AO 10*
Rev. 1/2017

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2017

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
ADLER, JAN M.	US DISTRICT COURT, SDCA	04/18/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US MAGISTRATE JUDGE FULL-TIME	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2017 **to** 12/31/2017
7. Chambers or Office Address		
UNITED STATES DISTRICT COURT 940 FRONT STREET, ROOM 2140 SAN DIEGO, CA 92101-8928		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	MEMBER	EQUITY PARTNERS SPECIAL PURPOSE LLC
2.	CO-TRUSTEE	TRUST #1
3.	9TH CIRCUIT DIRECTOR	FEDERAL MAGISTRATE JUDGES ASSOCIATION
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2017	FEE INCOME FROM FORMER LAW FIRM TO BE COLLECTED FOR WORK PERFORMED BEFORE LEAVING THE FIRM, APPROXIMATELY $35,000 PER YEAR THROUGH 2025.
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2017	EQUITY PARTNERS SPECIAL PURPOSE LLC- MEMBER INCOME	$34,118.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2017	Nuskin; redeemed value of ADR points
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	FEDERAL MAGISTRATE JUDGES ASSOCIATION	3/20/2017-3/22/2017	WASHINGTON, D.C.	BOARD MEETINGS	TRANSPORATION, HOTEL AND FOOD
2.	DUKE LAW PROGRAM BENCH-BAR EXPERIENCES W/ THE 2015 DISCOVERY PROPORTIONALITY AMENDMENT	12/1/17-12/1/17	SAN FRANCISCO CA	PROGRAM PARTICIPANT	TRANSPORTATION, HOTEL AND FOOD
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
ADLER, JAN M.	04/18/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. TRUST #1									
2. AMERIPRISE BROKERAGE ACCOUNT - CASH EQUIVALENTS		None			Sold (part)	02/07/17	J		ACCOUNT CLOSED
3.					Sold (part)	03/14/17	K		TRASNFERRED TO ONE ACCOUNT
4.					Sold (part)	05/15/17	K		
5.					Sold (part)	06/15/17	K		
6.					Sold (part)	07/20/17	J		
7.					Sold	10/06/17	K		
8. - AMCAP CL A	B	Dividend			Sold	11/29/17	M		
9. - AMERICAN BALANCED CL A	B	Dividend			Sold (part)	10/02/17	K	D	
10.					Sold	11/29/17	K		
11. - GROWTH FUND OF AMERICA CL A		None			Sold (part)	04/19/17	K	D	
12.					Sold	06/09/17	K	D	
13. - NEW PERSPECTIVE CL A		None			Sold	11/29/17	L		TRANSFERRED TO ONE ACCOUNT
14. - NEW WORLD CL A		None			Sold (part)	08/31/17	K	D	
15.					Sold	11/29/17	K		
16. - WASHINGTON MUTUAL INVESTORS CL A	A	Dividend			Sold (part)	03/09/17	K	D	
17.					Sold	04/19/17	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. AMERIPRISE BROKERAGE ACCOUNT IRA #1 - MM - CASH EQUIVALENTS		None	J	T	Sold (part)	05/10/17	J		
19.					Sold (part)	05/24/17	J		
20. - CNL LIFESTYLE PROPERTIES INC REIT N/K/A EPR PROPERTIES BEN INT	B	Dividend			Sold	05/10/17	J		
21. - HIGHLANDS REIT		None	J	T					
22. - INVESTRUST PROPERTIES	A	Dividend	K	T					
23. AMERIPRISE BROKERAGE ACCOUNT IRA #2 - MM - CASH EQUIVALENTS		None	J	T					
24. - AMERICAN CAPITAL INCOME BUILDER - A	B	Dividend	K	T					
25. - AMERICAN CAPITAL WORLD GROWTH & INCOME	B	Dividend	K	T					
26. AMERIPRISE ONE FINANCIAL ACCOUNT - MM - CASH EQUIVALENTS		None	K	T	Buy (add'l)	01/18/17	J		
27.					Buy (add'l)	02/07/17	K		
28.					Buy (add'l)	03/09/17	J		
29.					Buy (add'l)	03/14/17	K		
30.					Buy (add'l)	04/13/17	K		
31.					Buy (add'l)	04/18/17	J		
32.					Buy (add'l)	05/15/17	K		
33.					Buy (add'l)	06/09/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
34.					Buy (add'l)	06/13/17	J		
35.					Buy (add'l)	06/15/17	K		
36.					Buy (add'l)	07/18/17	J		
37.					Buy (add'l)	07/20/17	K		
