

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CRISTOL, A. J.	2. Court or Organization US BANKRUPTCY SOUTHERN FLORIDA	3. Date of Report 09/24/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) CHIEF JUDGE EMERITUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address C. CLYDE ATKINS COURTHOUSE 301 NORTH MIAMI AVENUE CHAMBERS 717 MIAMI, FLORIDA 33128		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee of the Board	American Red Cross, Greater Miami & Keys Chapter
2.	Judicial Director	University of Miami School of Law Alumni Association
3.	Trustee	Trust #2
4.	Trustee	Trust #3
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bankruptcy Institute	2/5/15 - 2/6/15	Grand Cayman, Grand Cayman Islands	Educational seminar or program	Air, Hotel, meals
2. Bankruptcy Bar Assn	5/7/15 - 5/10/15	Bonita Springs, FL	Educational seminar or program	Hotel, Meals
3. National Conference of Bankruptcy Judges	9/27/15 - 9/30/15	Chicago, IL	Educational seminar or program	Hotel
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 713 General Electric	D	Dividend	M	T					
2. 924 Hospitality Properties	B	Dividend	J	T					
3. 479 Blackrock	B	Dividend	J	T					
4. 530 Miami-Dade Aviation	B	Interest	M	T					
5. 532 Cohen & Steers	C	Distribution	K	T					
6. 568Elbit	A	Dividend	K	T					
7. 571 AT&T	C	Dividend	L	T					
8. 642 Nuveen Real Estate Fund Com	A	Dividend	K	T					
9. 643 Plains All American Pipeline Com	B	Distribution			Sold	09/29/15	K	A	
10. CVS Caremark	A	Dividend	K	T	Buy (add'l)	10/30/15	J		
11.					Buy (add'l)	12/18/15	J		
12. Mondelez Inc	A	Dividend	K	T					
13. Starbucks	A	Dividend	K	T					
14. Miller Convertible Fund	A	Dividend			Sold	06/01/15	J	C	
15. District Columbia Ambac	A	Interest			Sold	06/01/15	K	A	
16. Hillsborough County FL	B	Int./Div.	K	T					
17. Arizona St Transn Brd	B	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Lord Abbett Floating Rate/High Yield	C	Dividend	L	T	Buy (add'l)	04/16/15	K		
19.					Buy (add'l)	12/07/15	K		
20.					Sold (part)	12/07/15	K	A	
21. RS Floating Rate Fund	C	Dividend			Sold	04/16/15	K	A	
22. Apple Inc	B	Dividend	L	T					
23. Amgen Inc	A	Dividend	K	T					
24. Celgene Corp		None	K	T					
25. Comcast Corp New Special CL A	A	Dividend	K	T	Buy (add'l)	08/07/15	J		
26. Mednax Inc		None	K	T	Buy (add'l)	10/22/15	J		
27. Novo Nordisk ADR Denmark	A	Dividend	K	T	Buy (add'l)	10/14/15	J		
28. Tata Motors LTD		None			Sold	04/14/15	J	A	
29. Grandeur Peak Int'l Opportunities	B	Distribution	K	T					
30. Williams Partners LP MLP	A	Distribution			Sold (part)	02/02/15	J	A	
31.					Sold	09/29/15	J	A	
32. Genesis Energy LP	B	Distribution	K	T					
33. St Johns Cnty FL	B	Interest	K	T					
34. Angel Oak Multi Strategy	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. UBS AG Trigger		None	L	T					
36. Alleregen PLC		None	L	T					
37. Air Lease	A	Dividend			Sold	07/24/15	J	A	
38. CBS Corp New CL B	A	Dividend	J	T	Buy (add'l)	10/09/15	J		
39. Constellation Brands Inc CL A	A	Dividend	K	T					
40. Envision Healthcare Hldgs Inc		None			Sold	10/22/15	J	A	
41. Gilead Sciences Inc	A	Dividend	K	T	Buy (add'l)	10/14/15	J		
42. Alphabet Inc CL A		None	K	T	Buy (add'l)	07/24/15	J		
43. Alphabet Inc CL C		None	J	T	Sold (part)	05/04/15	J	A	
44. Kinder Morgan Inc.	B	Dividend			Sold	09/29/15	K	A	
45. Mobileye N.V. Amstelveen Eur		None	J	T					
46. Royal Bank of Canada Trigger PS SPX		None	L	T					
47. Grandeur Peak Global	B	Dividend	K	T					
48. 13D Actavist Fund Class		None	J	T					
49. Azure Midstream Partners LP MLP	A	Distribution			Sold	09/29/15	J	A	
