					
4. Federal Credit Union Accounts	A	Interest	J	T					
5. Metropolitan Bank Account	A	Interest	K	T	Open	04/21/14	K		
6. Northwestern Whole Life	B	Dividend	L	T					
7. Sun Whole Life	B	Dividend	L	T					
8. Raymond James Account									
9. --Cash Regions FDIC	A	Interest	L	T					
10. --Kinder Morgan, Inc.	B	Dividend	L	T	Buy (add'l)	06/06/14	J		
11. --EV Energy Partners LP	A	Distribution			Sold	12/23/14	J		
12. --Energy Transfer Partners LP	A	Distribution	J	T					
13. --Energy Transfer Equity	B	Distribution	L	T					
14. --Enterprise Product Partners LP	B	Distribution	L	T					
15. --Kinder Morgan Energy Partners LP	B	Distribution			Buy (add'l)	06/06/14	K		
16.					Sold	11/26/14	L	D	
17. --Magellan Midstream Partners LP	B	Distribution	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

Name of Person Reporting

Vescovo, Diane K.

Date of Report

07/10/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Plains All American Pipeline LP	B	Distribution	K	T					
19. --Alger Spectra I	C	Dividend	K	T	Buy (add'l)	12/19/14	J		
20. --Dodge & Cox Income	A	Dividend	K	T					
21. --FPA Crescent Fund	B	Dividend	K	T					
22. --The Fairholme Fund	C	Dividend	K	T					
23. --Federated Strategic Value IS	C	Dividend	K	T	Buy (add'l)	12/04/14	J		
24. --Franklin Income Fund	B	Dividend	K	T					
25. --Glenmede Small Cap Equity Institutional Shares	B	Dividend	K	T	Buy (add'l)	12/12/14	J		
26. --Oakmark International Fund	C	Dividend	K	T	Buy (add'l)	03/18/14	K		
27.					Buy (add'l)	12/19/14	J		
28. --Ivy Asset Strategy Fund I	C	Dividend	K	T					
29. --Clearbridge Aggressive Growth Fund	A	Dividend	L	T	Buy (add'l)	04/24/14	K		
30.					Buy (add'l)	06/06/14	J		
31. --Nuveen Global Infrastructure I	C	Dividend	K	T					
32. --Oppenheimer Developing Markets Fund	B	Dividend	L	T	Buy (add'l)	03/18/14	K		
33. --PIMCO All Assett All Authority Fund	A	Dividend			Sold	11/11/14	K		
34. --PIMCO Total Return Fund P	A	Dividend			Sold	11/11/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

Name of Person Reporting

Vescovo, Diane K.

Date of Report

07/10/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. --Prudential Jennison Equity Income Fund CL	C	Dividend	K	T	Buy (add'l)	12/22/14	J		
36. --RS Global Natural Resources	B	Dividend			Sold	12/23/14	K		
37. --Riverpark/Wedgewood Fund Institutional	B	Dividend	K	T					
38. --Scout Mid Cap	D	Dividend	K	T	Buy (add'l)	12/22/14	J		
39. --SunAmerica Focused Dividend Strategy Port Class A	C	Dividend	K	T	Buy (add'l)	12/17/14	J		
40. --Templeton Global Bond Fund Advisor Class	B	Dividend	J	T					
41. --Thornburg Investment Income Builder Fund I	B	Dividend	K	T					
42. --First Trust ETF Financial Alphadex Fund	A	Dividend	K	T					
43. --First Trust Health Care Alphadex Fund		None	K	T					
44. --iShares U.S. Tech ETF	A	Dividend	K	T					
45. --iShares U.S. Inds ETF	A	Dividend	K	T					
46. --iShares U.S. Energy ETF	A	Dividend	K	T					
47. --Alcentra Cap Corporation	B	Dividend	K	T	Buy	05/19/14	K		
48. --Plains GP Holdings		None	K	T	Buy	11/11/14	K		
49. --Markwest Energy Partners	B	Distribution	K	T	Buy	03/19/14	K		
50. --First Eagle Global Fund Class I	B	Dividend	K	T	Buy	03/18/14	K		
51.					Buy (add'l)	12/17/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 14

Name of Person Reporting

Vescovo, Diane K.