38.					Buy (add'l)	09/06/17	K		
39.					Buy (add'l)	10/06/17	L		
40.					Buy (add'l)	10/18/17	J		
41. AMCAP CL A	C	Dividend	M	T	Buy	11/29/17	M		
42. AMERICAN FUNDS AMERICAN BALANCED CL A	B	Dividend	K	T	Buy	11/29/17	K		
43. NEW PERSPECTIVE CL A	C	Dividend	L	T	Buy	11/29/17	L		
44. NEW WORLD CL A	A	Dividend	K	T	Buy	11/29/17	K		
45. - CNL HEALTHCARE TR INC	B	Dividend	L	T					
46. STRATEGIC PORTFOLIO SERVICE ADVANTAGE #1 - CASH EQUIVALENTS		None	J	T	Sold (part)	02/07/17	J		
47.					Sold (part)	03/09/17	J		
48.					Buy (add'l)	03/14/17	J		
49.					Sold (part)	04/13/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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50.					Sold (part)	06/09/17	J		
51.					Sold (part)	06/13/17	J		
52.					Sold (part)	07/20/17	K		
53.					Sold (part)	10/06/17	K		
54. - BARON SMALL CAP FUND	D	Dividend	L	T					
55. - COLUMBIA DIVIDEND OPPORTUNITY CL A	A	Dividend			Sold (part)	06/09/17	K	C	
56.					Sold	07/13/17	K	D	
57. - FRANKLIN MANAGED TR RISING DIVIDEND FUND CL A	B	Dividend	L	T					
58. - GABELLI ASSET AAA N/K/A GABELLI ASSET CL SHR CLASS EX	D	Dividend	L	T					
59. - CLEARBRIDGE MIDCAP CORE A	C	Dividend	L	T					
60. - VIRTUS REAL ESTATE SECURITIES	C	Dividend	K	T					
61. - iSHARES CORE US AGGREGATE BOND	B	Dividend	M	T	Buy	07/13/17	M		
62.					Sold (part)	10/03/17	K	A	
63. - iSHARES TIPS		None			Sold	03/15/17	K		
64. - iSHARES iBOXX INVESTMENT GRADE CORP BOND ETF	A	Dividend			Sold	03/05/17	L		
65. - iSHARES SHORT TERM BOND ETF	A	Dividend			Sold	03/15/17	K		
66. - iSHARES CALIFORNIA MUNI BOND ETF	A	Dividend			Sold	03/15/17	L		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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67. - POWERSHARES EMERGING MARKETS SOVEREIGN DEBT ETF	A	Dividend	K	T	Sold (part)	03/15/17	J		
68.					Sold (part)	04/10/17	J		
69.					Buy (add'l)	07/13/17	K		
70.					Sold (part)	10/03/17	J		
71. SPDR BLOOMBERG BARCLAYS SHORT TERM HIGHYIELD BOND ETF	A	Dividend	K	T	Buy	04/10/17	K		
72.					Buy (add'l)	07/13/17	J		
73.					Sold (part)	10/03/17	J		
74. - VANECK VECTORS SHORT HIGH YIELD MUN INDEX ETF	A	Dividend			Buy (add'l)	03/15/17	K		
75.					Sold	04/10/17	K		
76. - VANGUARD TOTAL BOND MARKET ETF	B	Dividend			Buy	03/15/17	M		
77.					Buy (add'l)	04/10/17	J		
78.					Sold	07/13/17	M	C	
79. - VANGUARD SHORT TERM CORP BOND ETF	A	Dividend	K	T	Sold (part)	03/15/17	J		
80.					Buy (add'l)	04/10/17	J		
81.					Buy (add'l)	07/13/17	J		
82.					Sold (part)	10/03/17	J		
83. - S&P 500 ETF TRUST (SPDR) UNIT SER 1	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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84. - VANGUARD TOTAL STOCK MARKET	A	Dividend	K	T					
85. AMERIPRISE ACTIVE PORTFOLIO IRA - CASH EQUIVALENTS		None	J	T	Buy (add'l)	05/10/17	J		
86.					Buy (add'l)	05/24/17	J		
87. - EPR PROPERTIES BEN INT		None			Buy	05/10/17	J		
88.					Sold	05/11/17	J		
89. - iSHARES CORE S&P 500 ETF	C	Dividend	N	T	Buy (add'l)	05/12/17	J		
90.					Buy (add'l)	08/11/17	J		
91.					Sold (part)	10/12/17	J	A	
92.					Sold (part)	11/13/17	J	B	
93.					Sold (part)	12/05/17	J	A	
94.					Sold (part)	12/18/17	J	B	
95. - iSHARES CORE US AGGREGATE BOND ETF	B	Dividend	M	T	Buy (add'l)	05/12/17	J		
96.					Buy (add'l)	08/11/17	J		
97.					Buy (add'l)	10/12/17	J		
98.					Buy (add'l)	11/13/17	K		
99.					Buy (add'l)	12/18/17	J		
100. - iSHARES 20+YR TREASURY BOND ETF	A	Dividend			Buy (add'l)	08/11/17	J		

