

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Conner, Christopher C.	2. Court or Organization Middle District Pennsylvania	3. Date of Report 06/13/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address P.O. Box 847 Harrisburg, PA 17108-0847		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Custodian	Fidelity Advisor 529 College Savings Plans #1 and #2
2. Trustee	Trustee Account #1
3. Member of the Board of Counselors	Penn State University Dickinson School of Law
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2017	The Pennsylvania State University - teaching at law school	\$24,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. New York Intellectual Property Lawyers Association (NYILPA)	March 31, 2017	New York City, NY	Annual dinner honoring the Federal Judiciary	Mileage, lodging and dinner
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Pennsylvania State Employees Credit Union	Mortgage equity loan on Rental Property, Mechanicsburg, PA (Part VII. Line 5)	J
2.	Riverview Bank	Line of Credit secured by personal real estate, Harrisburg, PA	L
3.	PenFed Credit Union (X)	Mortgage secured by personal real estate, Scranton, PA	K
4.	PenFed Credit Union (X)	Mortgage secured by personal real estate, Scranton, PA	M
5.	PenFed Credit Union (X)	Line of credit secured by personal real estate, Scranton, PA	K
6.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First National Bank cash accounts (formerly referred to as FNB)	A	Interest	J	T					
2. RENTAL PROPERTY, Mechanicsburg, PA (2004, \$302,250)	E	Rent	N	R					
3. - DowDuPont Inc. common stock (X)	A	Dividend	J	T					
4. FIDELITY ADVISOR 529 COLLEGE SAVINGS PLAN #1 (H)									
5. - FA 529 Stock Selector Mid Cap Portfolio Class A		None			Distributed	05/01/17	J	D	
6. FIDELITY ADVISOR 529 COLLEGE SAVINGS PLAN #2 (H)									
7. - FA 529 Diversified International Portfolio Class A		None			Distributed (part)	10/16/17	J	B	
8.					Distributed	12/14/17	J	C	
9. - FA 529 Stock Selector Mid Cap Portfolio Class A		None	K	T					
10. - FA 529 Small Cap Portfolio Class A		None			Distributed	12/14/17	J	D	
11. - FA 529 Dividend Growth Portfolio Class A		None	J	T	Distributed (part)	04/17/17	K	D	
12. - FA 529 Limited Term Bond Portfolio C1 A (formerly Intermediate Bond)		None	J	T					
13. TRUSTEE ACCOUNT #1 (H)									
14. - Schwab One money market account	A	Interest	L	T					
15. - Pimco Income Fund Class D	B	Dividend	L	T	Buy (add'l)	06/05/17	J		
16.					Buy (add'l)	08/23/17	K		
17. - T Rowe Price Blue Chip Growth Fund	A	Dividend	J	T	Sold (part)	03/09/17	J	B	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	K = \$15,001 - \$50,000 P1 = \$1,000,001 - \$5,000,000	L = \$50,001 - \$100,000 P2 = \$5,000,001 - \$25,000,000	M = \$100,001 - \$250,000 P3 = \$25,000,001 - \$50,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	08/23/17	J	C	
19. - BBH Core Select Fund Class N	C	Dividend	K	T	Sold (part)	08/11/17	J	C	
20.					Sold (part)	08/23/17	J	B	
21. - Vanguard Dividend Growth Fund Investor shares	A	Dividend	J	T	Sold (part)	03/09/17	J	B	
22. - Vanguard Selected Value Fund	B	Dividend	J	T	Sold (part)	03/09/17	J	B	
23. - Schwab Health Care Fund		None			Sold	01/23/17	J		
24. - iShares ETF Short Maturity Bond	A	Dividend			Sold	07/19/17	J		
25. - Glenmede Large Cap Growth Fund	A	Dividend	J	T	Sold (part)	03/09/17	J	A	
26.					Sold (part)	08/23/17	J	B	
27.					Sold (part)	11/20/17	J	A	
28. - Berkshire Hathaway Class B common stock		None	K	T	Sold (part)	08/11/17	J	B	
29.					Sold (part)	08/23/17	J	B	
30. - Sector SPDR Fund Consumer Discretionary Select ETF	A	Dividend			Sold (part)	08/23/17	J	B	
31.					Sold	11/20/17	J	B	
32. - Select STR Financial Select SPDR ETF	A	Dividend	J	T					
33. - Powershares QQQ Trust 1 ETF IV	A	Dividend	J	T	Sold (part)	08/23/17	J	A	
34.					Sold (part)	11/20/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$5,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - Schwab Fundamental International Large Company Index Fund	A	Dividend	K	T	Buy	01/09/17	K		
36. - iShares US Healthcare ETF	A	Dividend	J	T	Buy	01/23/17	J		
37. - Xtrackers MSCI All World Ex US Hedged Equity ETF	A	Dividend	J	T	Buy	04/21/17	J		
38. - iShares JPMorgan ESD Emerging Markets Bond ETF	A	Dividend			Buy	04/21/17	K		
39.					Sold (part)	08/11/17	J	A	
40.					Sold	08/23/17	J	A	
41. - iShares MSCI Eurozone ETF	A	Dividend	J	T	Buy	04/21/17	J		
42.					Buy (add'l)	08/23/17	J		
43. - SPDR Gold Shares		None	K	T	Buy	08/23/17	K		
44. - iShares China Large Cap ETF	A	Dividend	J	T	Buy	10/30/17	J		
45. - iShares TIPS Bond ETF	A	Dividend	J	T	Buy	10/30/17	J		
46. CHARLES SCHWAB IRA #1 (H)									
47. - Charles Schwab money market account		None			Closed	06/01/17	J		
48. - Charles Schwab Bank Sweep insured deposit account	A	Interest	K	T					
49. - PPL Corporation common stock	A	Dividend			Sold	05/02/17	K	D	
50. - Financial Select Sector SPDR ETF	A	Dividend			Sold	05/02/17	K	C	
51. - iShares TIPS Bond ETF	A	Dividend			Sold	05/02/17	L	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. - T Rowe Price Blue Chip Growth Fund		None			Sold (part)	03/09/17	J	C	
53.					Sold	05/02/17	K	D	
54. - Vanguard Short Term Corporate Bond Index ETF	A	Dividend			Sold	05/02/17	K	A	
55. - Vanguard Dividend Growth Fund Investor Shares	A	Dividend			Sold (part)	03/09/17	J	B	
56.					Sold	05/02/17	K	D	
57. - Vanguard Selected Value Fund		None			Sold (part)	03/09/17	J	B	
58.					Sold	05/02/17	K	D	
59. - Schwab Health Care Fund		None			Sold	01/04/17	K		
60. - iShares ETF Short Maturity Bond: Near	A	Dividend			Sold	05/02/17	K		
61. - Consumer Discretionary Select Sector SPDR ETF	A	Dividend			Sold	05/02/17	K	C	
62. - Technology Select Sector SPDR ETF	A	Dividend			Sold	05/02/17	K	C	
63. - Glenmede Large Cap Growth Fund	A	Dividend			Sold	05/02/17	K	C	
64. - Powershares QQQ Trust Series 1	A	Dividend			Sold	05/02/17	K	D	
65. - Guggenheim S&P 500 Equal Weight HC ETF	A	Dividend			Sold	05/02/17	J	A	
66. - Berkshire Hathaway Class B common stock		None			Sold	05/02/17	K	D	
67. - iShares U.S. Medical Devices ETF	A	Dividend			Sold	05/02/17	J	C	
68. - iShares U.S. Aerospace Defense ETF	A	Dividend			Sold	05/02/17	K	C	