Date of Report

07/10/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. --Wells Fargo Advantage Absolute Return Fund	A	Dividend	K	T	Buy	04/24/14	K		
53. --Neuberger Berman Long Short Class A	A	Dividend	K	T	Buy	04/24/14	K		
54. Raymond James IRA Account									
55. --Cash	A	Interest	J	T					
56. --Alger Spectra Fund	B	Dividend	J	T					
57. --Dodge& Cox Income Fund		None			Sold	03/08/14	J	A	
58. --ETFS Precious Metal Basket Physical		None	J	T					
59. --FPA Crescent Fund	A	Dividend	J	T					
60. --The Fairholme Fund	B	Dividend	K	T					
61. --First Trust ETF Energy Alphasdex Fund	A	Dividend	J	T					
62. --First Trust ETF Financial Alphasdex Fund	A	Dividend	J	T					
63. --First Trust Health Care Alphasdex Fund	A	Dividend	K	T					
64. --First Trust ETF Industrials Prod Durable	A	Dividend	J	T					
65. --First Trust ETF Technology Alphasdex	A	Dividend	J	T					
66. --Franklin Income Fund Advisor Class	A	Dividend	K	T					
67. --Glenmede Small Cap Equity Institutional Class	A	Dividend	K	T					
68. --Oakmark International Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 14

Name of Person Reporting

Vescovo, Diane K.

Date of Report

07/10/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. --Ivy Asset Strategy Fund I	B	Dividend	J	T					
70. --Oppenheimer Developing Markets	A	Dividend	J	T					
71. --PIMCO All Assett All Authority	A	Dividend	J	T					
72. --PIMCO Total Return Fund Class P	A	Dividend			Sold	03/18/14	J		
73. --RS Global Natural Resources Y	A	Dividend	J	T					
74. --Riverpark/Wedgewood Fund Institutional	A	Dividend	J	T					
75. --Scout Mid Cap Fund	C	Dividend	K	T					
76. --Sunamerica Focused Dividend Strategy Fund	A	Dividend	J	T					
77. --Templeton Global Bond Fund Advisor Class	A	Dividend	J	T					
78. --Thornburg Investment Income Builder Fund I	A	Dividend	K	T					
79. --First Eagle Global Fund	A	Dividend	J	T	Buy	03/18/14	J		
80. --Clearbridge Aggressive Growth Fund	A	Dividend	J	T	Buy	03/18/14	J		
81. Raymond James Account									
82. --Cash Regions FDIC	A	Interest	K	T					
83. --Kinder Morgan, Inc.	B	Dividend	K	T					
84. --Williams Companies, Inc.	B	Dividend	K	T					
85. --EV Energy Partners LP	A	Distribution			Sold	12/23/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 14

Name of Person Reporting

Vescovo, Diane K.

Date of Report

07/10/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. --Energy Transfer Partners LP	A	Distribution	J	T	Sold (part)	05/06/14	J	A	
87. --Energy Transfer Equity	C	Distribution	M	T					
88. --Enterprise Product Partners LP	C	Distribution	L	T					
89. --Kinder Morgan Energy Partners LP	B	Distribution			Sold	05/06/14	J	B	
90. --Magellan Midstream Partners LP	B	Distribution	K	T					
91. --Plains All American Pipeline LP	B	Distribution	K	T					
92. --Alger Spectra I	C	Dividend	K	T	Buy (add'l)	12/19/14	J		
93. --The Fairholme Fund	C	Dividend	K	T					
94. --Federated Strategic Value IS	C	Dividend	K	T	Buy (add'l)	12/04/14	J		
95. --Franklin Income Fund	B	Dividend	K	T					
96. --Glenmede Small Cap Equity Institutional Shares	B	Dividend	K	T	Buy (add'l)	12/12/14	J		
97. --Oakmark International Fund	C	Dividend	L	T	Buy (add'l)	12/19/14	J		
98. --Ivy Asset Strategy Fund I	D	Dividend	K	T					
99. --Clearbridge Aggressive Growth Fund	A	Dividend	K	T					
100. --Nuveen Global Infrastructure I	C	Dividend	K	T					
101. --Oppenheimer Developing Markets Fund	B	Dividend	L	T					
102. --PIMCO All Assett All Authority Fund	A	Dividend			Sold (part)	05/06/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 14

Name of Person Reporting

Vescovo, Diane K.

