

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ERDMANN, CHARLES E.	2. Court or Organization U S COURT OF APPEALS - ARMED FORCES	3. Date of Report 08/09/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ASSOCIATE JUDGE - RETIRED	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 07/31/2017
7. Chambers or Office Address C/O LOPACH & CARPARELLI, PC 863 GREAT NORTHERN BOULEVARD, SUITE 202 HELENA, MT 59601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

ERDMANN, CHARLES E.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

STATE OF MONTANA RETIREMENT INCOME

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. GENWORTH FINANCIAL ANNUITY	A	Interest	J	T	Buy (add'l)	07/31/17	J		
2. NORTHWESTERN MUTUAL LIFE INS - CASH VALUE OF POLICIES	C	Dividend	J	T	Buy (add'l)	07/31/17	J		
3. CAPITAL ONE BANK CHECKING & SAVINGS	A	Interest	J	T	Distributed (part)	07/05/17	J		
4. SUNTRUST BANK CHECKING AND SAVINGS	A	Interest			Closed	07/01/17	K		
5. OPPORTUNITY BANK HELENA, MT CHECKING & SAVINGS	A	Interest	O	T	Buy (add'l)	07/01/17	K		
6.					Buy (add'l)	07/28/17	O		SOLD HOUSE IN D.C.
7. MONTANA CITY BANK, CHECKING ACCOUNT	A	Interest	K	T	Open	07/01/17	K		
8. CHARLES SCHWAB IRA									
9. - SCHWAB MM CASH	A	Int./Div.	J	T					
10. - AMERICAN CENTURY EQUITY GROWTH FUND	A	Dividend	K	T	Buy (add'l)	06/20/17	J		
11. - HARDING LOEVNER EMERGING MARKETS FUND			J	T					
12. - JANUS HENERSON MID CAP VALUE FUND (NAME CHANGE FROM PERKINS MIDCAP)			L	T					
13. - UMB SCOUT INTERNATIONAL FUND	A	Dividend	J	T	Buy (add'l)	06/16/17	J		
14. U S TRUST IRA									
15. - U S TRUST IRA - MONEY MARKET ACCOUNT	A	Int./Div.	J	T	Distributed (part)	03/09/17	J		
16. - BERKSHIRE HATHAWAY INC CL B	A	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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17. - COLUMBIA CONTRARIAN FUND	A	Dividend	K	T					
18. - COLUMBIA SELECT LARGE CAP GROWTH FUND	A	Dividend	K	T	Buy (add'l)	06/19/17	J		
19. - ISHARES SELECT DIVIDEND ETF	A	Dividend	K	T					
20. - ISHARES CORE S&P MID CAP ETF	A	Dividend	K	T					
21. - JANUS INVESTMENTS ENTERPRISE FUND	A	Dividend	J	T					
22. - VANGUARD REIT	A	Dividend	J	T					
23. - ISHARES RUSSELL 2000 ETF	A	Dividend	J	T					
24. - ISHARES RUSSELL 2000 VALUE ETF	A	Dividend	J	T					
25. - OPPENHEIMER INTL GROWTH FUND	A	Dividend			Closed	02/07/17	J	A	
26. - VANGUARD FTSE DEVELOPED MARKETS	A	Dividend	K	T	Buy (add'l)	02/09/17	J		
27. - VANGUARD FTSE EMERGING MARKETS ETF	A	Dividend	K	T					
28. - METRO WEST T/R BOND CL 1	A	Dividend	K	T					
29. - SPDR BARCLAYS SHORT TERM CORP BD ETF	A	Dividend	K	T					
30. - FIDELITY ADVISOR FLOATING RATE HIGH INCOME FUND	A	Dividend	J	T					
31. - BLACKROCK GLOBAL LONG/SHORT FUND	A	Dividend			Closed	04/26/17	J	A	
32. - BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO	A	Dividend	J	T	Open	04/26/17	J		
33. - BOSTON PARTNERS LONG/SHORT FUND	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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34. - GOLDMAN SACHS ABSOLUTE RETURN TRACKER FUND	A	Dividend	J	T					
35. - PRUDENTIAL GLOBAL REAL ESTATE FUND	A	Dividend			Closed	03/10/17	K	A	
36. - ISHARES GOLD TRUST	A	Dividend	J	T					
37. U S TRUST JOINT AGENCY ACCOUNT									
38. - BANK OF AMERICA MONEY MARKET FUND	A	Int./Div.	J	T	Distributed (part)	06/19/17	J		
39. - COLUMBIA DIVIDEND INCOME FUND	A	Dividend	K	T					
40. - COLUMBIA SELECT LARGE CAP GROWTH FUND	A	Dividend	J	T	Buy (add'l)	06/19/17	J		
41. - ISHARES SELECT DIVIDEND ETF	A	Dividend	J	T					
42. - JANUS ENTERPRISE FUND	A	Dividend	J	T					
43. - OPPENHEIMER INTL GROWTH FUND	A	Dividend			Closed	02/07/17	J	A	
44. - ISHARES RUSSELL 2000 ETF	A	Dividend	J	T					
45. - VANGUARD FTSE DEVELOPED MARKETS FUND	A	Dividend	J	T	Buy (add'l)	02/09/17	J		
46. - COLUMBIA SHORT TERM MUNICIPAL BOND FUND	A	Dividend	J	T					
47. - FIDELITY ADVISOR FLOATING RATE HIGH INCOME FUND	A	Dividend	J	T					
48.									
49.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ CHARLES E. ERDMANN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544