

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Zagel, James B.	2. Court or Organization U.S. District Court No. III.	3. Date of Report 11/01/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 1/1/2015 to 12/31/2015
7. Chambers or Office Address 219 S. Dearborn Room 2588 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 1/1/15	Foundation Press-Book royalties	\$296.88
2. 12/31/15	EP Talent Services - Actor's Royalties	\$163.16
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Grant Thornton - Partnership and Consulting Income
2. 2015	KPMG - Consulting Fees
3. 2015	Fairfax Ltd. - Director's fees
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. M.O.N.Y. Policy Value	D	Dividend	L	T					
2. Northern Trust Acct. 1	A	Interest	M	T					
3. Northern Trust Acct 2	A	Interest	M	T					
4. Northern Trust Acct 3	A	Interest	J	T					
5. Northern Trust Acct 3	A	Dividend	J	T					
6. Farm Land Washington/Clinton Counties Ill.	C	Rent	K	W					
7. Northwestern Mut. Life Ins. Policy	A	Dividend	J	T					
8. New York Life Ins. Pol.	A	Dividend	J	T					
9. Country Cos. Life Pol.	A	Int./Div.	J	T					
10. Transamer. Life Pol. Val.	A	Interest	L	T					
11. Prudential Life Ins.	A	Dividend	J	T					
12. Prudential Life Ins.	A	Distribution	J	T					
13. Metropolitan Life Ins.	A	Dividend	J	T					
14. Equitable Life (Now AXA Equitable)	A	Dividend	K	T					
15. Royal Bank of Canada (RBC)	A	Interest	P1	T					
16. SAG Pension Plan	B	Interest	J	T					
17. RBC (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$250,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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18. Alphabet INC GOOG Formerly Google Inc Class A Class C		None	L	T					
19. KO	A	Dividend	K	T					
20. KO	A	Dividend	K	T					
21. CP	A	Dividend	L	T					
22. CP	A	Dividend	L	T					
23. Encana - ECA (formerly PCX)	A	Dividend	J	T					
24. Encana - ECA (formerly PCX)	A	Dividend	J	T					
25. Cenovus	A	Dividend	J	T					
26. Cenovus	A	Dividend	K	T					
27. HD	B	Dividend	L	T					
28. HD	B	Dividend	L	T					
29. Phillips 66 PSX	A	Dividend	J	T					
30. PG	B	Dividend	L	T					
31. PG	B	Dividend	L	T					
32. Pfizer PFE	A	Dividend	J	T					
33. Pfizer PFE	A	Dividend	J	T					
34. Time Warner	A	Dividend	J	T					

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35. Time Warner	A	Dividend	J	T					
36. Janus Contrarian Fund	A	Dividend	L	T					
37. Janus Contrarian Fund	A	Dividend	L	T					
38.	A	Distribution	L	T					
39. Pepco Holdings POM	B	Dividend	K	T					
40. Lease of excess Berrien, Ctr, MI acreage to Leitz Corp.	C	Rent	L	W					
41. Nextera NEE (formerly FPL)	B	Dividend	L	T					
42. Bank of America BAC	A	Dividend	K	T					
43. FIP Properties		None	M	R					
44. Alpine Ultra Short Tax ... Income Fund ATOIX	B	Interest	N	T					
45. Alpine Ultra Short Tax ... Income Fund ATOIX	B	Interest	M	T					
46. Zoetis/ZTS	A	Dividend	J	T					
47. Zoetis/ZTS	A	Dividend	J	T					
48. Atrion Corp (X)	B	Dividend	L	T	Sold (part)	06/16/15	L	E	
49. Bank of Montreal	B	Dividend	L	T	Buy	10/31/15	L		
50. Schlumberger LTD	B	Dividend			Buy	11/02/15	K		
51. Schlumberger LTD		None	J	T	Sold (part)	12/21/15	K		