50. Spectra Energy Partners LP	A	Distribution	K	T	Buy (add'l)	03/11/15	J		
51. Sunoco Logistics Partners	A	Distribution			Sold	09/29/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. Western Gas Partners LP	A	Distribution			Sold	09/29/15	K	A	
53. Florida ST BRD ED PUB ED	B	Interest	K	T					
54. Goldman Sachs Strategic	A	Dividend			Sold	05/14/15	K	A	
55. Legg Mason BW	A	Dividend			Sold (part)	12/07/15	K	A	
56.					Sold	12/07/15	K	A	
57. Mainstay Unconstrained bond fund	A	Dividend			Sold	10/09/15	K	A	
58. Royal Bank of Canada Trigger STEP PS SXE		None	K	T					
59.									
60. Deutsche Bank AG Trigger		None	K	T					
61. Goldman Sachs Group Trigger		None	K	T					
62. Citigroup Inc Trigger		None	K	T					
63. Home Depot Inc	A	Dividend	J	T	Buy	11/18/15	J		
64. RMR Group Inc/ The CL A		None	J	T	Buy	12/15/15	J		
65.					Buy (add'l)	12/15/15	J		
66.					Sold (part)	12/15/15	J	A	
67. UnitedHealth Group Inc	A	Dividend	K	T	Buy	08/24/15	J		
68.					Buy (add'l)	10/15/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. VF Corp	A	Dividend	K	T	Buy	02/17/15	J		
70.					Buy (add'l)	10/23/15	J		
71. Virgin Amer Inc		None	J	T	Buy	01/28/15	J		
72. JP Morgan Chase & Co		None	K	T	Buy	12/08/15	K		
73. Barclay's Bank PLC		None	L	T	Buy	12/07/15	K		
74. Maine St Hsg Auth Mtge P SR D	A	Int./Div.	L	T	Buy	06/17/15	K		
75. North Dakota St Hsg FIN Sr D RV		None	K	T	Buy	11/10/15	J		
76. Angel Oak Flexible Inc Fund CL I	A	Int./Div.	K	T	Buy	07/16/15	K		
77. RS High Income Municipal Bd Fund		None	M	T	Buy	03/31/15	L		
78.					Buy (add'l)	05/14/15	K		
79.					Buy (add'l)	10/09/15	K		
80. Allianz High YieldBond Fd CL P	B	Int./Div.			Buy	01/28/15	K		
81.					Buy (add'l)	03/11/15	J		
82.					Buy (add'l)	05/14/15	J		
83.					Sold (part)	06/18/15	K	A	
84.					Sold	07/16/15	L	A	
85. Lauder estee COS CL A (x)	A	Dividend			Sold	08/17/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. Morgan Stanley L/O TPAOS SPX		None	K	T	Buy	11/25/15	K		
87. HSBC USA Inc		None	K	T	Buy	08/26/15	K		
88. JP Morgan Chase Trigger PS SXE5		None	J	T	Buy	04/28/15	J		
89. Royal Bank of Canada Trigger ROS SPX		None	K	T					
90. Royal Bank of Canada Trigger ROS SP5E		None	J	T	Buy	07/29/15	J		
91. Trust # 2	G	Int./Div.	P1	T					
92. -235 FL ST BD									
93. -285 Wal Mart					Sold	10/15/15	K	D	
94. -466 Johnson&Johnson									
95. -636 Dade County S/O v									
96. -738 Ebay									
97. -789 Automatic Data					Buy (add'l)	12/07/15	J		
98. -794 Procter&Gamble					Sold	10/14/15	J	A	
99. -904 Hospitality Properties									
100. -971 General Electric									
101. -29 Home Depot									
102. -129 Macquarie					Sold (part)	10/14/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Sold	10/14/15	J	A	
104. -351 Heico									
105. -394 Teekay Offshore Fund					Sold	09/29/15	J	A	
106. -404 AT&T (X)									
107. -423 Opko Health									
108. -462 Cohen&Steers									
109. -492 Akamai Technologies									
110. -504 GE (X)									
111. -507 Palm Beach Waste									
112. -519 Miami Dade Aviation			L	T	Buy (add'l)	02/06/15	L		
113.					Sold (part)	01/01/15	J	A	
114. -549 New York Development									
115. -584 South Indian River SER 2006									
116. -604 FL State Mid Bay Bridge									
117. -605 Lee Mem Hlth									
118. -613 Univ Cnt Fla					Sold	10/01/15	K	A	
119. -Alphabet Inc. CL A					Buy (add'l)	12/14/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -662 Lake Cnty FL Sch Brd CTF Partn					Sold	06/01/15	J	A	
121. -674 Port St. Lucie Fl Research Faci									
122. -93 Donald. F. Rubin, INC.									
123. -703 Michael Kors Hldgs LTD					Sold	06/15/15	J	A	
124. -704 Allergan INC					Buy (add'l)	03/17/15	J		
