

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lammens, Philip R.	2. Court or Organization U.S. District Court Middle District of Florida	3. Date of Report 04/29/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Golden-Collum Memorial Building & U.S. Courthouse 207 N.W. Second Street Ocala, Florida 34475		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board Member	Federal Bar Association, North Central Florida Chapter
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Employment Lawyers Association	March 20, 2015 - March 21, 2015	Palm Coast, Florida	Judicial Roundtable	Transportation, Meals, Lodging
2. Florida Bar Association	June 24, 2015 - June 25, 2015	Boca Raton, Florida	Judicial Roundtable	Transportation, Meals, Lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U.S. Department of Education	Student Loan	L
2.	U.S. Department of Education	Student Loan	K
3.	Wells Fargo - Satisfied upon sale of Rental Property September 23, 2015	Mortgage on Rental Property - Satisfied upon sale of Rental Property September 23, 2015	None
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. EverBank	A	Interest	J	T					
2. Capital One (formally known as ING Direct	A	Interest	J	T					
3. Fidelity Investments									
4. -Fid Freedom 2040	A	Int./Div.	J	T					
5. Charles Schwab Roth IRA									
6. -Allianz NFJ Large Cap	A	Int./Div.			Sold	11/02/15	J	B	
7. -American Century Intl	A	Int./Div.			Sold	11/02/15	J	C	
8. -Aberdeen Select Intl Eqty Fd	A	Int./Div.			Sold	11/02/15	J	B	
9. -Calamos Growth Fund	A	Int./Div.			Sold	11/02/15	J	C	
10. -Hennessy Small Cap Fin	A	Int./Div.			Sold	11/02/15	J	C	
11. -Federated Kaufmann Small	A	Int./Div.			Sold	11/02/15	J	C	
12. -Jensen Portfolio Class J	A	Int./Div.			Sold	11/02/15	J	B	
13. -Perkins Mid Cap Value FD	A	Int./Div.			Sold	11/02/15	J	B	
14. -RS Partners Fund CL A	A	Int./Div.			Sold	11/02/15	J	B	
15. -Schwab S&P 500 Index FD	A	Int./Div.	J	T					
16. -The Delafield Fund	A	Int./Div.			Sold	11/02/15	J	B	
17. -Third Avenue Intl Value	A	Int./Div.			Sold	11/02/15	J	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. -Baron REal Estate FD Retail	A	Int./Div.	J	T	Buy	11/16/15	J		
19. -Fidelity SLCT Biotech Port	A	Int./Div.	J	T	Buy	11/16/15	J		
20. -Hennessy Cornerstone Mid Cap 30 Inv	A	Int./Div.	J	T	Buy	11/16/15	J		
21. -Hodges Small Cap Retail	A	Int./Div.	J	T	Buy	11/16/15	J		
22. -Lazard Intl Strategic Equity Open Shares	A	Int./Div.	J	T	Buy	11/16/15	J		
23. -Oberweis Intl Oppty FD	A	Int./Div.	J	T	Buy	11/16/15	J		
24. -Parnassus Endeavor FD	A	Int./Div.	J	T	Buy	11/16/15	J		
25. -Schwab Health Care Fund	A	Int./Div.	J	T	Buy	11/16/15	J		
26. -Vanguard Mid Cap Growth Investor Share	A	Int./Div.	J	T	Buy	11/17/15	J		
27. -Vanguard Strategic Small Cap Equity Inv	A	Int./Div.	J	T	Buy	11/17/15	J		
28. Brokerage Acct #1									
29. -Schwab One MM Acct	A	Int./Div.	J	T					
30. -Schwab S&P 500 Index FD	A	Int./Div.	J	T					
31. -CGM Realty Fund	A	Int./Div.	J	T					
32. Rental Property, Alachua County, FL	A	Rent			Sold	09/23/15	M		Shunsan Chen & Lei Lin
33.									
34.									

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35.									
36.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Under VII. Investments and Trusts - CGM Realty Fund (line 18 on the 2014 Report) was mistakenly listed under the Charles Schwab Roth IRA. It was also correctly listed on the report under the Brokerage Acct. #1.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Philip R. Lammens**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544