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
ADLER, JAN M.	04/18/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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101.					Sold	11/13/17	K	A	
102. - iSHARES US TELECOMMUNICATIONS ETF	A	Dividend			Sold (part)	01/18/17	J	A	
103.					Buy (add'l)	01/30/17	J		
104.					Sold (part)	02/24/17	J		
105.					Buy (add'l)	03/02/17	J		
106.					Sold (part)	03/21/17	J		
107.					Sold (part)	04/10/17	J		
108.					Buy (add'l)	05/01/17	J		
109.					Buy (add'l)	05/12/17	J		
110.					Buy (add'l)	06/12/17	J		
111.					Buy (add'l)	06/28/17	K		
112.					Sold (part)	08/01/17	J		
113.					Sold (part)	08/11/17	J		
114.					Sold (part)	09/21/17	J		
115.					Sold	11/02/17	K		
116. - iSHARES TECHNOLOGY ETF		None			Sold (part)	01/18/17	J	A	
117.					Sold	01/30/17	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
118. - SPDR BLOOMBERG BARCLAYS HIGH YIELD BOND ETF	B	Dividend	K	T	Buy (add'l)	05/12/17	J		
119.					Buy (add'l)	08/11/17	J		
120.					Buy (add'l)	12/05/17	J		
121.					Buy (add'l)	12/18/17	J		
122. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR ETF		None	K	T	Buy	09/21/17	K		
123.					Buy (add'l)	09/26/17	K		
124.					Sold (part)	10/12/17	J		
125.					Buy (add'l)	11/02/17	J		
126.					Sold (part)	11/20/17	J	A	
127.					Buy (add'l)	12/05/17	J		
128.					Buy (add'l)	12/13/17	J		
129.					Sold (part)	12/08/17	J	A	
130.					Sold (part)	12/20/17	J	A	
131. - SECTOR MATERIALS SELECT SECTOR SPDR ETF	A	Dividend	K	T	Buy	04/10/17	K		
132.					Buy (add'l)	05/01/17	J		
133.					Buy (add'l)	05/12/17	J		
134.					Sold	06/12/17	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
135.					Buy	08/01/17	K		
136.					Sold (part)	09/15/17	K	A	
137.					Sold	09/21/17	J	A	
138.					Buy	10/12/17	K		
139.					Buy (add'l)	11/02/17	J		
140.					Sold (part)	11/20/17	J		
141.					Buy (add'l)	12/05/17	J		
142.					Sold (part)	12/08/17	J	A	
143.					Buy (add'l)	12/13/17	J		
144.					Sold (part)	12/20/17	J	A	
145. - SECTOR HEALTHCARE SELECT SECTOR SPDR ETF	A	Dividend	K	T	Sold (part)	01/18/17	J	A	
146.					Buy (add'l)	01/30/17	J		
147.					Sold (part)	02/24/17	J	A	
148.					Buy (add'l)	03/02/17	J		
149.					Sold (part)	03/21/17	J		
150.					Sold (part)	04/10/17	J	A	
151.					Buy (add'l)	05/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
152.					Buy (add'l)	05/12/17	J		
153.					Buy (add'l)	06/12/17	J		
154.					Sold	06/28/17	L	D	
155.					Buy	12/08/17	K		
156.					Buy (add'l)	12/13/17	J		
157.					Sold (part)	12/20/17	J		
158. - SECTOR CONSUMER DISCRETIONARY SELECT SECTOR SPDR ETF	A	Dividend			Buy	02/24/17	K		
159.					Buy (add'l)	03/02/17	J		
160.					Sold (part)	03/21/17	J		
161.					Sold (part)	04/10/17	J	A	
162.					Sold	05/01/17	K	B	
163.					Buy	09/21/17	K		
164.					Buy (add'l)	09/26/17	K		
165.					Sold (part)	10/12/17	J	A	
166.					Buy (add'l)	11/02/17	J		
167.					Sold (part)	11/20/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
ADLER, JAN M.	04/18/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
168.					Sold	12/05/17	K	C	
169. - SECTOR FINANCIAL SELECT SECTOR SPDR ETF	A	Dividend			Buy	01/18/17	K		
170.					Buy (add'l)	01/30/17	K		
171.					Sold (part)	02/24/17	J	A	
172.					Sold (part)	03/02/17	K	C	
173.					Buy (add'l)	03/21/17	K		
174.					Buy (add'l)	05/01/17	J		
175.					Buy (add'l)	05/12/17	J		
176.					Buy (add'l)	06/12/17	J		
177.					Buy (add'l)	06/28/17	K		
178.					Sold (part)	08/01/17	J	A	
179.					Buy (add'l)	09/15/17	J		
180.					Sold (part)	09/21/17	K	A	
181.					Sold (part)	09/26/17	L	C	
182.					Sold (part)	09/29/17	J	A	
183.					Buy (add'l)	11/20/17	K		
184.					Buy (add'l)	12/05/17	J		