125.					Buy (add'l)	03/17/15	J		
126.					Sold (part)	03/17/15	K	E	
127. -705 Check Point Software Tech LTD ILS									
128. -709 American Tower Corp									
129. -710 Canadian Riv Mun					Sold	02/15/15	K	A	
130. -715 Georgia Hsg & Fin Auth									
131. -720 Lord Abbet Floating					Sold (part)	12/07/15	L	A	
132. -721 McDonalds Corp									
133. -722 Mednax Inc									
134. -724 Microsoft Corp									
135. -725 Miller Convertible Fund Class A					Sold (part)	03/02/15	J	A	
136.					Sold	03/02/15	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -727 Nevada ST Fgic									
138. -728 Nike Inc CL					Buy (add'l)	12/11/15	J		
139. -729 Qualcomm Inc					Sold	08/27/15	J	A	
140. -730 RS Floating Rate Fund Class A					Sold (part)	03/31/15	L	A	
141.					Sold	04/16/15	L	A	
142. -733 Thermo Fisher Scientific Inc					Buy (add'l)	12/07/15	J		
143. -735 Union Pacific Corp									
144. -736 Wynn Resorts									
145. -1 TJX Cos Inc New									
146. -2 Panera Bread Comp CL A					Sold	02/17/15	J	A	
147. -3 AT&T Inc									
148. -4 SPDR Gold Trust									
149. -Angel Oak Multi Strategy Income Class Instl					Buy (add'l)	01/20/15	K		
150.					Buy (add'l)	02/09/15	J		
151.					Buy (add'l)	03/16/15	K		
152.					Buy (add'l)	04/15/15	J		
153. -9 Pepsico Inc									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -12 Yahoo Inc									
155. -13 Hercules Technology Growth									
156. -15 Lord Abbett Floating Rate Fund A					Buy (add'l)	03/16/15	J		
157.					Buy (add'l)	04/08/15	J		
158. -16 RS Floating Rate Fund A									
159. - Village Cmnty Dev No 6 Ser 2013									
160. - Chicago IL BRD ED, GO SER 2012A					Sold	11/18/15	K	A	
161. - Amer Express									
162. - Ares Capital Corp					Sold	11/18/15	J	A	
163. - Cummins Inc					Sold	11/18/15	J	C	
164. - Enbridge Energy Partners LP					Sold	09/29/15	J	A	
165. - Energy Transfer Partners LP									
166. - Genesis Energy LP Unit LTD					Sold (part)	09/29/15	J	A	
167.					Sold	09/29/15	K	A	
168. - HSBC USA Inc					Sold	06/16/15	K	E	
169. - Citigroup Funding Inc									
170. - Citigroup Inc									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Dade CNTY FL Spl Ser 1996 B									
172. - Chicago IL O'Hare Int'l Airport									
173. - Florida St Governmental Util Ser 2003									
174. - Halifax Hosp Med Ctr FL Ser 2006A									
175. - Hernando Cnty FL Sch BD Ser 2006									
176. -Allianz High High Yield Bond Class P					Sold (part)	06/18/15	K	A	
177.					Sold (part)	06/18/15	J	A	
178.					Sold (part)	06/18/15	K	A	
179.					Sold (part)	07/16/15	K	A	
180.					Sold	07/16/15	L	A	
181. -American Airls Group									
182. -Blackstone Group LP									
183. -Costco Wholesale									
184. -Denver Co City & CNty					Sold	12/07/15	L	A	
185. -Ecolab Inc (X)									
186. -Energy Transger Partners									
187. -Goldman Sachs Strategic Inc					Sold (part)	06/10/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold	06/10/15	K	A	
189. -Legg Mason BW ALternative					Buy (add'l)	01/20/15	J		
190.					Sold (part)	09/29/15	L	A	
191.					Sold (part)	11/23/15	K	A	
192. -New York NY Fiscal									
193. -Ralph Lauren Corp					Sold	06/29/15	J	A	
194. -Targa Rresources Partners									
195. -Whole Foods Market Inc					Sold	08/03/15	J	A	
196. -Wisconsin St Clean Wtr									
197. -JP Morgan Chase & Co Trigger									
198. -Credit Suisse AG Trigger					Buy (add'l)	06/25/15	K		
199. -Royal Bank of Canada Trigger SPS SPX					Buy (add'l)	12/28/15	K		
200. -Barclays Bank PLC Trigger PAOS RTY					Buy	12/07/15	J		
201.					Buy (add'l)	12/07/15	K		