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52. Toronto-Dominion Bank	C	Dividend	M	T	Buy	10/30/15	M		
53. SEP/ IRA RBC (H)									
54. AT&T	A	Dividend	J	T					
55. Chevron	A	Dividend	J	T					
56. CP	A	Dividend	K	T					
57. Dow Chemical	A	Dividend	K	T					
58. General Electric	A	Dividend	K	T					
59. Qualcomm	A	Dividend	K	T					
60. Encana - ECA	A	Dividend	J	T					
61. Cenovus	A	Dividend	J	T					
62. Bristol Myers Squibb	A	Dividend	K	T	Buy	10/30/15	K		
63. Medtronic PLC	A	Dividend	K	T	Buy	10/30/15	K		
64. Henderson Global FDS European Focus	A	Dividend	K	T	Buy	10/30/15	K		
65. Henderson International Opportunities Fund	A	Dividend	K	T	Buy	10/30/15	K		
66. Henderson Global FDS Divid Income	A	Dividend	K	T	Buy	10/30/15	K		
67.									
68. Morgan Stanley/Smith Barney - 401k NO. 1 (H)	A	Interest	L	T					

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69. Artisan Midcap/ARTOX		None	J	T					
70. Black Rock Equity Div/MDO US	E	Dividend	M	T					
71. Delaware INV DEVIX	B	Dividend	K	T	Buy	03/23/15	K		
72. Goldman Sachs/ GS DAX	A	Dividend	J	T					
73. Invesco Premier/IPPXX	A	Dividend	K	T					
74. Invesco Premier/IPPXX		None			Buy (add'l)	11/12/15	J		
75. Ivy Mid Cap/WMGAX	B	Dividend	K	T					
76. Ivy Mid Cap/WMGAX		None			Buy (add'l)	05/01/15	J		
77. Janus Venture	A	Dividend	K	T	Buy	03/26/15	J		
78.					Buy (add'l)	05/06/15	J		
79. MFS International (X)	B	Dividend	K	T					
80. Pimco Short Term PSHAX	A	Dividend	K	T	Buy	03/23/15	J		
81. Pimco total A/PTTAX (Y)									
82. Wells Fargo Emerg Mkts EMGYX		None	J	T	Buy	03/23/15	J		
83. Wells Fargo Prem LG WFPDX	D	Dividend	M	T	Buy (add'l)	03/23/15	J		
84.									
85. Morgan Stanley No. 2 ASSET (H)	A	Interest	K	T					

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86. 3M Company	A	Dividend	J	T					
87. Abbott Lab ABT	A	Dividend	J	T	Sold (part)	12/15/15	J		
88.		None			Sold (part)	02/18/15	J		
89.		None			Buy (add'l)	03/24/15	J		
90.		None			Buy (add'l)	07/28/15	J		
91.		None			Buy (add'l)	07/29/15	J		
92. ABBVIE Inc. ABBV	A	Dividend	J	T					
93. Accenture PLC Ireland ACN	A	Dividend	J	T	Buy (add'l)	07/28/15	J		
94. Ace Ltd. ACE	A	Dividend	J	T					
95. Adobe Systems ADBE	A	Dividend	J	T	Buy	03/06/15	J		
96.		None			Buy	07/14/15	J		
97.		None			Buy	07/28/15	J		
98. Allergan Inc. AGN		None			Sold	02/11/15	J	B	
99. American Exp. AXP	A	Dividend	J	T					
100. Amer INTL GP AIG	A	Dividend	J	T	Buy	07/28/15	J		
101. Amer Tower REIT AMT		None			Sold	03/18/15	J	A	
102. Anthem INC ANTM	A	Dividend	J	T	Buy	07/29/15	J		

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103. Apple Inc. APPL	A	Dividend	J	T	Sold (part)	12/15/15	J		
104.		None			Buy (add'l)	07/28/15	J		
105. AT&T	A	Dividend	J	T					
106. Auto Data Process ADP	A	Dividend	J	T	Buy (add'l)	07/28/15	J		
107.		None			Buy (add'l)	08/13/15	J		
108. BHP Billion LTD	A	Dividend	J	T	Buy	08/26/15	J		
109. Celegene Corp CELG	A	Dividend	J	T	Buy	07/28/15	J		
110.		None			Sold (part)	12/15/15	J		
111. Chevron CVX	A	Dividend	J	T	Sold (part)	12/15/15	J		
112. Chubb CB		None			Sold	06/10/15	J		
113. Citigroup C	A	Dividend	J	T	Buy (add'l)	07/28/15	J		
114. CME Group	A	Dividend	J	T	Buy	07/28/15	J		
115. CMS Energy CP	A	Dividend	J	T	Buy	08/19/15	J		
116. Coca Cola KO	A	Dividend	J	T					
117. Comcast New CMCSK	A	Dividend	J	T	Sold (part)	06/17/15	J	A	
118. Conoco Phillips COP	A	Dividend	J	T					
119. Deere Co. DE (Y)									

