

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Crabb, Barbara B.	2. Court or Organization U.S. District Court, Western District of Wisconsin	3. Date of Report 01/02/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 120 North Henry Street Suite 540 Madison, WI 53703		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Facktor-Mervis charitable foundation
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

Wisconsin Department of Employee Trust Funds

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AQR Managed Futures Strategy (AQMIX)	A	Dividend			Sold (part)	01/17/17	J		
2.					Sold	07/10/17	J	C	
3.									
4. AQR Style Premia Alt. (QSPIX)	A	Dividend	J	T	Buy	01/17/17	J		
5.					Sold (part)	12/21/17	J		
6.					Sold (part)	12/26/17	J		
7.									
8. AXA Variable Life Ins Annuity (formerly Equitable Life) comprising:									
9. - EQ/Int'l Equity	B	Dividend	K	T					
10. - AXA Int'l Core Volatility	B	Dividend	J	T					
11. - EQ/Equity 500 Index	B	Dividend	L	T					
12. -EQ/Mid Cap Index	A	Dividend	J	T					
13. - EQ/Small Company	A	Dividend	J	T					
14.									
15. Cisco Systems, Inc. (CSCO)	A	Dividend			Sold	11/01/17	K	D	
16.									
17. Diamond Hill Small Cap (DHSIX)	A	Dividend	J	T	Sold (part)	01/17/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18.					Sold (part)	12/26/17	J		
19.									
20. Ed Vest -529 College Savings Plan (Balanced Portfolio 3437-Med. Risk)	A	Interest	L	T	Buy (add'l)	12/18/17	L		
21.									
22. EV Income Fund of Boston (EIBIX)	A	Dividend			Buy	01/17/17	J		
23.					Sold (part)	07/10/17	J		
24.					Sold (part)	12/21/17	J		
25.					Sold	12/26/17	J	A	
26.									
27. EV High Yield Muni Income (EIHXY)	A	Dividend			Sold	01/18/17	L	A	
28.									
29. First TR High YL Lg/Sht ETF (HYLS)	D	Dividend	J	T	Buy (add'l)	01/18/17	M		
30.									
31. First Trust North American (EMLP)	B	Dividend	J	T	Buy (add'l)	01/17/17	J		
32.					Buy (add'l)	01/18/17	J		
33.					Sold (part)	12/21/17	J		
34.					Sold (part)	12/26/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.									
36. Harding Loevner Intl Eqty (HLMIX)	A	Dividend	J	T	Sold (part)	01/17/17	J		
37.					Sold (part)	07/10/17	J		
38.					Sold (part)	12/21/17	J		
39.					Sold (part)	12/26/17	J		
40.									
41. Home Savings & Loan	A	Interest	J	T					
42.									
43. Ishares Core MSCI (IEFA)	A	Dividend			Sold	01/18/17	L	C	
44.									
45. Ishares Core U.S. Agg. f/k/a Ishares Barclays Agg. Bd. Fd.(AGG)	A	Dividend			Sold	01/18/17	M	A	
46.									
47. Ishares Edge MSCI Min Vol (EFAV)	C	Dividend	J	T	Buy (add'l)	01/18/17	L		
48.									
49. Ishares Edge MSCI Emerg Mkt Min. Vol (EEMV)	C	Dividend	J	T	Buy (add'l)	01/18/17	K		
50.									
51. Ishares Russell Midcap ETF (IWP)	A	Dividend	K	T	Sold (part)	01/17/17	J	A	

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52.					Sold (part)	01/18/17	J		
53.					Sold (part)	12/21/17	J		
54.					Sold (part)	12/26/17	J		
55.									
56. Ishares Russell Midcap (IWS)	A	Dividend	K	T	Sold (part)	12/26/17	J		
57.									
58. Ishares Russell 1000 Growth Index (IWF)	C	Dividend	M	T	Buy (add'l)	01/17/17	J		
59.					Buy (add'l)	01/18/17	J		
60.					Sold (part)	07/10/17	J		
61.					Sold (part)	12/21/17	J		
62.					Sold (part)	12/26/17	J		
63.									
64. Ishares Russell 1000 Value (IWD)	C	Dividend	M	T	Sold (part)	01/18/17	J		
65.									
66. Ishares Russell 2000 Growth Fund (IWO)	A	Dividend	J	T	Sold (part)	01/18/17	J		
67.					Buy	06/29/17	J		
68.					Sold (part)	12/21/17	J		

