

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Robart, James L.	2. Court or Organization U.S. Dist Court, W.D. of WA	3. Date of Report 04/23/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Dist. Judge (senior status)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 700 Stewart Street, 14th Floor Seattle, WA 98101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee Emeritus	Whitman College
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	Paintings sold for cash.
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Texas A&M University School of Law	February 14-17, 2018	Fort Worth, Texas	Implementation of Police Dept. Consent Decrees -- Second Annual Conference	Lodging and Food
2. Law College Association of the University of Arizona	March 4-6, 2018	Tuscon, Arizona	Intellectual Property Conference	Airfare, Lodging, Food, Transportation, and Parking
3. Whitman College	March 8-9, 2018	Walla Walla, Washington	Conduct classes and student discussions on sentencing	Airfare, Lodging, Food, Transportation, and Parking
4. University of Pennsylvania	March 15-17, 2018	Philadelphia, Pennsylvania	Standard Essential Patents Workshop	Airfare, Lodging, Food, Transportation, and Parking
5. University of Southern California	March 19-20, 2019	Los angeles, California	Intellectual Property Institute	Airfare, Lodging, Food, Transportation, and Parking

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6.	New York University School of Law	March 29-31, 2018	New York, New York	Civil Jury Project	Airfare, Lodging, Food, Transportation, and Parking
7.	IP Counsel Cafe	April 24-26, 2018	Palo Alto, California	IP Counsel Cafe Conference	Airfare, Lodging, Food, Transportation, and Parking
8.	Los Angeles IP Law Association	May 3-5, 2018	Ojai, California	Los Angeles IP Law Association Spring Seminar	Airfare, Lodging, Food, Transportation, and Parking
9.	Technical University of Munich	June 20-23, 2018	Munich, Germany	International Patent Law Conference	Airfare, Lodging, Food, Transportation, and Parking
10.	International Association for the Protection of IP	September 23-25, 2018	Cancun, Mexico	2018 AIPPI World Congress - Panel	Airfare, Lodging, Food, Transportation, and Parking
11.	Georgetown University Law Center	October 16-19, 2018	Washington, D.C.	Conducting class on constitutional law	Airfare, Lodging, Food, Transportation, and Parking
12.	European Patent Lawyers Association ("EPLAW")	October 24-29, 2018	Venice, Italy	European Judges' Forum on IP Law	Airfare, Lodging, Food, Transportation, and Parking
13.	The National Judicial College	December 12-14, 2018	Washington, D.C.	National Symposium on constitutional law	Airfare, Lodging, Food, Transportation, and Parking
14.					
15.					
16.					
17.					
18.					
19.					
20.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	J
2.	Bank of America	Credit Card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Key Bank	A	Interest	K	T					
2. Key Bank	A	Interest	J	T					
3. Oak Harbor, WA Island Cnty Rental Property (2018 - \$200,000)	A	Rent	M	S					
4. Bank of Washington Common Stock		None	K	T					
5. BROKERAGE ACCOUNT #1									
6. -- Starbucks Common Stock	E	Dividend	P1	T					
7. -- Schwab Money Fund	C	Interest	M	T					
8. -- MSCI Em. Mkts I-Shares	E	Dividend	O	T					
9. -- MSCI EAFE Index Fund	D	Dividend	N	T	Buy (add'l)	10/15/18	L		
10. -- S&P 600 I-Shares	D	Dividend	O	T	Buy (add'l)	10/15/18	M		
11. -- Vanguard REIT Fund	E	Dividend	O	T					
12. -- S&P 500 IShares	E	Dividend	O	T					
13. -- Boston Priv. Fin. Hldg.	C	Dividend	L	T					
14. Chevron Common Stock	D	Dividend	N	T					
15. BROKERAGE IRA #1									
16. -- MSCI EAFE Index I-Shares	E	Dividend	O	T	Sold (part)	10/15/18	L		
17. -- S&P Small Cap 600 IShares	F	Dividend	P1	T	Sold (part)	01/25/18	O	G	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18.					Sold (part)	10/15/18	M		
19. -- S&P 500 I-Shares	E	Dividend	P1	T	Sold (part)	01/12/18	N	G	
20. -- Schwab Money Fund	C	Interest	N	T	Donated (part)				
21. -- U.S. TIPS	B	Interest	O	T	Matured (part)	04/15/18	M		
22.		None			Buy (add'l)	04/19/18	M		
23. -- Vanguard REIT	E	Dividend	O	T					
24. -- Emrg. Mkt. I-Share	D	Dividend	N	T					
25. -- Bank of America Notes	D	Interest	M	T					
26. -- Pfizer Notes	C	Interest			Matured	03/01/18	M		
27. -- J.P. Morgan Chase	B	Interest	L	T					
28. -- American Express Bank CD	B	Interest	M	T					
29. -- Synchrony Bank CD	C	Interest			Matured	10/18/18	L		
30. -- Amgen Notes	C	Interest	M	T					
31. -- BP Capt. Mks.	C	Interest	M	T					
32. -- General Electric Note	D	Interest	M	T					
33. -- Toyota Auto Receiv.	C	Interest	M	T					
34. --Verizon Comm. Note	C	Interest	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -- Merck Bond	C	Interest	M	T					
36. -- Franklin Resources Note	C	Interest	M	T					
37. -- Bank of China C.D.	C	Interest			Matured	07/10/18	M		
38. -- Morgan Stanley C.D.	C	Interest	M	T	Buy	01/11/18	M		
39. -- Bank Leumi C.D.	C	Interest	M	T	Buy	01/31/18	M		
40. -- Safra Bank C.D.	C	Interest	M	T	Buy	01/31/18	M		
41. -- Grand Savings Bank C.D.	C	Interest	M	T	Buy	01/31/18	M		
42. -- Bank of Hapoalim C.D.	C	Interest	M	T	Buy	02/07/18	M		
43. -- Bank of India C.D.	C	Interest	M	T	Buy	02/14/18	M		
44. -- Bank United C.D.	C	Interest	M	T	Buy	01/31/18	M		
45. -- Comenity Capital Bank C.D.	A	Interest	M	T	Buy	07/16/18	M		
46. -- Royal Bank CDA notes	B	Interest	M	T	Buy	10/15/18	M		
47. BROKERAGE IRA #2									
48. -- Janus Balanced Mut. Fund	B	Dividend	K	T					
49. -- T.R. Price New Asia Mut. Fund	B	Dividend	K	T					
50. -- Schwab Money Fund	A	Interest	J	T					
51. -- MSCI EAFE Index Fund	B	Dividend	L	T					

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52. -- S&P 500 I-Shares	C	Dividend	M	T					
53. -- MSCI Em. Mkts I Share	C	Dividend	L	T	Buy	07/18/18	J		
54. -- S&P Small Cap 600 I Shares	B	Dividend	M	T					
55. -- IBM	A	Dividend	J	T					
56. -- Vanguard REIT	B	Dividend	L	T					
57. Vanguard Intl. Tax Managed Fund	E	Dividend	P1	T					
58. 7MB Tech. Corp.		None	K	T					
59. Builders Capital Part.	E	Dividend	N	T					
60. Boeing Employees Credit Union	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The transactions in Section VII on lines 9 and 16 and on lines 10 and 18 represent transfers from one account to another account as a part of the RMD.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James L. Robart**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544