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Diageo PLC ADR DEO	A	Dividend	J	T					
121. Dollar Gen Corp New COM	A	Dividend	J	T	Buy	09/14/15	J		
122. Dollar Gen Corp New COM		None			Sold (part)	12/15/15	J		
123. Dominion Res D	A	Dividend	J	T					
124. Don DON (Y)									
125. DuPont	A	Dividend	J	T					
126. Dow Chemical DOW	A	Dividend	J	T	Buy	12/08/15	J		
127. Duke Energy DUK (Y)									
128. Enbridge ENB (Y)									
129. Exelon Corp EXC (X)		None			Sold	12/15/15	J		
130.		None			Buy	10/19/15	J		
131. Exxon Mobil XOM	A	Dividend	J	T	Buy (add'l)	07/28/15	J		
132.		None			Sold (part)	12/15/15	J		
133. Eversource Energy	A	Dividend	J	T	Buy	08/26/15	J		
134. Facebook INC		None			Buy	05/14/15	J		
135.		None			Sold	07/08/15	J	A	
136. Factset Research FDS		None			Sold	06/22/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Fastenal FAST	A	Dividend	J	T	Sold (part)	12/15/15	J		
138.		None			Buy (add'l)	03/25/15	J		
139.		None			Buy (add'l)	07/28/15	J		
140. Fifth Third Bank FITB		None			Sold	06/08/15	J	A	
141. Gap Inc	A	Dividend	J	T	Buy	08/19/15	J		
142. Gap Inc		None			Sold (part)	12/15/15	J		
143. Garland TEX		None	J	T	Buy	06/10/15	J		
144. Gartner IT	A	Dividend	J	T	Buy (add'l)	07/28/15	J		
145. General Electric GE	A	Dividend	J	T	Buy (add'l)	07/28/15	J		
146. General Mills GIS		None			Sold	01/16/15	J	A	
147. GOOG Alphabet Inc Class A Formerly Google Inc		None	J	T					
148. GOOGL Alphabet Inc Class C Formerly Google Inc		None	J	T					
149. Goldman Sachs	A	Dividend	J	T	Buy	07/30/15	J		
150. Home Depot HD	A	Dividend	J	T	Sold (part)	09/23/15	J	A	
151.		None			Buy (add'l)	07/28/15	J		
152. Honeywell Int. HON	A	Dividend	J	T					
153. Intel INTC	A	Dividend	J	T	Buy (add'l)	07/28/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Int Paper IP	A	Dividend	J	T					
155. IBM IBM	A	Dividend	J	T					
156. Johnson Controls JCI (Y)									
157. Johnson & Johnson JNJ	A	Dividend	J	T	Buy	08/04/15	J		
158. JP Morgan Chase JPM	A	Dividend	J	T	Buy (add'l)	03/24/15	J		
159.		None			Buy (add'l)	07/28/15	J		
160. Kimberly Clark KMB (Y)									
161. Kinder Morgan KMP (Y)									
162. Kroger KR	A	Dividend	J	T	Buy	08/26/15	J		
163. Lockheed Martin Corp	A	Dividend	J	T	Buy	07/28/15	J		
164. Lorillard LO (Y)									
165. Marathon Oil MRO	A	Dividend	J	T					
166. Marathon Petro MPC	A	Dividend	J	T					
167. Mastercard MA	A	Dividend	J	T	Buy (add'l)	07/28/15	J		
168. McDonalds MCD	A	Dividend	J	T					
169. Mead West Vaco MWV (Y)									
170. Merck MRK	A	Dividend	J	T	Buy (add'l)	07/28/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.		None			Sold (part)	12/15/15	J		
172. Metlife MET	A	Dividend	J	T					
173. Microsoft MSFT	A	Dividend	J	T	Buy (add'l)	07/28/15	J		
174. Mondelez Intl MDLZ	A	Dividend	J	T					
175. Morgan Stanley MS	A	Dividend	J	T	Buy	07/28/15	J		
176. Morgan Stanley MS		None			Sold (part)	12/15/15	J		
177. Motorola Solutions MSI	A	Dividend	J	T					
178. Nestle Spon NSRGY	A	Dividend	J	T	Buy	07/28/15	J		
179.		None			Buy	08/20/15	J		
180. New Mont Mining NEM (Y)									
181. Nextera Energy NEE	A	Dividend	J	T					
182. Nike NKE	A	Dividend	K	T	Buy (add'l)	03/24/15	J		
183.		None			Buy (add'l)	07/28/15	J		
184.		None			Buy (add'l)	08/03/15	J		
185. Northeast Utilities NU (Y)									
186. Northrop NOC	A	Dividend	J	T	Buy (add'l)	07/28/15	J		
187. Occidental Petro OXY	A	Dividend	J	T	Buy (add'l)	12/04/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Oracle ORCL	A	Dividend	J	T	Sold (part)	12/15/15	J		
189.		None			Buy (add'l)	07/28/15	J		
190. O'Reilly Automotive ORLY	A	Dividend	J	T	Buy	07/28/15	J		
191. Pfizer PFE	A	Dividend	J	T	Buy (add'l)	07/28/15	J		
192. Pfizer PFE		None			Sold (part)	12/15/15	J		
193. Phillip Morris PM	A	Dividend	J	T					
194. Phillips 66 PSX		None			Sold	05/27/15	J	A	
195. Praxair PX	A	Dividend	J	T					
196. Priceline.com PCLN		None	J	T	Buy	07/28/15	J		
197. Proctor & Gamble PG	A	Dividend	J	T	Buy (add'l)	07/28/15	J		
198. Prudential PRU	A	Dividend	J	T					
199. Public Serv Enterprise PEG	A	Dividend	J	T					
200. Qualcomm QCOM	A	Dividend	J	T	Sold (part)	12/15/15	J		
201. Quest Diagnostics DGX	A	Dividend	J	T	Buy	11/02/15	J		
202. Raytheon RTN	A	Dividend	J	T	Buy (add'l)	07/28/15	J		
203. Regeneron Pharm REGN	B	Dividend	J	T	Buy	07/28/15	J		
204. Regeneron Pharm REGN		None			Buy	07/29/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Reynolds American INC RAI	A	Dividend	J	T	Buy	09/03/15	J		
206. Schlumberger SLB	A	Dividend	J	T					
207. Sempra Energy SRE		None			Sold	10/22/15	J		
208. Starbucks SBUX	A	Dividend	J	T	Buy (add'l)	07/28/15	J		
209.		None			Buy (add'l)	07/29/15	J		
210. Suntrust BKS STI	A	Dividend	J	T	Buy (add'l)	07/28/15	J		
211. T Rowe Price TROW (Y)									
212. TJX Cos TJX	A	Dividend	J	T	Buy (add'l)	03/04/15	J		
213.		None			Buy (add'l)	07/28/15	J		
214. Toronto Dom Bk TD		None			Sold	01/20/15	J	A	
215. Total SA TOT	A	Dividend	J	T					
216. Travelers Comp. TRV	A	Dividend	J	T	Buy (add'l)	07/28/15	J		
217. Tyco INTL TYC	A	Dividend	J	T	Buy	08/24/15	J		
218. US Bancorp USB	A	Dividend	J	T					
219. Unilever UN	A	Dividend	J	T					
220. Union Pacific Corp UNP (X)	A	Dividend	J	T					
221. United Health GP Inc	A	Dividend	J	T	Buy	01/21/15	J		

