

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hayes, Karen L.	2. Court or Organization Western District of Louisiana	3. Date of Report 05/12/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge--full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 201 Jackson Street, Suite 307 Monroe, LA 71201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 12/31/2013	Hayes, Harkey, Smith & Cascio, law partnership
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American College of Trial Lawyers	11/14/13-11/16/13	Chicago, Illinois	Travel with husband for his training seminar for ACTL State Chairs	meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. National Fuel Gas Company (common)	A	Dividend	J	T					
2. JP Morgan Chase chking and savings accounts		None	J	T					
3. Centurylink Inc(fka Century Telephone Enterprises)	A	Dividend	K	T					
4. Regions Bank chking and savings accounts		None	K	T					
5. American Funds New Perspective Fund Class (A)	A	Dividend	J	T	Sold (part)	04/26/13	J	A	
6. U.S. Bancorp	A	Dividend	J	T					
7. General Electric		None			Sold	04/26/13	J	C	
8. ExxonMobil Corp	A	Dividend	J	T					
9. Building Co(ptrnshp in commdm) last apr. 10/1999	E	Distribution	J	Q					
10. Argent IRA Managed Asset Portfolio 4	C	Dividend	M	T					
11. Argent 401(k) Managed Asset Portfolio 4	E	Dividend	P1	T	Buy	03/27/13	P1		rollover from lines 12-39
12. 401(k) PIF Temp Dollar Fd #20 (cash equiv)	A	Interest			Sold	03/27/13	L		rollover to line 11
13. 401(k) Dodge & Cox Income Fund (X)	A	Dividend			Sold	03/27/13	J		rollover to line 11
14. 401(k) Loomis Sayles Bond Snstl FD# 1162 (X)	A	Dividend			Sold (part)	02/11/13	J		
15.					Sold	03/27/13	J		rollover to line 11
16. PIMCO Investment Grade Corp Bond Instl (X)	B	Dividend			Buy (add'l)	02/11/13	J		rollover to line 11
17.					Sold	03/27/13	J		rollover to line 11

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P4 = More than \$50,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Pimco Total Return Fund #35 (X)	A	Dividend			Buy (add'l)	02/11/13	J		
19.					Sold	03/27/13	J		rollover to line 11
20. Vanguard Inflation-Protected Secs (X) Adm #5119	B	Dividend			Buy (add'l)	02/11/13	J		
21.					Sold	03/27/13	J		rollover to line 11
22. Vanguard Total Bond Market Index Signal #1351 (X)	A	Distribution			Buy (add'l)	02/11/13	J		rollover to line 11
23.					Sold	03/27/13	J		rollover to line 11
24. Dodge & Cox Stock Fund	A	Dividend			Buy	02/11/13	M		
25.					Sold	03/27/13	M		rollover to line 11
26. Harbor Capital Appreciation Instl		None			Buy	02/11/13	M		
27.					Sold	03/27/13	M		rollover to line 11
28. T Rowe Price Dividend Growth Fund	A	Dividend			Buy	02/11/13	M		
29.					Sold	03/27/13	M		rollover to line 11
30. T Rowe Price Equity Income #71	A	Dividend			Buy	02/11/13	M		
31.					Sold	03/27/13	M		rollover to line 11
32. T Rowe Price Instl Emerging Markets Equity Fd		None			Buy	02/11/13	J		
33.					Sold	03/27/13	J		rollover to line 11
34. Thornburg International Value I	B	Dividend			Buy	02/11/13	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Sold	03/27/13	K		rollover to line 11
36. Vanguard Extended Market Index Signal Fund #1342	A	Dividend			Buy	02/11/13	L		
37.					Sold	03/27/13	L		rollover to line 11
38. Vanguard Growth Index Signal Fund #1347	A	Dividend			Buy	02/11/13	M		
39.					Sold	03/27/13	M		rollover to line 11
40. Wells Fargo Bank N.A. (cash account)	A	Interest	J	T					
41.									
42.									
43.									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 9. Date of last appraisal was October of 1999

Part VII, line 10 Argent Advisors is currently managing portfolios for Karen Hayes (Argent IRA Managed Asset Portfolio 4). MAP is an automated mutual fund allocation program which uses no load mutual funds to meet its investment objective. Clients can select from six different MAP portfolios based on the investors' return objectives and risk attitudes. The MAP 4 portfolio is composed of 60% stocks and 40% bonds and is normally selected by investors who are interested in total return and use income to reduce risk. Funds are not held in the client's names, nor do the clients have individual ownership at the fund level. Fund selection is exclusively Argent Advisor's and no client has veto ability in the MAP program. The investment asset is the portfolio, not the underlying assets.

Part VII, lines 11-39 [REDACTED] (Argent 401(k) Managed Asset Portfolio 4) was rolled over into a self directed fund, primarily held in cash equivalents, on November 15, 2012. The fixed income assets listed in lines 12-39 had been in the Managed Asset Portfolio, and were converted to individual ownership outside of the MAP on 11/15/12. Those Assets were rolled back over into the Managed Asset Portfolio 4 (line 11) on March 27, 2013.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Karen L. Hayes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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