

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Strombom, Karen L.	2. Court or Organization District Court - Washington	3. Date of Report 04/19/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Courthouse #3244 1717 Pacific Avenue Tacoma, WA 98402-3243		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Officer and Member of the Board of Directors	Federal Magistrate Judges Association
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1990	PERS II Retirement, State of Washington, pension at retirement
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	State of Washington Department of Retirement Systems - retirement	\$6,143.85
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Self-employed building designer
2. 2015	State of Washington Dept. of Retirement Systems - retirement
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Magistrate Judges Association	March 11, 2015	Washington D.C.	Board Meeting	Meals and hotel
2. Federal Magistrate Judges Association	September 15, 2015	Washington D.C.	Officers Meeting	Meals and hotel
3. Federal Judges Association	April 13 - 15, 2015	Washington, D.C.	FJA Board of Directors Meeting	Transportation, meals, hotel
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Judicial Retirement Account	A	Dividend	M	T					
2. -US Large Cap Equity Fund									
3. -US Small Cap Equity Index Fund									
4. Oppenheimer Equity Fund (TSA)	A	Dividend	K	T					
5. Jackson Ntl Life (IRA)	A	Interest	K	T					
6. Jackson Ntl Life (TSA)	A	Interest	K	T					
7. Strategic Portfolio Service Adv.(IRA)	D	Int./Div.	M	T					
8. -Ameriprise Insured Money Market									
9. -Oppenheimer Global Opps-A,Oppenheimer Funds									
10. -Col Acorn USA-A; Columbia Funds Group					Sold (part)	08/06/15	K	D	
11. -Franklin Mutual Discovery									
12. -Opp Strategic Income									
13. -Eaton Low Duration-A Eaton Vance Group									
14. -MFS Intl. Value-A MFS Family of Funds									
15. -Blackrock Equity Dividend C1 A									
16. -Fidelity Advisor New Insights C1 A									
17. -AIM Invesco Bal. Risk									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Blackrock Multi Asset I. Investor									
19. -First Trust Cap Strength 22 (Y)					Redeemed	10/29/15	K	C	
20. -Fidelity Adv. Health Care Cl A (X)					Buy	08/07/15	J		
21. -IVY Mid Cap rowth Cl A (X)					Buy	08/07/15	K		
22. -iShares Core S&P 500 ETF (X)					Buy	08/11/15	J		
23. Strategic Portfolio Service advantage, IRA	C	Int./Div.	L	T					
24. -Ameriprise Insured Money market									
25. -Fid.Adv. High Income A									
26. -Fid.Adv. New Insights A									
27. -MFS Bond A MFS Family of Funds									
28. -Fid.Adv.Strat Income-A									
29. -INV Real Estate -A Invesco Funds									
30. -AIM Invesco Bal. Risk									
31. -Blackrock Multi Asset Income									
32. SPS Advantage with One Featurs	A	Dividend	J	T					
33. -Ameriprise Insured Money Market									
34. -Inv. Tax Free Intern A Invesco Funds									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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	U = Book Value				

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35. KeyBank Accounts	A	Interest	L	T					
36. School Employees Credit Union Account (X)	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, page 5, lines 20, 21 and 22 - These assets were purchased with money received from the sale of Col Acorn USA-A as reflected in line 10.

Part VII, page 5, line 19 - the money received from the redemption of the First Trust Cap Strength 22 was put into the Ameriprise Insured Money Marked identified in line 8 on page 4.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Karen L. Strombom**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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