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	J ~\$15,000 or less (See Columns C1 and D3)	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000 (See Column C2)	Q =Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	P4 ~More than \$50,000,000 S =Assessment W ~Estimated	T ~Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. United Parcel UPS	A	Dividend	J	T					
223. United Techno UTX	A	Dividend	J	T					
224. Varian Medical VAR (Y)									
225. VF Corp VFC		None			Sold	06/24/15	J	A	
226. Verizon Comm VZ	A	Dividend	J	T	Sold (part)	12/15/15	J		
227.		None			Buy	07/28/15	J		
228. Visa V	A	Dividend	K	T	Buy (add'l)	03/24/15	J		
229.		None			Buy (add'l)	07/28/15	J		
230.		None			Buy (add'l)	07/29/15	J		
231.		None			Sold (part)	02/18/15	J	A	
232. WW Grainger GWW		None			Sold	08/18/15	J		
233. WalMart WMT		None			Sold	04/21/15	J		
234. Weyerhaeuser WY	A	Dividend	J	T					
235. Wells Fargo WFC	A	Dividend	J	T	Buy (add'l)	07/28/15	J		
236.		None			Sold (part)	12/15/15	J		
237. Ishares Russel GRW	A	Dividend	K	T	Buy	12/15/15	K		
238. Ishares Russel Value	A	Dividend	K	T	Buy	12/15/15	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Georgia St Gen Obligation	A	Interest	J	T					
240. Gilbert AZ Gen Obligation	A	Interest			Sold	05/13/15	J		
241. St. Lucie FL County Revenue	A	Interest	J	T					
242. JEA WTR & SWR Revenue	A	Interest	J	T					
243. Mich ST Trunk Line (Y)									
244. Pasco Co FLA Revenue	A	Interest	J	T					
245. Snohomish Co Sch Dist	A	Interest	J	T					
246. Kansas City Mo WTR (X)	A	Interest	J	T					
247. Sedgwick Cnty	A	Interest	J	T	Buy	10/30/15	J		
248. Massachusetts ST	A	Interest	J	T					
249. Garland TX	A	Interest	J	T	Buy	06/10/15	J		
250. Anchorage Alaska Gen Obl	A	Interest			Sold	09/15/15	J	A	
251. Colombus, OH Gen Oblig (Y)									
252. Huron SD School Dist	A	Interest	J	T					
253. Invesco Premier TX Exempt	A	Dividend	J	T					
254.									
255. Morgan Stanley Asset 3 (H)	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. 3M Company MMM	A	Dividend	J	T					
257. Abbott Labs ABT	A	Dividend	J	T					
258. Abbvie Inc ABBV	A	Dividend	J	T					
259. Ace LTD ACE		None			Sold	12/08/15	J	A	
260. Alphabet Inc GOOG Formerly Google Inc Class A	A	Dividend	J	T					
261. Alphabet Inc GOOGL Formerly Google Inc Class C	A	Dividend	J	T					
262. America Exp AXP	A	Dividend	J	T					
263. American Intl GP AIG	A	Dividend	J	T	Buy	08/25/15	J		
264. American Tower REIT AMT		None			Sold	03/18/15	J	A	
265. Anthem Inc ANTM	A	Dividend	J	T	Buy	08/25/15	J		
266. Apple APPL	A	Dividend	J	T					
267. AT&T (Y)									
268. Berkshire Hathaway BRK'B		None	J	T					
269. BHP Billiton BHP		None			Sold	12/15/15	J		
270. Chevron CVX	A	Dividend	J	T					
271. Citigroup C	A	Dividend	J	T					
272. CME Group CME (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. Cms Group CMS	A	Dividend	J	T	Buy	08/25/15	J		
274. Coach COH		None			Sold	12/15/15	J		
275. Coca Cola KO	A	Dividend	J	T					
276. Comcast CMCSK	A	Dividend	J	T					
277. Conoco COP	A	Dividend	J	T					
278. Core Laboratories CLB (X)	A	Dividend	J	T	Sold (part)	12/15/15	J		
279. Cummins Inc. CMI		None			Sold	12/04/15	J		
280. Deere DE (Y)									
281. Diageo PLC DEO	A	Dividend	J	T					
282. Dollar General DG	A	Dividend	J	T	Buy	06/24/15	J		
283. Dominion RE D	A	Dividend	J	T					
284. Don DON (Y)									
285. Dow Chemical DOW	A	Dividend	J	T					
286. DuPont DD	A	Dividend	J	T					
287. Duke Energy DUK		None			Sold	06/28/15	J	A	
288. EMC Corp EMC		None			Sold	09/15/15	J	A	
289. Enbridge ENB (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Exelon EXC	A	Dividend	J	T	Buy	11/09/15	J		
291. Express Scripts ESRX		None	J	T					
292. Eversource Energy ES (X)	A	Dividend	J	T					
293. Exxon Mobil XOM	A	Dividend	J	T	Buy (add'l)	07/28/15	J		
294.		None			Sold (part)	12/15/15	J		
295. Fifth Third Bk FITB	A	Dividend	J	T					
296. General Elec GE	A	Dividend	J	T					