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
ADLER, JAN M.	04/18/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
185.					Sold (part)	12/08/17	J		
186.					Sold	12/13/17	K	C	
187. - SELECT SECTOR TR REAL ESTATE SPDR ETF	A	Dividend	K	T	Sold (part)	01/18/17	J		
188.					Buy (add'l)	01/20/17	J		
189.					Sold (part)	02/24/17	J	A	
190.					Buy (add'l)	03/02/17	J		
191.					Sold (part)	03/21/17	J		
192.					Sold (part)	04/10/17	J	A	
193.					Buy (add'l)	05/01/17	J		
194.					Buy (add'l)	05/12/17	J		
195.					Buy (add'l)	06/12/17	J		
196.					Buy (add'l)	06/28/17	K		
197.					Sold (part)	08/01/17	J	A	
198.					Buy (add'l)	08/11/17	J		
199.					Buy (add'l)	09/15/17	J		
200.					Sold (part)	09/21/17	K		
201.					Buy (add'l)	09/26/17	J		

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
202.					Sold (part)	10/12/17	J	A	
203.					Buy (add'l)	11/02/17	J		
204.					Sold (part)	11/20/17	J	A	
205.					Buy (add'l)	12/05/17	J		
206.					Sold (part)	12/08/17	J	A	
207.					Buy (add'l)	12/13/17	J		
208.					Sold (part)	12/20/17	J		
209. - SELECT UTILITIES SELECT SECTOR SPDR ETF		None	K	T	Buy	12/20/17	K		
210. AMERPRISE STRATEGIC PORTFOLIO SERVICE ADVISOR IRA #1 - CASH EQUIVALENT		None	J	T					
211. - ABBVIE INC	A	Dividend			Buy (add'l)	01/04/17	J		
212.					Sold (part)	04/03/17	J		
213.					Sold (part)	07/03/17	J	A	
214.					Sold	08/10/17	J	A	
215. - ALTRIA GROUP INC	A	Dividend			Sold (part)	01/04/17	J		
216.					Sold (part)	04/03/17	J	A	
217.					Sold (part)	07/03/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
218.					Sold	08/10/17	J		
219. - ALPHABET INC CL A		None	J	T	Buy	08/10/17	J		
220. - AMGEN INC	A	Dividend	J	T	Buy	04/03/17	J		
221.					Sold (part)	07/13/17	J	A	
222.					Buy (add'l)	08/10/17	J		
223.					Sold (part)	10/27/17	J	A	
224. - ARCHER DANIELS MIDLAND	A	Dividend			Buy	01/04/17	J		
225.					Buy (add'l)	04/03/17	J		
226.					Buy (add'l)	07/03/17	J		
227.					Sold	08/10/17	J		
228. - BANK AMERICA CORP	A	Dividend	J	T	Buy	08/10/17	J		
229.					Sold (part)	10/02/17	J	A	
230. - BCE INC NEW	A	Dividend			Buy (add'l)	01/04/17	J		
231.					Buy (add'l)	04/03/17	J		
232.					Sold (part)	07/03/17	J		
233.					Sold	08/10/17	J		
234. - CARNIVAL CORP PAIRED CTF	A	Dividend			Buy	01/04/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
235.					Sold (part)	04/03/17	J	A	
236.					Sold (part)	07/03/17	J	A	
237.					Sold	08/10/17	J	B	
238. - CISCO SYSTEMS INC	A	Dividend	J	T	Buy (add'l)	01/04/17	J		
239.					Sold (part)	04/03/17	J	A	
240.					Buy (add'l)	07/03/17	J		
241.					Buy (add'l)	08/10/17	J		
242.					Sold (part)	10/02/17	J	A	
243. - CLOROX COMPANY	A	Dividend	J	T	Buy (add'l)	01/04/17	J		
244.					Sold (part)	04/03/17	J		
245.					Buy (add'l)	07/03/17	J		
246.					Buy (add'l)	08/10/17	J		
247.					Buy (add'l)	10/02/17	J		
248. - CRACKER BARREL OLD COUNTRY STORE INC	A	Dividend			Sold (part)	01/04/17	J	A	
249.					Buy (add'l)	04/03/17	J		
250.					Sold (part)	07/03/17	J	A	
251.					Sold	08/10/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
ADLER, JAN M.	04/18/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
252. - DOMINION RESOURCES INC VA NEW	A	Dividend			Buy (add'l)	07/03/17	J		
253.					Sold	08/10/17	J	A	
254. - DOW CHEMICAL N/K/A DOWDUPONT INC	A	Dividend	J	T	Buy	01/04/17	J		
255.					Sold (part)	04/03/17	J	A	
256.					Sold (part)	07/03/17	J	A	
257.					Buy (add'l)	08/10/17	J		
258.					Sold (part)	10/02/17	J	A	
259. - ENTERPRISE PRODUCTS PARTNERS LTC PARTNERSHIP	A	Distribution	J	T	Buy	08/10/17	J		
260.					Buy (add'l)	10/02/17	J		
261. - GENERAL ELECTRIC	A	Dividend			Sold (part)	01/04/17	J		
262.					Buy (add'l)	04/03/17	J		
