AO 10
Rev. 1/2015

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2014

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Dolinger, Michael H.	United States District Court, Southern District of New York	05/15/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time Magistrate Judge	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2014 **to** 12/31/2014

7. Chambers or Office Address

United States Courthouse
Room 1670
500 Pearl Street
New York, New York 10007

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2014	publishing royalties -- South Carolina University
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Dolinger, Michael H.	05/15/2015

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. IRA - Fidelity Capital Appreciation Fund	A	Dividend	K	T					
2. IRA - Fidelity Magellan Fund	A	Dividend	K	T					
3. Arvest securities account (see below)									
4. Royal Dutch Shell stock	C	Dividend			Sold	09/12/14	K	D	
5. DBS Group Holdings stock	B	Dividend	J	T					
6. Akt N Schweizerrische Ruckversicherungs stock	A	Dividend	K	T					
7. Rabobank Euro Med. Terms Notes	C	Interest	J	T					
8. Arvest Privatbank cash	A	Interest	J	T					
9. 3 1/2% Rabobank Nederland NV 2004-06/10/09	A	Interest	K	T					
10. 9 1/4 Kreditanstalt Fuer Weideraufbau 2007-08.05.09	A	Interest	K	T					
11. 5.2% Rabobank Nederland Utrecht 28/8/07	B	Interest	L	T					
12. Rabobank 4% 2009-29.05.13	A	Interest	K	T					
13. Stora Enso stock	A	Dividend			Sold	11/21/14	J	A	
14. Zurich Fin. Services stock	A	Dividend	J	T					
15. Hoya Corp. stock	A	Dividend			Sold	01/06/14	K	A	
16. Mindray Med. Intl stock	A	Dividend			Sold	11/24/14	J	A	
17. Yingli Green Energy Holding Co. stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Dolinger, Michael H.	05/15/2015

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. 5 5/8% Siemens 2006-16.03.16 coupons	B	Interest	K	T					
19. 3% China Green Hldgs) Ltd. 2010-12.04/12.10	A	Interest	J	T					
20. ING 401k (see below) (s)									
21. Oppenheimer Dev. Mkts. Fund	A	Dividend	J	T					
22. Ariel Appreciation Fund	A	Dividend	J	T					
23. Baron Growth Fund	A	Dividend	J	T					
24. ING T Rowe Price Growth Equity Portfolio	D	Dividend	K	T					
25. Fidelity VIP Contrafund Portfolio	A	Dividend	J	T					
26. Growth Fund of America (Capital Group Cos.)	A	Dividend	K	T					
27. ING Van Kampen Comstock Portfolio	D	Dividend	K	T					
28. Washington Mut. Investors FundSM (sponsor American Funds)	A	Dividend	J	T					
29. Pax World Blanaced Fund	A	Dividend	J	T					
30. American Balanced Fund (sponsor T Rowe Price)	A	Dividend	J	T					
31. ING VP Strategic Alloc. Growth Portfolio	A	Dividend	J	T					
32. ING VP Strategic Alloc. Inc. Portfolio	A	Dividend	J	T					
33. Pioneer High Yield Fund	A	Interest	J	T					
34. ING PIMCO Total Return Port.	A	Dividend	K	T					

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. ING Solution 2035 Port.	A	Dividend	K	T					
36. ING Solution Income Port.	A	Dividend	K	T					
37. ING Thornburg Val. Port.	D	Dividend	K	T					
38. ING UBS US Large Cap. Equities	D	Dividend	K	T					
39. ING T Rowe Price Equities Inv.	D	Dividend	K	T					
40. ING Baron Small Cap. Growth	C	Dividend	K	T					
41. ING FMR Diversified Mid, Cap.	C	Dividend	K	T					
42. ING Oppenheimer Global Port.	D	Dividend	K	T					
43. Wachovia (Wells Fargo) Invest. Account (see below)									
44. Chevron Corp. stock	B	Dividend	K	T					
45. Coach Inc. stock	A	Dividend	J	T					
46. Huntington Exploration stock	A	Dividend	J	T					
47. ING Group 7.05% Perp. Pfd	A	Interest	J	T					
48. MetLife 5.875% SR NTS due 11/21/33	A	Interest	J	T					
49. Morgan Stanley 6.25% TR Pfd	A	Interest	J	T					
50. Nuveen NY Sel. Muni. Fund	A	Interest	J	T					
51. Nuveen NY Mun. Value Fund	A	Interest	K	T					

