

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pregerson, Harry	2. Court or Organization United States Court of Appeals for Ninth Circuit	3. Date of Report 07/23/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Circuit Court Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 21800 Oxnard Street Suite 1140 Woodland Hills, Ca 91367		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Marine Corps Reserve Officers Association
2.	Member	Advisory Board, Childhelp USA
3.	Member	Advisory Board, Southern California Divisional Headquarters, The Salvation Army
4.	Member	Board of Directors, Devil Pups, Inc
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

Pregerson, Harry

Date of Report

07/23/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	State of California Judge's Retirement System	\$17,913.00
2. 2014	Raymond James & Assoc - IRA	\$1,314.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	CA Public Employees Retirement System
2. 2014	CA State Teachers Retirement System
3. 2014	First Clearing, LLC IRA
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 15

Name of Person Reporting

Pregerson, Harry

Date of Report

07/23/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

Pregerson, Harry

Date of Report

07/23/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. [REDACTED] Oxnard Shores, CA (1964)		None	O	W					
2. [REDACTED] Oxnard Shores, CA (1964)		None	O	W					
3. [REDACTED] Woodland Hills, CA		None	O	W					
4. Ltd Partnership Interest-Penn Cal Investors, Pittsburgh,PA	H1	Rent	P1	U					
5. Chase cash accounts	A	Interest	L	T					
6. Wells Fargo Joint (H)									
7. Wells Fargo Money Market	A	Interest	M	T					
8. Bank of Baroda	A	Interest	M	T	Open	01/14/14	M		
9. Synchrony Bank	B	Interest	M	T	Open	06/13/14	M		
10. Discover Bank	B	Interest	M	T	Open	06/13/14	M		
11. Discover Bank Greenwood DE CD	A	Interest			Sold	05/16/14	M	A	
12. Northern Trust (H)									
13. MFB Northern Fds Stk Index	D	Dividend	N	T	Buy	05/30/14	M		
14.					Buy (add'l)	08/13/14	L		
15.					Buy (add'l)	10/31/14	M		
16. - MFO American Centy CA Tax-Free Bd Fd Inv	D	Dividend	N	T					
17. - MFB NTHN CA Mun Money Mkt	A	Interest	P1	T	Buy (add'l)	03/19/14	P1		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

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18. Raymond James (H)									
19. - JP Morgan Chase & Co. Notes	B	Interest	K	T					
20. - Microsoft Coporation Stock	B	Dividend	K	T					
21. - Seadrill Limited Corporate Stock	D	Dividend	K	T	Buy (add'l)	02/14/14	K		
22.					Buy (add'l)	09/18/14	J		
23.					Buy (add'l)	10/06/14	J		
24.					Sold (part)	12/29/14	K	A	
25. Regions Bank	A	Interest	J	T	Open	12/31/14	J		
26. Synchrony Bank	A	Int./Div.	J	T	Open	12/31/14	J		
27. Goldman Sachs Bank USA	A	Interest	M	T					
28. Raymond James Money Market	A	Interest	M	T					
29. Raymond James IRA #2: Raymond James Bank	A	Interest	J	T	Distributed (part)	12/31/14	J		
30. Raymone James IRA #2: Seadrill Ltd Stock	A	Dividend	J	T					
31. - US Bank cash account					Closed	01/01/14	J		
32. - Janus Fund					Closed	01/01/14	J		
33. Capital One (was Ing Direct - Orange Savings)	A	Interest	M	T					
34. City National Bank (H)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 15

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Pregerson, Harry

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07/23/2015

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35. CD/Money Market	A	Interest	N	T					
36. - Checking Accts	A	Interest	O	T					
37. Janus Money Market Fund D	A	Int./Div.	N	T					
38. Wells Fargo Bank cash account	A	Interest	N	T					
39. Chase (H)									
40. JP Morgan Trust II Liquid Assets	A	Dividend	J	T					
41. SPDR S&P 500 ETF TR	A	Dividend	J	T	Buy (add'l)	11/20/14	J		
42.					Sold (part)	07/25/14	J	A	
43. Blackrock Funds II US	A	Dividend	J	T	Buy (add'l)	11/20/14	J		
44. Blackrock International	A	Dividend	J	T	Buy	11/20/14	J		
45. Cohen & Steers Realty	A	Dividend			Sold	11/20/14	J	A	
46. Delaware Group Emerging Market Funds	A	Dividend			Sold	09/24/14	J	A	
47. Dodge & Cox Funds	A	Dividend	J	T	Buy	09/24/14	J		
48.					Buy (add'l)	11/20/14	J		
49. Dodge & Cox Income Fund	A	Dividend	J	T	Buy	10/03/14	J		
50.					Buy (add'l)	11/20/14	J		
51. Federated Institutional High Yield Bond Fund	A	Dividend	J	T	Buy (add'l)	11/20/14	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 15