263.					Sold	07/03/17	J		
264. - GLAXOSMITHKLINE PLC SPONSORED ADR	A	Dividend			Buy (add'l)	01/04/17	J		
265.					Sold	04/03/17	J		
266. - HASBRO INC	A	Dividend	J	T	Buy	08/10/17	J		
267.					Buy (add'l)	10/02/17	J		
268. - HOME DEPOT	A	Dividend	J	T	Buy	07/03/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
ADLER, JAN M.	04/18/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
269.					Buy (add'l)	08/10/17	J		
270. - HONEYWELL INTL INC	A	Dividend	J	T	Buy	08/10/17	J		
271.					Buy (add'l)	10/02/17	J		
272. - INTEL CORP	A	Dividend	J	T	Buy	10/02/17	J		
273. - INTL BUSINESS MACHINES CORP	A	Dividend			Buy	04/03/17	J		
274.					Buy (add'l)	07/03/17	J		
275.					Sold	08/10/17	J		
276. - JPMORGAN CHASE & COMPANY	A	Dividend	J	T	Buy	07/03/17	J		
277.					Buy (add'l)	08/10/17	J		
278.					Buy (add'l)	10/02/17	J		
279. - JOHNSON & JOHNSON	A	Dividend			Buy (add'l)	01/04/17	J		
280.					Sold (part)	04/03/17	J	A	
281.					Sold (part)	07/03/17	J	A	
282.					Sold	08/10/17	J	A	
283. - KIMBERLY CLARK CORP	A	Dividend			Sold	01/04/17	J		
284. - LOCKHEED MARTIN CORP	A	Dividend			Sold (part)	01/04/17	J		
285.					Sold (part)	04/03/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
286.					Sold (part)	07/03/17	J	A	
287.					Sold	08/10/17	J	B	
288. - MAXIM INTEGRATED PRODUCTS INC	A	Dividend	J	T	Buy (add'l)	01/04/17	J		
289.					Sold (part)	04/03/17	J	A	
290.					Buy (add'l)	07/05/17	J		
291.					Buy (add'l)	08/10/17	J		
292.					Sold (part)	10/02/17	J	A	
293. - MERCK & COMPANY INC NEW	A	Dividend	J	T	Buy (add'l)	01/04/17	J		
294.					Sold (part)	04/03/17	J	A	
295.					Sold (part)	07/03/17	J	A	
296.					Buy (add'l)	08/10/17	J		
297.					Buy (add'l)	10/02/17	J		
298. - METLIFE INC	A	Dividend			Sold (part)	01/04/17	J	A	
299.					Buy (add'l)	04/03/17	J		
300.					Sold (part)	07/03/17	J	A	
301.					Sold (part)	08/10/17	J	B	
302.					Sold	08/16/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
303. - MICROSOFT CORP	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
304.					Sold (part)	04/03/17	J	A	
305.					Sold (part)	07/03/17	J	A	
306.					Buy (add'l)	08/10/17	J		
307.					Buy (add'l)	10/02/17	J		
308. - NATIONAL GRID PLC SPONSORED ADR NEW		None			Sold	01/04/17	J		
309. - NUCOR CORP	A	Dividend			Sold	01/04/17	J	A	
310. - OLLIES BARGAIN OUTLET HOLDINGS INC		None	J	T	Buy	08/10/17	J		
311.					Sold (part)	10/02/17	J	A	
312. - PAYCHEX INC	A	Dividend			Sold (part)	01/04/17	J		
313.					Buy (add'l)	04/03/17	J		
314.					Buy (add'l)	07/05/17	J		
315.					Sold	08/10/17	J		
316. - RAYMOND JAMES FINANCIAL INC	A	Dividend	J	T	Buy	08/10/17	J		
317.					Buy (add'l)	10/02/17	J		
318. - REALTY INCOME CORP	A	Dividend			Buy (add'l)	01/04/17	J		
319.					Sold (part)	04/03/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
ADLER, JAN M.	04/18/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
320.					Buy (add'l)	07/05/17	J		
321.					Sold	08/10/17	J		
322. - SIMON PROPERTY GROUP INC NEW	A	Dividend			Buy (add'l)	01/04/17	J		
323.					Buy (add'l)	04/03/17	J		
324.					Buy (add'l)	07/05/17	J		
325.					Sold	08/10/17	J		
326. - SOUTHERN COMPANY	A	Dividend			Buy (add'l)	01/04/17	J		
327.					Buy (add'l)	04/03/17	J		
328.					Buy (add'l)	07/05/17	J		
329.					Sold	08/10/17	J		
330. - TARGET CORP	A	Dividend			Sold (part)	01/04/17	J	A	
331.					Sold	04/03/17	J		
332. - UNITED BANKSHARES INC WV	A	Dividend			Sold (part)	01/04/17	J	A	
333.					Buy (add'l)	04/02/17	J		
334.					Sold	07/03/17	J	A	
335. - UNITED HEALTH GROUP INC	A	Dividend	J	T	Buy	08/10/17	J		
336.					Buy (add'l)	10/02/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
ADLER, JAN M.	04/18/2018