202. - Angel Oak Flexible Income Fund Class I					Buy	07/16/15	K		
203.					Buy (add'l)	07/16/15	K		
204. -Lord Abbett High Yield Fd CL F			M	T	Buy (add'l)	06/15/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	06/10/15	J		
206.					Sold (part)	12/05/15	J	A	
207.					Sold (part)	12/07/15	L	A	
208. - Sentinel Total RTN BD 1					Buy	01/20/15	J		
209.					Sold (part)	12/07/15	L	A	
210. -DuPont De Demours					Buy	01/02/15	L		
211.					Sold (part)	09/29/15	M	C	
212. -Chemours Co					Spinoff (from line 210)	01/15/15	J		
213. -Whiting USA (x)					Sold (part)	01/02/15	K	A	
214. -Seadrill LTD US Line (X)					Sold (part)	02/09/15	K	A	
215. -Alphabet Inc. CL C					Sold	05/04/15	J	A	
216. -Facebbok Inc CL A					Buy	05/05/15	J		
217. -Globus Med Inc CL A					Buy	12/07/15	J		
218. -RMR Group Inc/CL A					Buy	12/15/15	J		
219.					Sold (part)	12/15/15	J	A	
220. -WP CaareyInc Reit					Buy	05/06/15	J		
221.					Buy (add'l)	06/25/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - Barclays Bank PLC Airbag PS SPX					Buy	03/26/15	K		
223. -Maine ST Hsg Auth Mtge P SR D					Buy	06/17/15	L		
224. -North Dakota ST Hsg Fin SR D RV					Buy	11/10/15	K		
225. -RS High Income Municipal Bond Fund Class Y					Buy	03/30/15	K		
226.					Buy (add'l)	04/16/15	K		
227.					Buy (add'l)	06/02/15	K		
228.					Buy (add'l)	12/21/15	K		
229. -Goldmand Sachs Group Trigger ROS MID					Buy	01/27/15	K		
230. -Morgan Stanley Chase Trigger PS Int'l Eqty Bsk					Buy	11/25/15	K		
231. -Deutsche Bank AG L/O TPAOS SPX SXSE					Buy	12/29/15	K		
232. -Goldmand Sachs Group Trigger AOS RTY					Buy	03/27/15	K		
233. -Royal Bank of Canada Trigger PS EFA					Buy	06/25/15	J		
234. -JP Morgan Chase & CO TriggerPS DXK					Buy	06/26/15	J		
235. -Kinder Morgan Inc,					Sold	09/29/15	K	A	
236. -PJT Partners Inc (x)					Sold (part)	10/01/15	J	A	
237.					Sold	10/09/15	J	A	
238. Trust # 3	G	Int./Div.	P1	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -207 Helios									
240. -225 Six Flags 8.875					Sold	04/14/15	J	A	
241. -311 General Motors Company Warrant 072016									
242. -259 Lennar Corporation CL A (X)					Sold	06/02/15	J	A	
243. -264 General Motors Company Warrant 072019									
244. -276 General Motors Company(GM)									
245. -285 Ford Motor									
246. -414 Occidental Pete Corporation									
247. -434 Six Flags 9.625									
248. -623 US Concrete Inc. B									
249. -624 US Concrete Inc. A									
250. - Capital Product Partners LP									
251. - Transocean Limited REG SHS					Sold (part)	02/09/15	K	A	
252.					Sold (part)	08/27/15	K	A	
253. - Chesapeake Granite Wash TR					Sold (part)	01/02/15	K	A	
254.					Sold	03/16/15	K	A	
255. -General Electric Company					Sold	07/24/15	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. -Linco LLC ComSHS LTD Int					Sold (part)	01/02/15	K	A	
257.					Sold	03/31/15	L	A	
258. -Catchmark Timber TR Incorporated									
259. -Amazon Com Incorporated									
260. -Hi-Crush Partners LP Com									
261. -Memorial Prodtm Partners LP									
262.									
263. -BP PLC Sponsored ADR (United Kingdom)									
264.									
265. -Crossamerica Partners LP					Sold	01/02/15	L	D	
266. -CIT Group Incorporated Com									
267.									
268. -Fidus Invt Corporation									
269. -Du Pont E I De Nemours Co									
270. -Angel Oak Multi-Strategy Inc Fund Class Instl					Buy (add'l)	01/02/15	K		
271.					Buy (add'l)	07/16/15	L		
272. -RS Floating Rate Class Y					Sold (part)	03/31/15	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. -Lord Abbett Floating Rate fD Cl F					Buy	02/17/15	K		
274.									