Name of Person Reporting

Pregerson, Harry

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07/23/2015

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52.	Goldman Sachs Emerging Market Debt Fund	A	Dividend	J	T					
53.	John Hancock Income Fund	A	Dividend	J	T	Buy (add'l)	11/20/14	J		
54.	Oakmark Fund	A	Dividend	J	T	Buy (add'l)	11/20/14	J		
55.	Ishares Trust Russell 2000 Index	A	Dividend	J	T	Buy (add'l)	11/20/14	J		
56.	Ishares MSCI EAFE (X)	A	Dividend	J	T	Buy (add'l)	11/20/14	J		
57.	JP Morgan Trust I US Equity Fund Select C1	A	Dividend	J	T	Buy (add'l)	11/20/14	J		
58.	JP Morgan Trust II Core Bond Fund Select C1	A	Dividend	J	T					
59.	JP Morgan Trust I MidCap Equity Fund Select C1	A	Dividend	J	T	Buy (add'l)	11/20/14	J		
60.	JP Morgan Trust II High Yield Select C1	A	Dividend	J	T	Buy (add'l)	11/20/14	J		
61.	JP Morgan Short Duration Bond Fund Select C1	A	Dividend	K	T	Buy (add'l)	7/24/14	J		
62.						Buy (add'l)	11/20/14	J		
63.	JP Morgan Trust I Int'l Value Fund Select C1	A	Dividend	J	T	Buy (add'l)	11/20/14	J		
64.	JP Morgan Trust I Emerging Mkts Equity Fund Select	A	Dividend			Sold	09/24/14	J	A	
65.	MFS Ser Tr X Emerging Market Debt Fund Class I	A	Dividend	J	T					
66.	MGS Research International Fund	A	Dividend	J	T	Buy (add'l)	11/20/14	J		
67.	Massachusetts Investors Trust	A	Dividend	J	T	Buy (add'l)	11/20/14	J		
68.	PIMCO Short Term Fund Institutional	A	Dividend	K	T	Buy (add'l)	07/25/14	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting

Pregerson, Harry

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69. PIMCO Total Return Fund Institutional	A	Dividend			Sold	10/03/14	J	A	
70. T Rowe Price New Income Fund Inc	A	Dividend	J	T	Buy (add'l)	11/20/14	J		
71. Thornburg Intl Value Fund Class I	A	Dividend			Sold (part)	02/13/14	J	A	
72.					Sold	03/07/14	J	A	
73. Transamerica High Yield Bond Fund X)	A	Dividend	J	T					
74. Vanguard Bond Index Fund	A	Dividend	J	T	Buy (add'l)	11/20/14	J		
75. Wells Fargo IRA (H)									
76. Energy Select Sector SPDR	A	Dividend	K	T	Sold (part)	05/06/14	J	A	
77. Financial Select Sector SPDR	A	Dividend	K	T	Sold (part)	11/24/14	J	A	
78. Industrial Select Sector SPDR	A	Dividend	K	T					
79. Ishares Core S&P Midcap	B	Dividend	M	T	Buy (add'l)	09/04/14	J		
80.					Sold (part)	11/24/14	J	B	
81. Ishares Core S&P Smallcap	B	Dividend	M	T	Buy (add'l)	05/06/14	J		
82.					Sold (part)	06/04/14	J	A	
83.					Sold (part)	11/24/14	J	B	
84. Ishares MSCI Malaysia Index Fund	A	Dividend	J	T					
85. Ishares MSCI Germany	A	Dividend	K	T	Buy (add'l)	03/06/14	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 15

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86.					Buy (add'l)	05/06/14	J		
87.					Sold (part)	11/24/14	J	A	
88. Ishares MSCI Eafe Index Fund	A	Dividend	J	T					
89. Ishares MSCI Mexico Capped Investable Market Fund	A	Dividend	K	T					
90. Ishares MSCI Japan	A	Dividend			Buy (add'l)	03/06/14	K		
91.					Sold	05/06/14	K	A	
92. Ishares MSCI South Korea Capped Index Fund	A	Dividend	J	T					
93. Ishares MSCI United Kingdom Index Fund	B	Dividend	K	T	Sold (part)	11/24/14	J	A	
94. Ishares S&P North America Tech. Networking Index	A	Dividend	J	T					
95. Powershares DB Commodity Index Tracking Fund	A	Dividend	J	T	Sold (part)	03/06/14	J	A	
96.					Sold (part)	09/04/14	J	A	
97. Powershares DB Energy Fund	A	Dividend	J	T	Buy	06/04/14	J		
98.					Sold (part)	09/04/14	J	A	
99. Powershares DB Base Metals Fund	A	Dividend			Buy	03/06/14	J		
100.					Sold	06/04/14	J	A	
101. Select Sector SPDR Consumer Staples	A	Dividend	J	T	Sold (part)	11/24/14	J	A	
102. Select Sector SPDR Fd. Materials	A	Dividend	J	T	Sold (part)	11/24/14	J	B	