VII. INVESTMENTS and TRUSTS -- *Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
337. - VANGUARD MID CAP ETF	A	Dividend	J	T	Buy	08/10/17	J		
338.					Buy (add'l)	10/02/17	J		
339. - VANGUARD SMALL CAP ETF	A	Dividend	J	T	Buy	08/10/17	J		
340.					Sold (part)	10/02/17	J	A	
341. - VERIZON COMMUNICATIONS INC	A	Dividend			Sold (part)	01/04/17	J		
342.					Buy (add'l)	04/13/17	J		
343.					Buy (add'l)	07/05/17	J		
344.					Sold	08/10/17	J		
345. - VISA INC	A	Dividend			Buy	08/10/17	J		
346.					Sold	10/02/17	J	A	
347. - XYLEM INC	A	Dividend	J	T	Buy	08/10/17	J		
348.					Sold (part)	10/02/17	J	A	
349. AMERIPRISE STRATEGIC PORTFOLIO SERVICE ADVISOR IRA #2-CASH EQUIVALENTS		None	J	T					
350. - AETNA INC NEW	A	Dividend	J	T	Buy	02/06/17	J		
351.					Sold (part)	04/03/17	J	A	
352.					Sold (part)	07/03/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
ADLER, JAN M.	04/18/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
353.					Sold (part)	10/02/17	J	A	
354. - ALPHABET INC CL A		None	J	T	Buy	02/06/17	J		
355.					Sold (part)	04/03/17	J	A	
356.					Sold (part)	07/03/17	J	A	
357. - AMGEN INC	A	Dividend			Buy	02/06/17	J		
358.					Buy (add'l)	04/03/17	J		
359.					Sold	07/03/17	K	A	
360. - CENTENE CORP DEL		None	J	T	Buy	07/03/17	J		
361.					Sold (part)	10/02/17	J	A	
362. - CHINA MOBILE LIMITED SPONSORED ADRS	B	Dividend	K	T	Buy	02/05/17	J		
363.					Buy (add'l)	04/03/17	J		
364.					Buy (add'l)	07/03/17	J		
365.					Buy (add'l)	10/02/17	J		
366. - CHUBB LTD	A	Dividend	J	T	Buy	02/06/17	J		
367.					Sold (part)	07/03/17	J	A	
368.					Buy (add'l)	10/02/17	J		
369. - CISCO SYSTEMS INC	B	Dividend	K	T	Buy	02/06/17	J		

