

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MCNAMEE, STEPHEN M.	2. Court or Organization US DISTRICT COURT OF ARIZONA	3. Date of Report 09/19/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT JUDGE SENIOR STATUS - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address SANDRA DAY O'CONNOR US COURTS 401 WEST WASHINGTON STREET SPC 60 PHOENIX AZ 85003-2158		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. MEMBER	UNIVERSITY OF ARIZONA COLLEGE OF LAW BOARD OF VISITORS
2. MEMBER	UNIVERSITY OF ARIZONA LAW COLLEGE ASSOCIATION
3. MEMBER	UNIVERSITY OF CINCINNATI ALUMNI BOARD OF DIRECTORS HOUSING COMMITTEE
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	STATE OF ARIZONA TAX REFUND	\$5,358.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	ST LUKES HEALTH INITIATIVE - SALARY
2. 2012	HEALTH CARE EXCEL - BOARD MEMBER
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MERRILL LYNCH MASTER ACCOUNT (fka CMA BANKING ACCOUNT)	A	Int./Div.	J	T					
2. IBM COMMON STOCK)	A	Dividend	J	T					
3. INTERNATIONAL RECTIFIER COMMON STOCK		None	J	T					
4. FAIRFIELD ESTATES FLAGSTAFF AZ		None	J	W					
5. MARRIOT CANYON VILLAS		None	J	W					
6. ST LUKES 403(B)7 (H)	B	Dividend	M	T					
7. -VANGUARD 500 INDEX FD									
8. WELLS FARGO CKG	A	Interest	J	T					
9. US BANK CKG	A	Interest	J	T					
10. US BANK CKG	A	Interest	K	T	Open	01/01/12	K		
11. US BANK SVGS	A	Interest	M	T	Open	01/01/12	M		
12. IRA - US BANK PHOENIX AZ - CD	A	Interest	J	T					
13. IRA - US BANK PHOENIX AZ - CD	A	Interest	J	T					
14. MERRILL LYNCH - IRA #1 (H)	B	Int./Div.	K	T					
15. - FIA CARD SVCS									
16. - CD MORGAN STANLEY									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

17. - AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 (AHIFX)									
18. - AMERICAN CAPITAL WORLD BOND FUND CL F2 (BFWFX)									
19. - ISHARES IBOXX \$ INVT GRADE CORP BD FUND (LOD)									
20. - ISHARES BARCLAYS AGENCY BOND FUND (AGZ)									
21. MERRILL LYNCH - IRA #2 (H)	C	Int/Div.	M	T					
22. - FIA CARD SVCS (HAXX)					Sold (part)	01/10/12	J		
23. - CURRENCY SHARES AUSTRALIAN (FXA)					Buy	02/06/12	J		
24.					Buy (add'l)	09/10/12	J		
25.					Sold (part)	05/24/12	J		
26.					Sold	10/29/12	J		
27. - CURRENCY SHARES BR ETF (FXB)					Buy	05/24/12	J		
28.					Sold	09/10/12	J	A	
29. - CURRENCY SHARES CAN - ETF (FXC)					Buy	10/29/12	J		
30. - CURRENCY SHARES JAPANESE YEN					Sold	02/06/12	J	A	
31. - PWRSHARES ETF DYNAMIC LEISURE (PEJ)					Sold (part)	09/10/12	J	B	
32. - PWRSHARES DYNAMIC PHARM (PJP)					Sold (part)	09/10/12	J		
33. - PWRSHARES DYNAMIC RETAIL (PMR)					Buy	09/05/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34. - PWRSHARES DYNAMIC BLDG AND CONS (PKB)					Buy	10/29/12	J		
35. - PWRSHARES ETF (PTH)					Buy	09/10/12	K		
36. - PWRSHARES ETF (PEZ)					Buy	07/25/12	K		
37. - PWRSHARES NASDAQ INT (PNQI)									
38. - PWRSHARES ETF (PXI)					Sold	05/24/12	K		
39. - PWRSHARES ETF (KBWD)					Sold	10/29/12	K		
40. - SPDR INDEX SHS FDS (IPS)									
41. - SPDR INDEX SHS FDS (IPD)									
42. - SPDR INDEX SHS FDS (IPN)									
43. - VANGUARD LARGE CAP (VV)									
44. - VANGUARD GROWTH (VUG)									
45. - VANGUARD MSCI (VWO)									
46. - VANGUARD MID-CAP VAL IDX (VOE)									
47. MERRILL LYNCH - IRR #1 (H)	B	Int/Div.	L	T					
48. - FIA CARD SVCS (IIAXX)					Buy (add'l)	01/06/12	J		
49. - EATON VANCE FLOATING RATE FUND (EIBLX)									
50. - ISHARES IBOX \$ INVT GRADE CORP BD FUND (LQD)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
51. - ISHARES BARCLAY AGENCY BOND FUND (AGZ)									
52. - PIMCO FOREIGN BOND FUND (PFBPX)									
53. - SPDR GOLD TRUST (GLD)									
54. - CD BLOOMFIELD STATE BANK					Redeemed	01/05/12	J	A	
55. - PWRSHARES ETF DYN FOOD AND BEV (PBJ)					Sold	09/10/12	K	A	
56.									
57.									
58.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IN PART VII, LINE 55 - INADVERTENTLY OMITTED SALE TRANSACTION AS NOW REPORTED.

IN PART VII, POWERSHARES ETF TR DYNAMIC NETWORKING PORTFOLIO IS NOT REPORTED IN THE 12/31/2012 REPORTING PERIOD AS ITEM WAS SOLD IN PRIOR REPORTING PERIOD (11/15/11) AND SO REPORTED AT LINE 40. THE TRANSACTION WAS INCORRECTLY NOTED AS A "PART" SALE.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ STEPHEN M. MCNAMEE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544