275. -Goldman Sachs Strategic Income					Sold	06/02/15	J	C	
276. -Seadrill LTD US Line					Sold (part)	01/02/15	K	A	
277.					Sold (part)	02/09/15	K	A	
278. -Sentinal Total RTN Bnd 1 (X)					Sold (part)	11/16/15	K	A	
279. -UNTD Rentals Inc					Sold	06/02/15	J	A	
280. -Florida ST Brd ED Lottery									
281. -Perrigo CO PLC EUR					Sold	08/06/15	J	B	
282. -Legg Mason BW Alternative									
283. -Brookfield High Income FD									
284. -UBS AB Trigger									
285. -Citigroup Inc Trigger									
286. -Unum Group (X)					Sold	07/24/15	J	B	
287. -Biogen Inc					Buy	04/09/15	J		
288. -Home Depot Inc					Buy	11/16/15	J		
289. -Illumina Inc					Buy	03/13/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	07/24/15	J		
291.					Buy (add'l)	11/27/15	J		
292. -LinkedIn Corp C: A					Buy	04/02/15	J		
293. -Mastercard Inc CL A					Buy	07/24/15	J		
294.					Buy (add'l)	08/27/15	J		
295. -Mednax Inc					Buy	10/22/15	J		
296. -Pepsico Inc					Buy	06/02/15	J		
297.					Buy (add'l)	08/27/15	J		
298. -Southwest Airlines Co					Buy	04/29/15	J		
299. -Verizon Inc					Buy	01/02/15	J		
300. -Walt Disney Co (Holding Co)					Buy	08/05/15	J		
301. -wpp plc nEW sPON adr					Buy (add'l)	08/24/15	J		
302. -WPP PLC New SPON ADR					Buy	05/06/15	J		
303. -Barclays Bank PLC					Buy	12/07/15	J		
304. -Dallas Fort Worth TX IN SR C RV					Buy	04/21/15	K		
305. -Florida ST Muni Pwr AGY					Buy	03/02/15	L		
306. -Broward CO FL ARPT					Buy	03/24/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. -Miami Dade CNTY FLA AVIA					Buy	02/06/15	L		
308. -Lord Abbett High Yield Fund CL F					Buy	06/18/15	L		
309. -RS High Income Municipal BD FD CL Y					Buy	03/31/15	L		
310.					Buy (add'l)	04/08/15	K		
311.					Buy (add'l)	06/02/15	K		
312. -Allianz High Yield Bond Fund CL P					Sold (part)	06/18/15	L	A	
313.					Sold	07/16/15	L	A	
314. -Lennar Corp									
315.									
316. UBS Traditional (IRA) #2	D	Dividend	M	T					
317. - Seadrill LTD US Line					Sold	12/14/15	K	A	
318. - Genl Electric Co					Sold (part)	12/14/15	J	B	
319. - Du Pont De Nemours					Sold (part)	02/04/15	L	D	
320.					Sold (part)	02/09/15	M	E	
321.					Sold	04/08/15	M	E	
322. - Angel Oak Multi-Strategy Inc FD CL Inst					Buy (add'l)	01/02/15	J		
323.					Buy (add'l)	02/11/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	04/16/15	J		
325. - Goldman Sachs Strategic Inc CL I									
326.									
327. - Legg Mason BW Alternative Alternative Credit FD CL I									
328.									
329.									
330. - Lord Abbett Floating Rate FD CL F					Buy (add'l)	06/02/15	J		
331.					Sold (part)	12/14/15	K	A	
332.					Sold (part)	12/14/15	J	A	
333. - RS Floating Rate Class Y (y)									
334.									
335. - Sentinel Total Rtn Bnd I					Sold (part)	12/14/15	J	A	
336.					Sold	12/14/15	K	A	
337.									
338. -Angel Oak Flexible Income FD CL I					Buy	07/16/15	K		
339.					Buy (add'l)	08/04/15	J		
340.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. UBS Traditional (IRA) #3 (X)		None	K	T					
342. -UBS AG Trigger PS SX5E (X)									
343. -Citigroup Inc. Trigger PS SX5E (X)									
344. -Morgan Stanley L/O TPAOS SPX SX5E					Buy	11/25/15	K		
345. -The Goldman Sachs Group Trigger ROS MID					Buy	01/27/15	K		
346. -Goldman Sachs Group Inc Trigger PS EFA					Buy	02/24/15	K		
347. -Goldman Sachs Group Inc Trigger AOS RTY					Buy	05/27/15	J		
348. -JPMorgan Chase& CO Trigger PS SX5E					Buy	04/28/15	J		
349.									
350.									
351.									
352.									
353.									
354.									
355.									
356.									
357.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1:

