

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Eagles, Catherine C.	2. Court or Organization Middle District of North Carolina	3. Date of Report 5/1/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 324 W. Market Street Greensboro, NC 27401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Matthew Bender & Co - Book Royalties	\$460.31
2. 2013	Consolidated Judicial Retirement System of NC - Pension	\$72,019.20
3. 2013	Prudential Retirement Services - Final Rollover from State of NC Retirement Account (See note in Part VIII)	\$61.11
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Elon University Law School - Earnings for teaching
2. 2013	University of NC at Greensboro - Earnings for teaching
3. 2013	William A. Eagles, PLLC - Earnings from law practice
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. George Washington University School of Law	1/30/13 - 2/3/13	Washington, D.C.	Judge for Moot Court competition	Transportation, hotel, meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1 (H)									
2. Dominion Resources Inc. VA New Stock	C	Dividend	L	T					
3. Duke Energy	C	Dividend	L	T					
4. Piedmont Natural Gas	A	Dividend	K	T					
5. Southern Bancshares NC Inc.	A	Dividend	M	T					
6. Spectra Energy	B	Dividend	L	T					
7. The Southern Company	A	Dividend	J	T					
8. Brokerage Account #1 (H)									
9. Invesco Charter Fund CI A	A	Dividend	J	T					
10. Growth Fund America CI A Mutual Fund	D	Dividend	M	T					
11. Investment Co. America CI A AIVSX	E	Dividend	N	T					
12. Wash Mutl Invs Fd Inc. CI A AWSHX	B	Dividend	K	T					
13. Income Fund America Inc A	C	Dividend	M	T					
14. Brokerage Account #1 (H)									
15. Wells Fargo Advisors Money Market Account	A	Interest	L	T					
16. IRA #1 (H)									
17. Amer. Balanced Fd CI A Mutual Fund	B	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. Inv. Co. of America CL A	E	Dividend	M	T					
19. Income Fund America Inc A	C	Dividend	L	T					
20. IRA #2 (H)									
21. Wells Fargo Equity Value Fd CL A	D	Dividend			Merged (with line 22)	03/01/13	L		
22. Wells Fargo Instrinsic Val Fd A	C	Dividend	M	T					
23. IRA #3 (H)									
24. Growth Fund Am. CL A	A	Dividend	J	T					
25. IRA #4 (H)									
26. Cash - Wells Fargo Advisors (Y)									
27. Inv. Co. Am CL A AIVSX	E	Dividend	M	T					
28. Amer Balanced Fd CL A	B	Dividend	M	T	Buy (add'l)	10/22/13	K		
29. Capital Income Bldr CL A	D	Dividend	N	T					
30. New Perspective Fd CL A	B	Dividend	K	T	Buy	12/09/13	K		
31. IRA #5 (H)									
32. Growth Fund Am CL A AGTHX	A	Dividend	J	T					
33. Cash - Wells Fargo Advisors	A	Interest	J	T					
34. Other Assets (H)									

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35. Lincoln National Life Insurance Co Policies Surrender Value	C	Interest	L	T					
36. Wachovia Bank Account	A	Interest	K	T					
37. Eagles Farms LLC	C	Distribution	K	T	Donated (part)				
38. Bank of America Account		None	J	T					
39.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III, Line 3 - The final rollover amount (\$61.11) was deposited to the cash account listed in Part VII, line 26.

Part VII, Line 21 - This fund was exchanged on March 1, 2013 for Wells Fargo Intrinsic Value A Fund, reported on Line 22.

Part VII, Line 26 - Cash in this account used for purchases reported on Lines 28 and 30.

Part VII, Line 37 - Year-end value is less than value reported in 2012 because of donation made in 2013 of all non-cash assets.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Catherine C. Eagles**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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