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H1 =$1,000,001 - $5,000,000
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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
52. Wachovia (Wells Fargo) money market	A	Interest	J	T					
53. Highland Floating Rate Fund	A	Interest	K	T					
54. Wachovia (Wells Fargo) IRA Account (see below)									
55. Ameren Corp. stock	A	Dividend	J	T					
56. BP Plc stock	A	Dividend	J	T					
57. Coca Cola Co. stock	A	Dividend	J	T					
58. Walt Disney Co. stock	A	Dividend	J	T					
59. Exxon Mobil Corp. stovk	A	Dividend	K	T					
60. OGE Energy Corp. stovk	B	Dividend	K	T					
61. Piedmont Natural Gas stock	A	Dividend	K	T					
62. CBTCS Goldman Sachs 6% due 2/15/34	A	Interest	J	T					
63. Citigroup Cap. 7.125% Pfd due 7/31/31	B	Interest	K	T					
64. Merrill Lynch Cap. 7.28% Trust V	A	Interest	J	T					
65. Morgan Stanley 6.25% Pfd. due 3/1/33	A	Interest	J	T					
66. Morgan Stanley 7.25% Tr. Pfd due 7/31/06	B	Interest	K	T					
67. Tel & Data Systems SR NOte Ser. A due 12/01/41	A	Interest	J	T					
68. Highland Floating Rate Fund	A	Interest	K	T					

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69. Wachovia (Wells Fargo) IRA Account (s) (see below)									
70. Alliance Bernstein Port. Balanced Wealth Strategy	A	Dividend	K	T					
71. Goldman Sachs Equity Growth Fund	A	Dividend	J	T					
72. Lord Abbett Value Oppenheimer Fund	A	Dividend	J	T					
73. J.P. Morgan Intrepid Am. Fund	A	Dividend	J	T					
74. Additions to Arvest holdings									
75. Fanuc Corp. stock	A	Dividend	J	T					
76. Vale SA pf.	A	Interest	J	T					
77. Nong Shim Co. stock	A	Dividend	J	T					
78. Deutsche Telecom	A	Interest			Sold	11/24/14	K	A	
79. Newcrest Mining	A	Dividend			Sold	11/25/14	J	A	
80. Krones AG	A	Dividend	J	T					
81. Gazprom SP	A	Dividend	K	T					
82. Tesco PLC	A	Dividend	K	T					
83. Akt. N UBS	A	Dividend	K	T					
84. Barrick Gold	A	Dividend	K	T					
85. Akt. N Zurich Insurance Co.	A	Dividend	J	T					

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86. Nestle SA	A	Dividend	K	T					
87. GS Roche Hollding Ltd.	A	Dividend	K	T					
88. SHS Johnson & Johnson	A	Dividend	K	T					
89. Akt. Canon Inc.	A	Dividend	J	T					
90. Tesco PLC	A	Dividend	K	T					
91. additional Wells Fargo secs. acct.									
92. AT&T stock	A	Dividend	J	T					
93. John Deere stock	A	Dividend	J	T					
94. Ford Motor	A	Dividend	J	T					
95. Procter & Gamble	A	Dividend	J	T					
96. additional Wells Fargo IRA									
97. NY Community Bank	A	Dividend	J	T					
98. Pitney Bowes	A	Dividend	J	T					
99. more Arvest									
100. Geox SPA	A	Dividend	J	T					
101. Hoya Corp.	A	Dividend			Sold	01/06/14	K	A	
102. KFW 7.5% Notes (12-3-15)	B	Interest			Sold	10/20/14	K	A	

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103. Banco Santandar	A	Dividend	J	T					
104. Hyundai	A	Dividend			Sold	05/09/14	K	D	
105. ETF HSBC Latin America	A	Dividend	J	T					
106. Deutsche Bahn 3.375%	A	Interest			Sold	07/17/14	K	A	
107. Daimler Int.	A	Dividend			Sold	07/17/14	K	A	
108. Hyundai (see # 105)	A	Dividend			Sold	03/31/14	K	D	
109. I Shares Latin AmericA Index	A	Dividend	J	T					
110. Mitsubishi 2.875% (18-7-18)	A	Interest			Sold	08/27/14	K	A	
111. Vale SA ADR pf.	A	Interest			Sold	11/24/14	J	A	
112. Barrick Gold	A	Dividend	J	T					
113. Alcoa stock	A	Dividend			Sold	09/29/14	K	E	
114. Intel stock	A	Dividend	K	T					
115. ABB Ltd.	A	Dividend	K	T					
116. Valourec Usines	A	Dividend			Sold	11/24/14	K	A	
117. Komatsu Ltd.	A	Dividend	J	T					
118. Mosaic	A	Dividend	J	T					
119. Sanofi Aventis	A	Dividend			Buy	05/15/14	J		

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120. Sanofi Aventis	A	Dividend			Sold	11/24/14	J	A	
121. Mitsubishi Electric stock	A	Dividend			Buy	09/24/14	J		
122. Misubishi Electric stock	A	Dividend			Sold	11/25/14	J	A	
123. Newcrest Mining	A	Dividend			Buy (add'l)	09/12/14	J		
124. Newcrest Mining	A	Dividend			Sold	11/25/14	J	A	
125. UBS stock	A	Dividend			Buy	07/15/14	J		
126. UBS stock	A	Dividend			Sold	12/02/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Dolinger, Michael H.	05/15/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Michael H. Dolinger

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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