

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Houston, John A.	2. Court or Organization Southern District of California	3. Date of Report 05/15/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Court	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 940 Front Street Suite 2140 San Diego, CA 92101-1118		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust #1
2.	Trustee	Trust #2
3.	Trustee	Trust #3
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Houston, John A.

Date of Report

05/15/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2014

Psychology Consultation Services, Inc

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. American Anti-Trust Institute

August 14-16, 2014

Stanford CA

Legal Education Program

Hotel, Travel, Expenses

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Houston, John A.

Date of Report

05/15/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	PNC Bank	Mortgage - Rental Property - San Diego	L
2.	Wells Fargo Bank	Mortgage - Investment Real Property	K
3.	Citi Bank American Express	Credit Card	J
4.	Citi Bank Mastercard	Credit Card	J
5.	PFCU VISA	Credit Card	J

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Houston, John A.

Date of Report

05/15/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property (2), San Diego County, CA	D	Rent	O	W					
2. San Diego Gas & Electric (Semptra)	A	Dividend	J	T	Redeemed	02/10/14	K	D	
3. San Diego Gas & Electric (Semptra)	A	Dividend	J	T	Redeemed	02/10/14	K	D	
4. Fidelity Funds - IRA	A	Dividend	J	T					
5. --Fidelity Discovery Fund									
6. ---Fidelity New Markets Fund									
7. Trust	A	Interest	J	T					
8. - Leap Wireless Communications (Common Stock)									
9. Trust #2	D	Distribution	M	T					
10. --MSDW Dividend Growth Securities Fund									
11. --MSDW California Tax-Free Income Fund									
12. --AIM Advisor Real Estate Fund									
13. --Eaton Vance WW Health Sciences Fund									
14. --Eaton Vance Taz Managed Growth Fund									
15. --Franklin Calif Trax Free Income Fund									
16. --MFS MidCap Growth Fund									
17. --Putnam Research Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

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Houston, John A.

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18. --Putnam International Voyager Fund									
19. --Sentinel Small Cap Companies Fund									
20. --Reserve Primary Fund Class R									
21. --Lord Abbet Mid Cap Val Class C									
22. MFS Val Class B	A	Dividend	J	T					
23. MFS Value Class C	A	Dividend	J	T					
24. American AMCAP Class C	A	Distribution	J	T					
25. John Hancock US Glob Leaders Growth Class C	A	Dividend	J	T					
26. Putnam Tax Smart Equity Class B	A	Dividend	J	T					
27. Delaware Tax-Free California Class B	A	Interest	J	T					
28. Real Property (3), Birmingham, AL	C	Rent	L	W					
29. Trust #3	B	Interest	L	T					
30. -- Van Kampen Insured Muni Income Trust 589									
31. -- Union Bank CD Index Zero									
32. Pacific Innovative Select -Qualified Annuity	A	Distribution	L	T					
33. Union Bank Marked Linked Cert of Deposit - IRA	A	Interest	K	T					
34. Union Bank Marked Linked Cert of Deposit - Simple IRA	A	Interest	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Houston, John A.

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35. JAG Development PHX, LLC			K	W	Sold	09/05/14	K	D	SRM Development LLC,
36.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Houston, John A.

Date of Report

05/15/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII: No. 35 (No. 36 in 2014); Development project sold to SRM Development LLC, Phoenix, AR

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Houston, John A.

Date of Report

05/15/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John A. Houston**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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