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
370.					Sold (part)	04/03/17	J	A	
371.					Buy (add'l)	07/03/17	J		
372.					Sold (part)	10/02/17	J	A	
373. - WALT DISNEY CO	A	Dividend	K	T	Buy	02/06/17	J		
374.					Sold (part)	04/03/17	J	A	
375.					Buy (add'l)	07/03/17	J		
376.					Buy (add'l)	10/02/17	J		
377. - DOWDUPONT INC	A	Dividend	K	T	Buy	10/02/17	K		
378. - EXXON MOBILE CORP	A	Dividend	K	T	Buy	02/06/17	J		
379.					Buy (add'l)	04/03/17	J		
380.					Buy (add'l)	07/03/17	J		
381.					Buy (add'l)	10/02/17	J		
382. - HOME DEPOT INC	A	Dividend	K	T	Buy	02/06/17	J		
383.					Sold (part)	04/03/17	J	A	
384.					Sold (part)	07/03/17	J	A	
385.					Sold (part)	10/02/17	J	A	
386. - HONEYWELL INTL INC	A	Dividend			Buy	02/06/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
387.					Sold (part)	04/03/17	J	A	
388.					Sold (part)	07/03/17	J	A	
389.					Sold	10/02/17	K	B	
390. - iSHARES TIPS BOND ETF	A	Dividend	K	T	Buy (add'l)	01/18/17	J		
391.					Buy (add'l)	02/06/17	J		
392.					Buy (add'l)	04/03/17	J		
393.					Buy (add'l)	07/05/17	J		
394.					Buy (add'l)	10/02/17	J		
395. - iSHARES iBOXX $INVESTMENT GRADE CORP BOND ETF	B	Dividend	K	T	Sold (part)	01/18/17	J		
396.					Buy (add'l)	02/06/17	J		
397.					Buy (add'l)	04/03/17	J		
398.					Buy (add'l)	07/03/17	J		
399.					Sold (part)	10/02/17	J		
400. - iSHARES 7-10 YR TREASURY BOND ETF	A	Dividend	J	T	Sold (part)	01/18/17	K		
401.					Buy (add'l)	02/06/17	J		
402.					Sold (part)	04/03/17	J		
403.					Buy (add'l)	07/03/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
404.					Buy (add'l)	10/02/17	J		
405. - iSHARES 1-3 YR TREASURY BOND ETF	A	Dividend	K	T	Buy (add'l)	01/18/17	J		
406.					Buy (add'l)	02/06/17	J		
407.					Buy (add'l)	04/03/17	J		
408.					Buy (add'l)	07/03/17	J		
409.					Buy (add'l)	10/02/17	J		
410. - iSHARES CORE S&P MID CAP ETF	D	Int./Div.			Sold (part)	01/18/17	J	A	
411.					Sold	02/06/17	L	D	
412. - iSHARES CORE S&P SMALL CAP ETF	D	Dividend			Buy (add'l)	01/18/17	J		
413.					Sold	02/06/17	K	C	
414. - iSHARES INTL SELECT DIVIDEND ETF		None			Sold (part)	01/18/17	J	A	
415.					Sold	02/06/17	L	C	
416. - iSHARES MBS ETF	A	Dividend	K	T	Buy	04/03/17	K		
417.					Buy (add'l)	07/03/17	J		
418.					Buy (add'l)	10/02/17	J		
419. - iSHARES CORE MSCI EFE ETF	A	Dividend	K	T	Buy	02/06/17	K		
420.					Sold (part)	04/03/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
421.					Buy (add'l)	07/03/17	J		
422.					Sold (part)	10/02/17	J	A	
423. - JPMORGAN CHASE & COMPANY	A	Dividend			Buy	02/06/17	J		
424.					Buy (add'l)	04/03/17	J		
425.					Sold (part)	07/03/17	J	A	
426.					Sold	10/02/17	K	B	
427. - KONINKLJKE PHILIPS NV SPONSORED ADR		None	J	T	Buy	07/03/17	J		
428.					Sold (part)	10/02/17	J	A	
429. - LOCKHED MARTIN GROUP	A	Dividend			Buy	02/06/17	J		
430.					Sold (part)	04/03/17	J	A	
431.					Sold	07/03/17	J	B	
432. - MERCK & COMPANY INC NEW	A	Dividend	J	T	Buy	07/03/17	J		
433.					Buy (add'l)	10/02/17	J		
434. - MICROSOFT CORP	A	Dividend	K	T	Buy	02/06/17	J		
435.					Sold (part)	04/03/17	J	A	
436.					Sold (part)	07/03/17	J	A	
437.					Sold (part)	10/02/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
438. - ORACLE CORP		None			Buy	02/06/17	J		
439.					Sold	04/03/17	K	B	
440. - PEPSICO INC		None			Buy	02/06/17	J		
441.					Sold (part)	04/03/17	J	A	
442.					Sold (part)	07/03/17	J	A	
443.					Sold	10/02/17	J	A	
444. - POWERSHARES EMERGING MARKET SOVEREIGN DEBT ETF	B	Dividend	K	T	Sold (part)	01/18/17	J		
445.					Buy (add'l)	02/06/17	J		
446.					Buy (add'l)	04/03/17	J		
447.					Buy (add'l)	07/05/17	J		
448.					Buy (add'l)	10/02/17	J		
449. - POWERSHARES SENIOR LOAN PORT ETF	A	Dividend	J	T	Buy	01/18/17	K		
450.					Buy (add'l)	02/06/17	J		
451.					Sold (part)	04/03/17	J		
452.					Buy (add'l)	07/05/17	J		
453.					Buy (add'l)	10/02/17	J		
454. - RAYMOND JAMES FINANCIAL INC	A	Dividend	K	T	Buy	04/03/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
455.					Sold (part)	07/03/17	J	A	
456. - SCHLUMBERGER LTD	A	Dividend			Buy	02/06/17	J		
457.					Buy (add'l)	04/03/17	J		
458.					Sold	07/03/17	J		
459. - SPDR BLOOMBERG BARCLAYS HIGH YIELD BOND ETF	B	Dividend	K	T	Buy	01/18/17	J		
460.					Buy (add'l)	02/06/17	J		
461.					Sold (part)	04/03/17	J	A	
462.					Buy (add'l)	07/05/17	J		
463.					Buy (add'l)	10/02/17	J		
464. - SCHWAB CHARLES CORP NEW	A	Dividend	K	T	Buy	10/02/17	K		
465. - SEALED AIR CORP NEW	A	Dividend	K	T	Buy	02/05/17	J		
466.					Buy (add'l)	04/03/17	J		
467.					Sold (part)	07/03/17	J		
468.					Buy (add'l)	10/02/17	J		
469. - SYMANTEC CORP	A	Dividend	J	T	Buy	10/02/17	K		
470. - UNILEVER PLC SPONSORED ADR NEW	A	Dividend	J	T	Buy	02/06/17	J		
471.					Sold (part)	04/03/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
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F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
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Q =Appraisal
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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
472.					Sold (part)	07/03/17	J	A	
473.					Sold (part)	10/02/17	J	A	
474. - VANGUARD FTSE ALL WORLD EX US SMALL CAP ETF		None			Sold (part)	01/18/17	J	A	
475.					Sold	02/06/17	J	A	
476. - VANGUARD FTSE EMERGING MARKETS ETF		None			Sold (part)	01/18/17	J		
477.					Sold	02/06/17	K	A	
478. - VANGUARD SHORT TERM CORP BOND ETF	A	Dividend	L	T	Buy (add'l)	01/18/17	J		
479.					Buy (add'l)	02/06/17	J		
480.					Buy (add'l)	04/03/17	J		
481.					Buy (add'l)	07/05/17	J		
482.					Buy (add'l)	10/02/17	J		
483. - VANGUARD MID CAP ETF	A	Dividend	K	T	Buy	02/06/17	K		
484.					Sold (part)	07/03/17	J	A	
485. - VANGUARD SMALL CAP ETF	A	Dividend	K	T	Buy	02/06/17	J		
486.					Buy (add'l)	04/03/17	J		
487.					Sold (part)	07/03/17	J	A	
488.					Sold (part)	10/02/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
489. - VANGUARD S&P 500 INDEX ETF NEW		None			Buy (add'l)	01/18/17	K		
490.					Sold	02/06/17	M	D	
491. - VISA INC CLASS A	A	Dividend			Buy	02/06/17	J		
492.					Sold (part)	04/03/17	J	A	
493.					Sold	07/03/17	K	B	
494. RIVERSOURCE LIFE INSURANCE COL RAVA 5 - COLUMBIA VP		None	N	T					
495. RIVERSOURCE RETIREMENT ADVISOR 4 - VP MODERATE CL 4		None	M	T					
496. RIVERSOURCE LIFE PROTECTIONI PLUS UL INSURANCE		None	M	T					
497. JP MORGAN CHASE BANK ACCOUNTS	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII, LINE 1: HEADER FOR INVESTMENTS LINES 2-497 HELD IN TRUST #1.