297. General Mills GIS		None			Sold	01/16/15	J	A	
298. Gilead Science GILD (Y)									
299. Goldman Sachs GRP (X)	A	Dividend	J	T					
300. Home Depot HD	A	Dividend	J	T					
301. Honeywell HON	A	Dividend	J	T					
302. Intel INTC	A	Dividend	J	T					
303. Johnson & Johnson JNJ	A	Dividend	J	T					
304. IBM IBM		None			Sold	12/15/15	J		
305. International Paper IP		None			Sold	12/15/15	J		
306. Johnson Controls JCI		None			Sold	02/12/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. JP Morgan Chase JPM	A	Dividend	J	T					
308. Kimberly Clark KMB (Y)									
309. Kinder Morgan KML (Y)									
310. Kraft Heinz CO	A	Dividend	J	T	Buy	09/15/15	J		
311. Kroger KR	A	Dividend	J	T	Buy	08/25/15	J		
312. LKQ Corporation (X)	A	Dividend	J	T					
313. Lockheed Martin Corp	A	Dividend	J	T	Buy	02/12/15	J		
314. Lorillard LO (Y)									
315. M&T Bank MTB	A	Dividend	J	T					
316. Marathon Oil MRO		None			Sold	12/15/15	J		
317. Marathon Petro MPC	A	Dividend	J	T					
318. McDonalds MCD	A	Dividend	J	T					
319. Mead Johnson MJN	A	Dividend	J	T	Sold (part)	12/15/15	J		
320. Merck MRK	A	Dividend	J	T					
321. MetLife MET	A	Dividend	J	T					
322. Microsoft MSFT	A	Dividend	J	T					
323. Mondelez Intl MDLZ	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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324. Monster Beverage MNST		None			Sold	01/30/15	J	A	
325. Morgan Stanley MS (X)	A	Dividend	J	T					
326. Motorola Solutions MSI	A	Dividend	J	T					
327. National Oil Well Varco NOV		None			Sold	12/15/15	J		
328. Newmont Mines NEM (Y)									
329. Nextera Energy NEE	A	Dividend	J	T					
330. Northeast Utilities NU (Y)									
331. Northrup Grumman NOC	A	Dividend	J	T					
332. Occidental Petro OXY		None			Sold	12/15/15	J		
333. Oracle Corporation ORCL	A	Dividend	J	T	Buy	08/31/15	J		
334. Payroll Holdings		None	J	T	Buy	09/15/15	J		
335. Perrigo Co. PRGO		None	J	T	Buy (add'l)	12/15/15	J		
336. Pfizer PFE	A	Dividend	J	T					
337. Phillip Morris PM	A	Dividend	J	T					
338. Phillips 66 PSX		None			Sold	05/27/15	J	A	
339. Praxair PX	A	Dividend	J	T					
340. Priceline PCLN	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Zagel, James B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. Proctor & Gamble PG	A	Dividend	J	T					
342. Prudential PRU	A	Dividend	J	T					
343. Public Service Ent. PEG	A	Dividend	J	T					
344. Qualcomm QCOM		None			Sold	12/15/15	J		
345. Quest Diagnostics DGX	A	Dividend	J	T	Buy	11/12/15	J		
346. Raytheon RTN	A	Dividend	J	T					
347. Reynolds RAI	A	Dividend	J	T	Buy	09/03/15	J		
348. Schlumberger SLB		None			Sold	12/15/15	J		
349. Sempra Energy SRE		None			Sold	10/27/15	J	A	
350. Stericycle SRCL	A	Dividend	J	T	Sold (part)	02/13/15	J		
351. Suntrust Banks	A	Dividend	J	T					
352. Toronto Dom Bk TD		None			Sold	01/20/15	J	A	
353. Total SA TOT	A	Dividend	J	T					
354. Travelers Companies TRV (X)	A	Dividend	J	T					
355. Tyco INTL TYC (X)	A	Dividend	J	T					
356. US Bancorp USB	A	Dividend	J	T					
357. Unilever UN	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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358. Union Pacific UNP (X)	A	Dividend	J	T					
359. United Health UNH (X)	A	Dividend	J	T					
360. United Parcel UPS	A	Dividend	J	T					
361. United Tech UTX	A	Dividend	J	T					
362. VF Corp VFC		None			Sold	06/24/15	J	A	
363. Varian Medical VAR		None	J	T	Sold (part)	11/03/15	J	A	
364. Verisk Analytics VRSK		None	J	T					
365. Verizon VZ		None			Sold	12/15/15	J		
366. Visa V	A	Dividend	J	T					
367. Wal Mart Stores WMT		None			Sold	04/21/15	J	A	
368. Wells Fargo WFC	A	Dividend	J	T					
369. WeyerHaeuser WY	A	Dividend	J	T					
370. Ishares Russel Value IWD	A	Dividend	J	T	Buy	12/15/15	J		
371. Ishares Russel GRW IWF	A	Dividend	J	T	Buy	12/15/15	J		
372. i Shares Morningstar JKL	A	Interest	J	T					
373. JEA FLA (X)	A	Interest	J	T					
374. Missouri ST (X)	A	Interest	J	T					