Line 47, (2014 Report) Actavis PLC had a corporate name changed in 2015 to Alleregen PLC listed on Line 36 (2015 Report).

Note 2:

Line 39, (2014 Report) Access Midstream Partners LP MLP had a corporate name changed in 2015 to Line 30, Williams Partners LP MLP

Note 3:

Line 64, (2014 Report) Marlin Midstream Partners Com had a corporate name changed in 2015 to Azure Midstream Partners LP MLP on Line 49, (2015 Report).

Note 4:

Line 55, (2014 Report) Google Inc CL A had a corporate name changed in 2015 to Alphabet Inc CL A on Line 42, (2015 Report).

Note 5:

Line 56, (2014 Report) Google Inc CL C had a corporate name changed in 2015 to Alphabet Inc CL C on Line 43, (2015 Report).

Note 6:

Line 61, (2014 Report) Royal Bank Of Canada inadvertently Included two Securites by the same name - Line 46, (2015 Report) states the Corect Name of Royal Bank of Canada Trigger PS SPX a new Line 88, (2015 Report) has the second security with a name of Royal Bank of Canada Trigger ROS SPX.

Note 7:

Line 87, (2014 Report) Royal Bank Of Canada inadvertently listed the name incorrectly. Name was changed to Line 58, (In 2015 Report) Royal Bank of Canada Trigger Step PS SXE

Note 8:

Line 23, (2014 Report) Lord Abbett Floating Rate was inadvertently listed as all sold in 2014, This security is added back on Line 18, (2015 Report) as Lord Abbett Floating Rate

Note 9:

Line 183, (2014 Report) Angel Oak Multi Strategy had a corporate name changed in 2015 to Angel Oak Multi Strategy Income Class Instl on Line 149, (2015 Report).

Note 10:

Line 133, (2014 Report) 627 Google had a corporate name changed in 2015 to Alphabet Inc. The security however, should have listed individually by class type to properly reflect the portfolio. As a result, in the 2015 report) the securities are listed on lines 119 as Alphabet Inc CL A and on line 214 as Alphabet Inc CL C.

Note 11:

Line 199 (2015 Report) name was changes to Royal Bank of Canada Trigger SPS SPX to properly reflect the security and preclude any future doubling of the same security

Note 12:

Line 155 (2014 Report) sale of Kinder Morgan Inc was inadvertently posted as, Sold, the stock was re-entered on line 235 (2015 Report) Listed as a final sale

Note 13:

Line 454, (2014 Report) Angel Oak Multi Strategy Inc Instl had a corporate name changed in 2015 to Angel Oak Multi Strategy Income Fund Class Instl on Line 322, (2015 Report).

Note 14:

Line 312, (2015 Report) Allianz High Yield Bond Fund CL P was inadvertently deleted in previous report, We added it back then sold it.

Note 15:

Line 265 (2015 Report) Was changed to CrossAmerica Partners LP because there was a name change in prior years from LeHigh Partners LP (line 444 - 2014 report)

Note 16:

Line 469 (2014) RS Acct #1 this account was closed in 2014 and assets transferred to IRA #2 Line 316 (2015 Report)

Note 17:

Line 112 and 204 (2015) Audit report insisted on having column C entered even though it is a part of Header 90.

FINANCIAL DISCLOSURE REPORT

Page 26 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 27 of 27

Name of Person Reporting

CRISTOL, A. J.

Date of Report

09/24/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ A. J. CRISTOL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544