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69.									
70.									
71. Ishares Russell 2000 Value (IWN)	A	Dividend	L	T	Sold (part)	01/18/17	J		
72.									
73. Lazard Emerg Mkts Core EQ (EMBIX)	A	Dividend	J	T	Buy (add'l)	01/17/17	J		
74.					Sold (part)	01/17/17	J		
75.					Sold (part)	12/21/17	J		
76.					Sold (part)	12/26/17	J		
77.									
78. Lazard Int'l Strat EQ (LISIX)	A	Dividend	J	T	Buy	01/17/17	J		
79.					Sold (part)	07/10/17	J		
80.					Sold (part)	12/21/17	J		
81.					Sold (part)	12/26/17	J		
82.									
83. Medtronic, Inc. (MDT)	A	Dividend			Sold	06/26/17	K	C	
84.									
85. Metropolitan West TOT RET BD (MWTIX)	A	Dividend			Sold (part)	01/17/17	J		

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86.					Sold (part)	12/21/17	J		
87.					Sold	12/26/17	J	C	
88. Met Life	A	Dividend	J	T					
89.									
90. Morgan Stanley Private Bank NA (MSPBNA)	A	Interest	K	T					
91.									
92. Nuveen Int Dur Muni Bond (NUVBX)	D	Dividend	N	T	Buy (add'l)	01/18/17	N		
93.					Buy (add'l)	08/28/17	M		
94.									
95. Nuveen Ltd Term Muni Bd (FLTRX)	B	Dividend	J	T	Buy (add'l)	01/18/17	L		
96.					Buy (add'l)	08/28/17	L		
97.									
98. Oakmark (OAKMX)	A	Dividend	J	T	Sold (part)	01/17/17	J		
99.					Sold (part)	07/10/17	J		
100.					Sold (part)	12/21/17	J		
101.					Sold (part)	12/26/17	J		
102.									

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103. Pimco Intermed Mun Bd (MUNI)	A	Interest			Sold	01/18/17	N	B	
104.									
105. PIMCO 0-5 Year H/Y Corp. Bond (HYS)	A	Interest			Sold	08/09/17	J	A	
106.									
107. SPDR Doubleline Tr Tact ETF (TOTL)	C	Dividend	J	T	Buy (add'l)	01/18/17	L		
108.					Buy (add'l)	08/28/17	L		
109.									
110. Synchrony Bank Acct	A	Interest	M	T					
111.									
112. Touchstone Small Cap Growth (MXAIX)	A	Dividend			Sold (part)	01/17/17	J		
113.					Sold	06/29/17	J	A	
114.									
115. UW Credit Union	A	Interest	K	T					
116.									
117. Vanguard Municipal Fund	A	Interest	L	T					
118.									
119. Vanguard REIT ETF (VNQ)	A	Dividend			Sold (part)	01/17/17	J		

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120.					Sold	01/18/17	K	A	
121.									
122. Vanguard Short Term Bnd (BSV)	A	Dividend	J	T	Buy	01/17/17	J		
123.					Sold (part)	12/21/17	J		
124.					Sold (part)	12/26/17	J		
125.									
126. Vanguard Short Term Corporate (VCSH)	A	Dividend			Sold	01/17/17	J	A	
127.									
128. Vanguard European MSCI ETF (VGK)	C	Dividend	J	T	Sold (part)	01/18/17	M		
129.									
130. WisdomTree Trust Japan Hedge EQ (DXJ)	B	Dividend	J	T	Sold (part)	01/18/17	K		
131.									
132. Angel Oak Multi Strat Inc (ANGIX)	A	Dividend	J	T	Buy	01/17/17	J		
133.					Sold (part)	07/07/17	J		
134.					Buy	08/09/17	J		
135.					Sold (part)	12/21/17	J		
136.					Sold (part)	12/26/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Crabb, Barbara B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

137.									
138. Blackrock Strategic Inc. (BSIIX)	A	Dividend	J	T	Buy	07/15/17	J		
139.					Sold (part)	12/21/17	J		
140.									
141.									
142. PIMCO Enhanced Short Term Market	A	Dividend			Buy	07/15/17	J		
143.					Sold	08/04/17	J	A	
144.									
145. Morgan Stanley Bank (MSNBK) (x)									
146. MS Liquid Asset Fund (ILAF) (y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Crabb, Barbara B.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Barbara B. Crabb**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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