Date of Report

07/10/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Sold	11/11/14	K		
104. --Principal Global Diversified Income Fund	B	Dividend	K	T					
105. --Prudential Jennison Equity Income Fund CL	C	Distribution	K	T	Buy (add'l)	12/22/14	K		
106. --RS Global Natural Resources	B	Dividend			Sold	12/23/14	K		
107. --Riverpark/Wedgewood Fund Institutional	B	Dividend	K	T					
108. --Scout Mid Cap	D	Dividend	K	T	Buy (add'l)	12/22/14	J		
109. --SunAmerica Focused Dividend Strategy Port Class A	C	Dividend	K	T	Buy (add'l)	12/17/14	J		
110. --Thornburg Investment Income Builder Fund I	A	Dividend	K	T					
111. --First Trust ETF Financial Alphadex Fund	A	Dividend	L	T					
112. --First Trust Health Care Alphadex Fund	A	Dividend	L	T					
113. --iShares U.S. Tech ETF	A	Dividend	L	T					
114. --iShares U.S. Inds ETF	A	Dividend	L	T					
115. --iShares U.S. Energy ETF	A	Dividend	K	T					
116. --Neuberger Berman Long Short Fund Class A	A	Dividend	K	T					
117. --Alcentra Cap Corporation	B	Dividend	K	T	Buy	05/09/14	K		
118. --Plains GP Holdings		None	K	T	Buy	11/11/14	K		
119. --First Eagle Global Fund Class I	B	Dividend	K	T	Buy	03/18/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 14

Name of Person Reporting

Vescovo, Diane K.

Date of Report

07/10/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Raymond James IRA Account									
121. --Cash	A	Interest	L	T					
122. --Alger Spectra Fund	C	Dividend	K	T					
123. --Dodge& Cox Income Fund	A	Dividend			Sold	03/18/14	K	A	
124. --FPA Crescent Fund	B	Dividend	L	T					
125. --The Fairholme Fund	C	Dividend	K	T					
126. --Federated Strategic Value Dividend Fund	C	Dividend	K	T					
127. --Franklin Income Fund Advisor Class	B	Dividend	K	T					
128. --Glenmede Small Cap Equity Institutional Class	B	Dividend	K	T					
129. --Oakmark International Fund	C	Dividend	K	T					
130. --Ivy Asset Strategy Fund I	C	Dividend	K	T	Buy (add'l)	03/18/14	J		
131. --Clearbridge Aggressive Growth Fund I	A	Dividend	K	T					
132. --Loomis Sayles Bond Fund Retail Class	A	Dividend			Sold	03/18/14	K	B	
133. --Oppenheimer Developing Markets	B	Dividend	L	T					
134. --PIMCO All Assett All Authority	B	Dividend	K	T					
135. --PIMCO Total Return Fund Class P	A	Dividend			Sold	03/18/14	K		
136. --Principal Global Diversified Income Fund	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

Vescovo, Diane K.

Date of Report

07/10/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --Osterweis Strategic Income Fund	A	Dividend			Sold	03/18/14	K	A	
138. --Prudential Jennison Equity Income Fund	C	Dividend	K	T					
139. --Riverpark/Wedgewood Fund Institutional	B	Dividend	K	T					
140. --Scout Mid Cap Fund	D	Dividend	K	T					
141. --Sunamerica Focused Dividend Strategy Fund	C	Dividend	K	T					
142. --Templeton Global Bond Fund Advisor Class	B	Dividend	K	T					
143. --Thornburg Investment Income Builder Fund I	B	Dividend	K	T					
144. --Wells Fargo Advantage Absolute Return Fund	A	Dividend	K	T					
145. --Templeton Global Income Fund	A	Dividend	J	T					
146. --JP Morgan Alerian MLP Index Bond		None	L	T					
147. --Neuberger Berman Long Short Fund Class A	A	Dividend	K	T					
148. --Blackrock Multi Asset Income Portfolio Fund	A	Dividend	K	T	Buy	03/19/14	K		
149. --First Eagle Global Fund Class I	B	Dividend	K	T	Buy	03/18/14	K		
150. --JPMorgan Income Builder Fund	B	Dividend	K	T	Buy	03/18/14	K		
151.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

Vescovo, Diane K.

Date of Report

07/10/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 1: Property was purchased on 8/31/04 for the purchase price of \$645,000.

Lines 8, 54, 81, and 120: These are "header" lines, thus columns B through D are left blank.

Lines 11, 33, 34, 36, 72, 85, 102, 103, 106, and 135: There was a realized loss on the sales of these securities, thus no code is required in Column D4.

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Vescovo, Diane K.

Date of Report

07/10/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Diane K. Vescovo**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544