

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) KOLLAR-KOTELLY, COLLEEN	2. Court or Organization United States District Court	3. Date of Report 05/15/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. District Court 333 Constitution Avenue, NW Washington, D.C. 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	Robert Shuker Scholarship Memorial Fund
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2011	D.C. Superior Court Retirement Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	D.C. Superior Court Retirement Fund	\$115,931.28
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Self-Employed Attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property	E	Rent	M	W					
2. PNC National Bank		None	J	T					
3. Bank of America		None	J	T					
4. DSM Poseidon L.L.C.		None	J	U					
5. Bank of New York Com. Stock	A	Dividend	K	T					
6. General Electric Com. St.	B	Dividend	K	T					
7. Schwab Money Market Fund	A	Dividend	L	T					
8. Sterling One Industrial LLC	D	Dividend	K	U					
9. EMC Com. St.		None			Sold (part)	01/25/11	J	C	
10. EMC Com. St.		None			Sold	02/23/11	K	D	
11. Apache Corp. Com. St.	A	Dividend	K	T					
12. SPDR Gold Trust Com. St.		None			Sold	08/30/11	L	E	
13. Watts Water Tech Com. St.	A	Dividend			Sold (part)	01/05/11	J		
14. Watts Water Tech Com. St.	A	Dividend			Sold	02/10/11	K	A	
15. Pioneer Natural Resource Com. St.	A	Dividend	K	T	Sold (part)	04/13/11	J	D	
16. Pioneer Natural Resource Com. St.	A	Dividend	K	T	Sold (part)	11/02/11	J	D	
17. 3M Com. St.	A	Dividend	K	T	Sold (part)	06/03/11	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	C = \$2,501 - \$5,000 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	D = \$5,001 - \$15,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	E = \$15,001 - \$50,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
2. Value Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. 3M Com. St.	A	Dividend	K	T	Buy (add'l)	08/17/11	J		
19. Schlumberger Com. St.	A	Dividend			Sold (part)	01/14/11	J		
20. Schlumberger Com. St.	A	Dividend			Sold (part)	03/11/11	J		
21. Schlumberger Com. St.	A	Dividend			Sold (part)	07/13/11	J	A	
22. Schlumberger Com. St.	A	Dividend			Sold	07/27/11	K	D	
23. Harris Corp. Com. St.	A	Dividend	K	T					
24. General Electric Capital Notes	A	Interest	K	T					
25. Walt Disney Com. St.	A	Dividend	K	T	Buy (add'l)	08/30/11	K		
26. Norfolk Southern Com. St.	A	Dividend	K	T	Sold (part)	06/21/11	J	B	
27. Norfolk Southern Com. St.	A	Dividend	K	T	Sold (part)	07/27/11	J	B	
28. Caterpillar Financial Notes	A	Interest	J	T					
29. Goldman Sachs Notes	B	Interest	K	T					
30. National Rural Utility Notes	B	Interest	K	T					
31. Wilmington Trust bonds	B	Interest	K	T					
32. Dupont E.I. Nemour Com. St.	A	Dividend	K	T	Sold (part)	04/13/11	J	C	
33. Dominion Resource Com. St.	A	Dividend	K	T	Sold (part)	08/17/11	J	B	
34. Research In Motion LTD Com. St.		None			Sold	06/20/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Vanguard Corp. Bond	B	Dividend	K	T					
36. HCP Inc. Com. St.	A	Dividend	K	T	Sold (part)	12/15/11	J	D	
37. Eaton Com. St.	A	Dividend	K	T	Sold (part)	02/23/11	K	C	
38. Eaton Com. St.	A	Dividend	K	T	Buy (add'l)	03/16/11	K		
39. Eaton Com. St.	A	Dividend	K	T	Buy (add'l)	08/14/11	J		
40. Atlas Pipeline Partners Com. St.	B	Dividend	K	T	Sold (part)	01/05/11	J	B	
41. Atlas Pipeline Partners Com. St.	B	Dividend	K	T	Sold (part)	03/08/11	J	C	
42. Atlas Pipeline Partners Com. St.	B	Dividend	K	T	Sold (part)	08/11/11	J	C	
43. DOW Chemical Com. St.	B	Dividend	K	T	Buy (add'l)	10/06/11	J		
44. Royal Caribbean Notes	B	Interest	K	T					
45. Amerigas Partners Bonds	A	Interest			Sold	09/12/11	K	A	
46. Anadarko Petroleum Notes	B	Interest	K	T					
47. SPDR Barclays Capital Bond	C	Dividend	K	T	Buy (add'l)	02/16/11	J		
48. CIT Group Notes	B	Interest	J	T					
49. Super Value Notes	B	Interest	K	T	Buy	07/06/11	J		
50. Eaton Vance Ltd.	B	Interest	K	T	Buy	03/22/11	J		
51. Apple Inc. Com. St.		None	L	T	Buy	05/20/11	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Apple Inc. Com. St.		None	L	T	Buy (add'l)	06/21/11	J		
53. Apple Inc. Com. St.		None	L	T	Buy (add'l)	08/30/11	J		
54. Suburban Propane Partners	B	Dividend	K	T	Buy	06/23/11	K		
55. International Lease Fin. Notes	A	Interest	J	T	Buy	09/14/11	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ COLLEEN KOLLAR-KOTELLY

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544