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375. Katy Tex (X)	A	Interest	J	T					
376. Vanguard Sm Cap VBK	A	Dividend	J	T					
377. Minn St Genl Oblig	A	Interest			Sold	01/30/15	J	A	
378. NJ Transn TR Fd Auth Rev (Y)									
379. San Antonio Tx Gen Oblig	A	Interest	J	T					
380. Sea Fla Wtr and Sun Rev (Y)									
381. Ohio Wtr Dev Auth Rev	A	Interest	J	T					
382. Texas St Hwy Fd Rev	A	Interest	J	T					
383. Minn St 911 Rev	A	Interest	J	T					
384. Snohomish WA Sch Dist Oblig	A	Interest	J	T					
385. Virginia College Building Auth	A	Interest	J	T					
386. Conn St Genl Oblig Ser E	A	Interest	J	T					
387. Invesco Prem Tx Exempt		None	J	T					
388. Virtus Foreign Opport JUXIX		None	J	T					
389.									
390. Morgan Stanley/Smith Barney - Asset NO. 4 CASH ACCOUNT (H)		None	J	T					
391. Celgene (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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392. Core Labs (CLB) (Y)									
393. Kroger (Y)									
394. Celgene (CELG) (Y)									
395. O'Reilly (Y)									
396. Regeneron (REGN) (Y)									
397. Tortoise Energy	A	Dividend	J	T					
398. Finish Line Inc. FINL		None			Sold	04/24/15	J		
399. Nestle (Y)									
400. NXP Semiconductor		None	K	T					
401. Amazon AMZN		None	K	T	Buy	03/09/15	K		
402. Ebay (Y)									
403. Mobileye MBLY		None	J	T	Buy	06/11/15	J		
404. Michael Kors Holding LTD		None	J	T	Buy	03/09/15	J		
405.									
406.									
407.									
408.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.									
410.									
411.									
412.									
413.									
414.									
415.									
416.									
417.									
418.									
419.									
420.									
421.									
422.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Zagel, James B.

