

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Zive, Gregg W.	2. Court or Organization U.S. Bankruptcy Court - Nevada	3. Date of Report 07/11/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Courthouse 300 Booth Street, 5th Floor Reno, NV 89509		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee Emeritus	
2.	Advisory Board	
3.	Limited Partner	
4.	Successor Trustee	Trust #1
5.	Trustee	Trust #3

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Bighorns Basketball LLC-scorekeeper	\$275.00
2. 2013	Pacific Coast League-scorekeeper	\$770.00
3. 2013	Reno-Sparks Convention & Visitor's Authority-scorekeeper	\$200.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bankruptcy Institute	January 21, 2013	Las Vegas, NV	Conference	(transportation, meals and room)
2. American Bankruptcy Institute	January 24-25, 2013	Denver, CO	Conference	(transportation, meals and room)
3. American Bankruptcy Institute	February 20-21, 2013	Las Vegas, NV	Conference	(transportation, meals and room)
4. State Bar of Arizona	March 7-8, 2013	Tuscon, AZ	Conference	(transportation, meals and room)
5. American Bankruptcy Institute	March 22, 2013	Los Angeles, CA	Conference	(transportation, meals and room)

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6.	National Conference of Bankruptcy Judges	April 8-9, 2013	Phoenix, AZ	Conference	(transportation, meals and room)
7.	American Bankruptcy Institute	April 18-22, 2013	Washington, D.C.	Conference	(transportation, meals and room)
8.	Bankruptcy Bar Association of S.D. of Florida	May 9-12, 2013	West Palm Beach, FL	Conference	(transportation, meals and room)
9.	American Bankruptcy Institute	May 21-24, 2013	Dallas, TX	Workshop	(transportation, meals and room)
10.	Turnaround Management Association	July 17-19, 2013	Laguna Beach, CA	Conference	(transportation, meals and room)
11.	American Bankruptcy Institute	August 22-24, 2013	Lake Tahoe, NV	Conference	(transportation, meals and room)
12.	National Conference of Bankruptcy Judges	September 17-19, 2013	Washington, D.C.	Reception	(transportation, meals and room)
13.	National Conference of Bankruptcy Judges	October 28-November 1, 2013	Atlanta, GA	Conference	(transportation, meals and room)
14.	American Bankruptcy Institute	November 1, 2013	Atlanta, GA	Conference	(transportation, meals and room)
15.	American Bankruptcy Institute	December 5-7, 2013	Los Angeles, CA	Conference	(transportation, meals and room)

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. [REDACTED]	A	Interest	K	R					See comments (Part VIII)
2. Bank of America, Reno, NV	A	Interest	K	T					
3. Bank of America, Reno, NV		None	J	T					
4. City National Bank Money Market, Reno NV	A	Interest	M	T					
5. [REDACTED], Profit Sharing Plan		None	M	T					
6. Wells Fargo Advisors Rollover IRA	D	Dividend	M	T					
7. - Investment Co. of America									
8. - Wells Fargo Bank Deposit									
9. - Mutual Ser FD Inc Discovery Fund									
10. Wells Fargo Advisors IRA	E	Dividend	N	T					
11. -Wells Fargo Bank Deposit									
12. - Lehman Bros. Holding									
13. - Chevron Corporation									
14. - Franklin Real Estate Secs Tr									
15. - Europacific Growth Fund									
16. - Growth Fund America Inc (Class A)									
17. - Growth Fund America Inc (Class C)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Mutual Ser FD Inc Discovery FD (Class C)									
19. - RCM Global Technology Fund (Class C)									
20. - Alger Health Sciences Fund (Class C)									
21. Wells Fargo Advantage Muni Money Market		None	J	T					
22. Boeing Company	A	Dividend	J	T					
23. Coca-Cola	A	Dividend	K	T					
24. Eaton Vance Municipals Trust EV Classic Fund	B	Int./Div.			Sold	12/16/13	L		
25. Eaton Vance Insured Muni Bond Fund	A	Interest			Sold	12/16/13	J		
26. Blackrock FDS US Opportunities Port (Class C)	D	Dividend	K	T					
27. Alger Cap Appreciation Fund Class C	B	Dividend	K	T					
28. Eaton Vance Natl Ltd Maty Income FD Class C	A	Interest	L	T	Buy	12/16/13	L		
29. Wells Fargo Bank Deposit (X)		None	J	T	Buy (add'l)	12/19/13	J		
30. Harbor Fd Intl Fd Inv CL	A	Dividend	J	T					
31. Buffalo Small Cap Fd	A	Dividend	J	T					
32. Hotchkis & Wiley Fds Diversified Value Fund	A	Dividend	J	T					
33. MFS Ser Tr Value Fund Class W	A	Dividend	J	T					
34. Pimco Fds Mgmt Ser Real Return Strat Fd	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. Rainier Funds Md Cap Equity Portfolio	A	Dividend	J	T					
36. Touchstone Instl Fds Tr Sands Cap Instl Growth	A	Dividend	J	T					
37. WT Mut Fd Crm Mid Cap Value Fd Instl	A	Dividend	J	T					
38. Janus Invt FD Flexible BD FD Class I	A	Dividend	J	T					
39. Lazard Developing Markets Equity CL	A	Dividend	J	T					
40. Oppenheimer Intl Growth FD Class Y	A	Dividend	J	T					
41. Pimco FDS Pac Invt Mgmt Series Total Return	A	Dividend	J	T					
42. Pioneer Fund Class Y	A	Dividend	J	T					
43. Stratton FDS Inc Small Co Oppty CL I	A	Dividend	J	T					
44. Victory Portfolios Small Co Oppty CL I	A	Dividend	J	T					
45. Wells Fargo FDS TR Advantage FD CL I	A	Dividend	J	T					
46. Thornburg Intl Value FD	A	Dividend	J	T					
47. William Blair FDS Small Cap Growth Fd CL (X)	A	Dividend	J	T					
48. Bond Fund of America	A	Dividend	K	T					
49. Trust #1	E	Dividend							See Comments (Part VIII)
50. - Nevada State Bank					Closed	09/15/13	J		
51. - Notes Receivable (Matthew & Beverly Bayan)					Matured	07/25/13	J		

