

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Whinery, Brenda M.	2. Court or Organization U.S. Bankruptcy Court, District of Arizona	3. Date of Report 03/22/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address James A. Walsh U.S. Courthouse 38 S. Scott Ave Tucson, AZ 85701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2002	Mesch, Clark & Rothschild 401(k) Retirement Plan (two assets remain in self-directed account)
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Whinery, Brenda M.

Date of Report

03/22/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bankruptcy Institute	Sept 8-10, 2017	Coronado, CA	ABI Southwest Conference	Transportation expenses, meals
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Whinery, Brenda M.

Date of Report

03/22/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Card Services	Credit Card	J
2.	Bank of America	Credit Card	J
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Whinery, Brenda M.

Date of Report

03/22/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America - Accounts	A	Interest	K	T					
2. Chase Bank - Accounts	A	Interest	L	T					
3. USAA - Account	A	Interest	J	T					
4. Trust #1									
5. -Bank of America - Account	A	Interest	M	T					
6. Wells Fargo Brokerage Account - Trust									
7. -Cash - Wells Fargo	A	Interest	N	T					
8. -Chevron Corp Stock	B	Dividend	K	T					
9. -National Retail PPTYS Stock	B	Dividend	K	T					
10. -General Motors Preferred Stock	B	Dividend			Sold	10/30/17	K	D	
11. -Vanguard Index 500 Trust	B	Dividend	L	T					
12. -Golf Links Green Building LLC	C	Distribution	K	U					
13. -Forbes Warehouse LLC	B	Distribution	K	U					
14. -Fremont Street LLC	C	Distribution	K	U					
15. Wells Fargo IRA									
16. -Cash	A	Interest	M	T					
17. -Walt Disney Co. Stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

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Whinery, Brenda M.

Date of Report

03/22/2018

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18. -General Electric Co. Stock	A	Dividend	J	T					
19. -Home Depot Inc. Stock	A	Dividend	J	T					
20. -Intel Corp Stock	A	Dividend	J	T					
21. -Manpower Group Stock	A	Dividend	K	T					
22. -Pimco FDS Total Return Fund	A	Interest	K	T					
23. -Conoco Phillips Co. Bond	A	Interest			Redeemed	12/15/17	K	A	
24. -Pimco Equity Series Mutual Fund	B	Interest	L	T					
25. -Goldman Sachs Group Notes	B	Interest	L	T					
26. -Goldman Sachs MLP Energy Fund	A	Interest	J	T					
27. -Kinder Morgan Energy Unsecured	B	Interest	L	T					
28. -Wells Fargo 5.5% Preferred	B	Dividend	K	T					
29. Mesch, Clark & Rothschild 401(k)									
30. -Fremont LLC	C	Distribution	K	U					
31. -Wattis Runway Drive	C	Distribution	K	U					
32. Jackson National Life Annuity	A	Interest	K	T					
33. Vanguard Index 500	B	Dividend	L	T					
34. Wells Fargo Brokerage Account									

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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Whinery, Brenda M.

Date of Report

03/22/2018

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35. -Walt Disney Co. Stock	A	Dividend	J	T					
36. -Intel Stock	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Whinery, Brenda M.

Date of Report

03/22/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Whinery, Brenda M.

Date of Report

03/22/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Brenda M. Whinery**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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