

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Shamon, Brendan L.	2. Court or Organization U.S. Bankruptcy Court for the District of Delaware	3. Date of Report 01/23/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Bankruptcy Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 824 Market Street Wilmington, DE 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. President	Neighborhood civic association, Welshire Civic Association
2. Member	Delaware Council for Economic Education
3. Member	Judicial conference of the United States, Committee on Administration of the Bankruptcy System
4. Member	National Bankruptcy Conference
5. Adjunct faculty member	Delaware Law School (formerly Widener University School of Law)
6. Adjunct faculty member	St. John's University School of Law

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2016	St. Johns University; salary for teaching graduate classes at law school as adjunct professor	\$7,000.00
2. 2016	Delaware Law School (formerly Widener University School of Law); salary for teaching classes at law school as adjunct professor	\$2,250.00
3. 2016	Matthew Bender, & Company Inc., contract editor	\$1,920.00
4. 2106	American Bankruptcy Law Journal, Business Editor	\$6,000.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Administrative Office of U.S. Courts	9/14/16 - 9/16/16	Orlando, FL	Court survey	food, transportation, lodging
2. American Bankruptcy Institute and Georgetown Law School	9/22/16	Washington, D.C.	Education	food, transportation
3. National Bankruptcy Conference	11/10/16 - 11/11/16	Washington, D.C.	Academic, legislative and policy development	food, transportation, lodging
4. Bankruptcy Committee of Judicial Conference	12/7/16 - 12/9/16	Winter Park, FL	Governance of the third branch of government	food, transportation, lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. M & T Bank	A	Interest	K	T					
2. Vanguard Prime Money Market Fund	A	Int./Div.	L	T					
3.					Sold (part)	02/16/16	J		
4.					Sold (part)	03/11/16	J		
5.					Sold (part)	03/14/16	J		
6.					Sold (part)	04/01/16	J		
7.					Sold (part)	07/26/16	J		
8.					Sold (part)	08/17/16	K		
9.					Sold (part)	10/03/16	J		
10. Vanguard Intermediate Term Tax Exempt Fund, Admiral Shares	D	Int./Div.	N	T					
11.					Buy (add'l)	01/29/16	J		
12.					Buy (add'l)	02/29/16	J		
13.					Buy (add'l)	03/31/16	J		
14.					Buy (add'l)	04/29/16	J		
15.					Buy (add'l)	05/31/16	J		
16.					Buy (add'l)	06/30/16	J		
17.					Buy (add'l)	07/29/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	08/31/16	J		
19.					Buy (add'l)	09/30/16	J		
20.					Buy (add'l)	10/31/16	J		
21.					Buy (add'l)	11/30/16	J		
22.					Buy (add'l)	12/30/16	J		
23. Vanguard Health Care Fund	E	Int./Div.	M	T					
24.					Buy (add'l)	03/16/16	J		
25.					Buy (add'l)	12/23/16	J		
26. Vanguard Total International Stock Index Fund, Admiral Shar	D	Int./Div.	M	T					
27.					Buy (add'l)	06/13/16	J		
28.					Buy (add'l)	09/12/16	J		
29.					Buy (add'l)	12/19/16	J		
30. Vanguard Total Stock Market Index Fund, Admiral Shares	D	Dividend	O	T					
31.					Buy (add'l)	03/14/16	J		
32.					Buy (add'l)	06/13/16	J		
33.					Buy (add'l)	09/12/16	J		
34.					Buy (add'l)	12/19/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Vanguard Prime Money Market Fund, IRA	A	Dividend	K	T					
36. Vanguard Diversified Equity Fund, IRA	E	Dividend	M	T					
37.					Buy (add'l)	12/28/16	K		
38. Vanguard Total International Stock Index Fund, IRA	B	Int./Div.	K	T					
39. Vanguard Total Bond Market Index Fund, IRA	B	Dividend	L	T					
40. Fidelity Contrafund	B	Dividend	L	T					
41.					Buy (add'l)	12/09/16	J		
42. Fidelity Low Priced Stock Fund	B	Dividend	K	T					
43. Fidelity Low Priced Stock Fund, IRA	D	Dividend	M	T					
44.					Buy (add'l)	09/16/16	J		
45.					Buy (add'l)	12/09/16	J		
46. Fidelity 529 Education Account 2018 Fidelity Funds (age based)	C	Dividend	L	T					
47.					Sold (part)	10/19/16	J		
48. Fidelity 529 Education Account 2018 Fidelity Funds(age based)	C	Dividend	L	T					
49. Fidelity 529 Education Account 2021 Fidelity Funds (age based)	C	Dividend	L	T					
50. Rental and vacation property in Monroe County, PA	B	Rent	N	W					
51. Vanguard Prime Money Market	D	Dividend	K	T					

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52.					Buy (add'l)	09/01/16	K		
53.					Sold (part)	10/03/16	J		
54.					Sold (part)	10/21/16	K		
55. Vanguard Diversified Equity, IRA (Traditional)	D	Dividend	L	T					
56.					Buy (add'l)	10/03/16	J		
57.					Buy (add'l)	12/28/16	J		
58. Vanguard Diversified Equity, IRA (Rollover)	D	Dividend	L	T					
59.					Buy (add'l)	12/28/16	J		
60.									
61. Fidelity Contrafund IRA	B	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Brendan L. Shannon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544