PART VII, LINES 7 AND 39: TRANSFER FROM AMERIPRISE BROKERAGE ACCOUNT-CASH EQUIVALENTS TO AMERIPRISE ONE FINANCIAL ACCOUNT - CASH EQUIVALENTS.

PART VII, LINES 8 AND 41: TRANSFER FROM AMERIPRISE BROKERAGE ACCOUNT-AMCAP CL A TO ONE FINANCIAL ACCOUNT-AMCAP CL A.

PART VII, .LINES 10 AND 42: TRANSFER FROM AMERIPRISE BROKERAGE ACCOUNT-AMERICAN BALANCED CL A TO AMERIPRISE ONE FINANCIAL ACCOUNT-AMERICAN FUNDS AMERICAN BALANCED CL A.

PART VII, LINES 13 AND 43: TRANFER FROM AMERIPRISE BROKERAGE ACCOUNT-NEW PERSPECTIVE CL A TO AMERIPRISE ONE FINANCIAL ACCOUNT-NEW PERSPECTIVE CL A.

PART VII, LINES 15 AND 44: TRANSFER FROM AMERIPRISE BROKERAGE ACCOUNT-NEW WORLD CL A TO AMERIPRISE ONE FINANCIAL ACCOUNT-NEW WORLD CL A.

PART VII, LINE 20: ON APRIL 20, 2017, EPR PROPERTIES BEN INT PURCHASED CNL LIFESTYLE PROPERTIES INC REIT. IT IS N/K/A EPR PROPERTIES BEN INT.

PART VII, LINES 20 AND 87: TRANSFER FROM AMERIPRISE BROKERAGE ACCOUNT IRA #1 TO AMERIPRISE ACTIVE PORTFOLIO IRA.

PART VII, LINES 134 AND 135, 137 AND 138, 154 AND 155, 162 AND163, WERE SALES OF ENTIRE INVESTMENT HELD AND LATER PURCHASE OF SAME INVESTMENTS.

PART VII, LINE 254: 09/01/18 DOW CHEMICAL MERGED WITH DUPONT INC AND IS N/K/A DOWDUPONT INC.

Name of Person Reporting	Date of Report
ADLER, JAN M.	04/18/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ JAN M. ADLER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544