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52. Trust #3	D	Int./Div.	N						See Comments (Part VIII)
53. -City National Bank Money Mkt, Reno NV				T					
54. -Mutual of Omaha Bk CD					Matured	09/17/13	M		
55. - Brokerage #1				T					
56. -Money Market Fund-Stifel Nicolaus									
57. - Franklin Cust FDS Income US Govt Ser Class A									
58. - Franklin Income Fund CL A									
59. - Nuveen All American Muni Bond FD CL A									
60. - Eaton Vance Insured Municipal Bond Fd									
61. - Pimco Muni Income Fd III									
62. - Federated Strat Value Dividend CL A					Buy	09/23/13	K		
63. - AIM Invesco Equity and Income CL A					Buy	09/23/13	K		
64. - Mutual of Omaha Bank, Reno NV					Closed	09/23/13	J		
65. Nevada State Bank, Reno, NV Money Market	A	Interest	M	T					
66. Nevada State Bank, Reno, NV Time Investment CD	A	Interest			Matured	08/16/13	L		
67. RBC Dain Rauscher IRA	C	Int./Div.	M	T					See Comments (Part VIII)
68.	D	Distribution							

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69. -Tamarack Invt FDS Prime Money Market									
70. -Invesco Select Real Estate Income Fund									
71. - Growth Fund of America									
72. - Franklin Templeton Funds Mutual Beacon					Sold	12/17/13	J		
73. - Henderson Global Intl Opps									
74. - Franklin US Gov Sec Series					Sold (part)	11/05/13	J	A	
75. - US Treasury Bonds Moody AAA									
76. - Franklin Founding FD Alloc A									
77. - Columbia FDS Ser TR II Mass Seligman Comm & Info CL C									
78. - Ivy FDS Inc Small Cap Growth FD CL C					Buy	12/17/13	J		
79. [REDACTED]		None	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII Line 1. 6.25 % limited partnership interest; apartment complex. Original purchase price of \$50,000 in April 1994.

VII. Line 49. Became a successor trustee for this trust in 2006. Beneficial interest in this trust is 25%. Column C and D for trust assets are reported at 100%, and income reported in column B is reported at the beneficial interest of 25%. Note that this Trust was terminated in September of 2013 and all income was distributed out to the beneficiaries.

VII. Line 52. This trust was created February 12, 2007. 100% beneficial interest in this trust.

VII. Line 67. Beneficiary of this decedent IRA from which Required Minimum Distributions (RMD) are required to be taken annually.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gregg W. Zive

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544