

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fisher, D M.	2. Court or Organization U.S Court of Appeals 3rd Circuit	3. Date of Report 11/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 5360 Joseph F. Weis Jr., US Courthouse 700 Grant Street Pittsburgh, PA 15219-1906		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor	University of Pittsburgh School of Law
2. Adjunct Professor	West Virginia College of Law
3. Adjunct Professor	Georgetown University Law Center
4. Member, Board of Trustees	University of Pittsburgh
5. Member, Board of Trustees	Senator John Heinz History Center
6. Member and Chair	St. Clair Country Club Capital Gifts Committee
7. Director	Winding Stream Home Owners Association
8. President	Spring Run Mens Golf Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1975-03	Pennsylvania State Employees Retirement Fund, Defined Benefit Pension Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	University of Pittsburgh School of Law - Salary	\$10,000.00
2. 2016	Georgetown University Law Center - Salary	\$10,000.00
3. 2016	West Virginia - Salary and Expenses	\$4,500.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Pro Limited (Consultant)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Pennsylvania Bar Association	1/27/2016 to 1/31/2016	St. Maarten, Netherlands Antilles	Midyear Meeting / Panel Member	Transportation, lodging, meals
2. NAGTRI	05/9/2016	Washington, DC	Appellate Practice Conference	Transportation, meals
3. PBA	5/12/2016 to 05/13/2016	Hershey, PA	PBA Meeting	Transportation, lodging, meals
4. ACBA	6/16/2016 to 6/17/2016	Champion, PA	Bench Bar	Transportation, lodging, meals
5. Waynesburg University	7/30/2016 to 8/5/2016	Cambridge, United Kingdom	Lecture	Transportation, lodging, meals

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6.	PBI	8/23/2016 to 8/24/2016	Philadelphia, PA	PBI Annual Court Roundup	Transportation, lodging, meals
7.	Academy of Trial Lawyers	9/30/2016 to 10/1/2016	Farmington, PA	Retreat	Transportation, lodging, meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Armand Dellovade	Farmington, PA and West Palm Beach, FL / Gold, meals, lodging	\$1,800.00
2.	Pittsburgh Penguin Foundation	Ticket to Stanley Cup	\$400.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Vanguard Wellington Fund	C	Dividend	M	T					
2. Citizens Bank of Pennsylvania	B	Interest	L	T					
3. PNC Bank	B	Interest	M	T					
4. Scudder Investments, Money Market Fund	A	Int./Div.	J	T					
5. PA State Employee Retirement Fund		None	P1	U					
6. MassMutual Evolution Variable Annuity MML Balanced allocation Fund	D	Int./Div.	N	T					
7. Huntingdon Bank, Stock	A	Dividend	J	T					
8. Ameriserve Financial, Stock	A	Dividend	J	T					
9. Callon Consolidated Partners, Ltd - Stock		None	J	T					
10. Legg Mason Value Trust, Mutual Fund	A	Dividend	L	T					
11. C.M. Life Ins. Co. Flex. Prem. Adjust. Univ. Life Policy	D	Interest	L	T					
12. Merrill Lynch, Black Rock Global	A	Dividend	K	T					
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **D M. Fisher**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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