

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Leonard, J. Rich	2. Court or Organization U.S. Bankruptcy Court - EDNC	3. Date of Report 09/06/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 300 Fayetteville Street P. O. Box 1441 Raleigh, North Carolina 27602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Editor in Chief	American Bankruptcy Law Journal, National Conference of Bankruptcy Judges
2. Member, Board of Visitors	Campbell University School of Law
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Adjunct Professor - Campbell University School of Law	\$10,000.00
2. 2011	Editor-in-Chief - American Bankruptcy Law Journal	\$13,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Salary - Peace College (teaching)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	January 3 - 8, 2011	San Francisco, CA	Assoc. of American Law Schools Conference	travel, meals, lodging
2. National Association of Chapter 13 Trustees	January 21 - 22, 2011	Washington, DC	National Assoc. of Ch. 13 Trustee's Conference	lodging
3. American Bankruptcy Institute	March 6 - 8, 2011	Brooklyn, NY	19th Annual Hon. Conrad B. Duberstein Moot Court Competition	travel, meals
4. American College of Bankruptcy Fellows	March 19, 2011	Washington, DC	American College of Bankruptcy Fellows	travel, meals, lodging

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5.	National Association of Bankruptcy Trustees	March 25 - 26, 2011	Santa Monica, CA	National Association of Bankruptcy Trustees Conference	lodging
6.	National Conference of Bankruptcy Judges	April 4 - 5, 2011	Palm Springs, CA	National Conf. of Bankruptcy Judges Mid-Year Meeting	travel, meals, lodging
7.	Eastern Bankruptcy Institute	June 2 - 5, 2011	N. Myrtle Beach, SC	Eastern Bankruptcy Institute Annual Meeting	lodging
8.	American Bar Association	August 4 - 6, 2011	Toronto, Canada	American Bar Association Annual Meeting	travel, meals, lodging
9.	National Conference of Bankruptcy Judges	October 11 - 15, 2011	Tampa, FL	National Conf. of Bankruptcy Judges Annual Meeting	travel, meals, lodging
10.	North Carolina Bar Association	November 17 - 19, 2011	Asheville, NC	North Carolina Bar Association Annual Meeting	meals, lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Great Lakes Loan Corp.	Student Loan	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BB&T - Bank Account	A	Interest	J	T					
2. TIAA CREF Retirement		None	L	T					
3. Fidelity Advisor 529 Portfolio 2022 (no control)		None	J	T					
4. Suntrust - Bank Account	A	Interest	J	T					
5. Suntrust - Bank Account	A	Interest	J	T					
6. Suntrust - Bank Account	A	Interest	J	T					
7. Suntrust - Bank Account	A	Interest	J	T					
8. Franklin Real Return Fund Class C - Mutual Fund	A	Dividend	J	T					
9. Franklin North Carolina Tax Free Class C - Mutual Fund	A	Interest	J	T					
10. Russell Short Duration Bond Fund Class C - Mutual Fund	A	Dividend	J	T	Sold (part)	12/19/11	J	A	
11. Russell Equity Growth Strategy Fund Class C - Mutual Fund	A	Dividend	K	T					
12. Russell Strategic Bond Fund Class C - Mutual Fund	A	Dividend	J	T					
13. Templeton Global Bond Fund Class C - Mutual Fund	A	Dividend	J	T					
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **J. Rich Leonard**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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