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69. - iShares US Preferred Stock ETF	A	Dividend			Sold	05/02/17	K	A	
70. - Schwab US Tips ETF		None			Sold	04/21/17	K		
71. - Real Estate Select Sector SPDR ETF		None			Sold	03/09/17	J		
72. - Schwab Fundamental International Large Company Index Fund		None			Buy	01/09/17	K		
73.					Sold	05/02/17	K	B	
74. - iShares US Healthcare ETF	A	Dividend			Buy	01/23/17	K		
75.					Sold	05/02/17	K	B	
76. - Xtrackers MSCI All World Ex US Hedged Equity ETF		None			Buy	04/21/17	J		
77.					Sold	05/02/17	J	A	
78. - iShares JPMorgan ESD Emerging Markets Bond ETF	A	Dividend			Buy	04/21/17	K		
79.					Sold	05/02/17	K	A	
80. - iShares MSCI Eurozone ETF		None			Buy	04/21/17	J		
81.					Sold	05/02/17	J	A	
82. - Schwab Value Advantage Money Fund	C	Dividend	N	T	Open	05/10/17	O		
83.					Sold (part)	12/29/17	M		
84. FIDELITY IRA ACCOUNT #2 (H)									
85. - Fidelity Extended Market Index Investor Fund (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. - Fidelity Select Biotechnology Fund (X)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VI, Lines 3, 4 and 5; These items are now reportable due to marriage in 2017
Part VII, Line 48; Name has changed from Charles Schwab Bank insured deposit account to Charles Schwab Bank Sweep insured deposit account
Part VII, Lines 85 and 86; These accounts are now reportable due to marriage in 2017

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Christopher C. Conner

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544