Date of Report

11/01/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I participate in the Federal Thrift Savings Plan, but it is my understanding that this asset need not be listed.

All individual assets held in brokerage accounts are individually disclosed in report.

The repetitive listing of certain investments is because some are in [REDACTED] name. On occasion, an interest in a company may be sold from one of the accounts and retained in another account which allows us to easily reduce an investment while retaining a part of that investment and each of us has multiple accounts. I have not designated which are [REDACTED] assets, but I list them separately because they are individual holdings which might be sold separately at a future time.

There is a high possibility that I list some small number of low value assets that I no longer own (as some of my prior notes explain). My practice is not to delist assets until I have documentation that I no longer own it; it is better to be over-inclusive.

After 7/31/12, [REDACTED] ceased to receive partnership income from Grant Thornton. After she ceased to be partner, she continued some consulting work as an independent contractor (III, B.1). As a partner of Grant Thornton, my spouse served as a director of Fairfax Ltd., an insurer domiciled on the Isle of Guernsey. Grant Thornton reimbursed her expenses. After she ceased partnership, she retained her position as a director of Fairfax Ltd. In this capacity, she received director fees from Fairfax, which also reimbursed her travel and lodging expenses for attendance at the twice yearly meetings in the Isle of Guernsey (III B and IV).

Line 43 is a half interest in FIP Properties which was acquired by [REDACTED] on 5/1/08 from her [REDACTED] as a rental property for the price of \$186,000.

Part VII

The list of securities and investments always includes the name of the account in which the assets are held. The name is included because the account includes cash in addition to securities. The individual securities and investments are listed separately.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James B. Zagel**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544