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Page 10 of 15

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103. Select Sector SPDR Fd Health Care	A	Dividend	K	T	Sold (part)	11/24/14	J	A	
104. Select Sector SPDR Fd Consumer Discretionary	A	Dividend	K	T	Sold (part)	11/24/14	J	A	
105. SPDR Euro StoXX 50	B	Dividend	K	T	Buy (add'l)	05/06/14	K		
106.					Sold (part)	09/04/14	J	A	
107.					Sold (part)	11/24/14	J	A	
108. SPDR S&P Bank	A	Dividend	J	T					
109. SPDR S&P Retail	A	Dividend	J	T	Sold (part)	03/06/14	J	A	
110.					Sold (part)	11/24/14	J	A	
111. Vanguard Telecommunications Svc	A	Dividend	J	T					
112. Vanguard Info. Technology	A	Dividend	L	T	Buy (add'l)	03/06/14	J		
113.					Sold (part)	05/06/14	J	A	
114.					Sold (part)	11/24/14	J	B	
115. Vanguard International Equity Index Funds Emerging Markets	A	Dividend	K	T					
116. Vanguard Developed markets	A	Dividend	K	T	Sold (part)	03/06/14	K	C	
117.					Sold (part)	11/24/14	J	A	
118. Vanguard REIT	A	Dividend	J	T	Sold (part)	03/06/14	J	A	
119. Eshares Emerging Markets Consumer	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 15

Name of Person Reporting

Pregerson, Harry

Date of Report

07/23/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Wells Fargo cash	A	Interest	K	T					
121. Wells Fargo Joint Account (H)									
122. Energy Select Sector SPDR	A	Dividend	J	T	Sold (part)	05/06/14	J	A	
123. Egshares Energy Markets	A	Dividend	J	T					
124. Financial Select Sector SPDR	A	Dividend	K	T					
125. Industrial Select Sector SPDR	A	Dividend	K	T					
126. Ishares Core S&P Midcap	B	Dividend	M	T	Buy (add'l)	09/04/14	J		
127. Ishares Core S&P Smallcap	B	Dividend	M	T	Buy (add'l)	05/06/14	J		
128. Ishares MSCI Germany	A	Dividend	K	T	Buy	05/06/14	K		
129. Ishares MSCI Japan	A	Dividend			Buy (add'l)	05/06/14	J		
130.					Sold	05/06/14	K	A	
131. Ishares MSCI Malaysia Index Fund	A	Dividend	J	T					
132. Ishares MSCI EAFE Index Fund	A	Dividend	J	T					
133. Ishares MSCI Mexico Capped	A	Dividend	J	T					
134. Ishares MSCI S. Korea Capped Index	A	Dividend	J	T					
135. Ishares MSCI United Kingdom Index Fund	B	Dividend	J	T					
136. Ishares S&P N. Am. Tech.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

Pregerson, Harry

Date of Report

07/23/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Powershares DB Commodity Index Tracking	A	Dividend	J	T	Sold (part)	03/06/14	J	A	
138.					Sold (part)	09/04/14	J	A	
139. Powershares DB Enrgy Et Fund	A	Dividend	J	T	Buy	06/04/14	J		
140.					Sold (part)	09/04/14	J	A	
141. Powershares DB Base Metal Fd	A	Dividend			Buy	03/06/14	J		
142.					Sold	06/04/14	J	A	
143. Select Sector SPDR TR Consumer Staples	A	Dividend	J	T					
144. Select Sector SPD Fd Materials	A	Dividend	J	T					
145. Select Sector SPDR Fd Health Care	A	Dividend	K	T					
146. Select Sector SPDR Fd Consumer Discretionary	A	Dividend	K	T					
147. SPDR Euro StoXX 50	B	Dividend	K	T	Buy	05/06/14	K		
148. SPDR S&P Bank	A	Dividend	J	T					
149. SPDR S&P Retail	A	Dividend	J	T					
150. Vanguard Telecommunication Services	A	Dividend	J	T					
151. Vanguard Information Technology	A	Dividend	K	T					
152. Vanguard International Equity Index Fd Emerging	A	Dividend	J	T					
153. Vanguard Developed Markets	A	Dividend	K	T	Sold (part)	03/06/14	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

Pregerson, Harry

Date of Report

07/23/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Vanguard REIT	A	Dividend	J	T					
155. Wells Fargo Money Market	A	Dividend	K	T					
156.									
157.									
158.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

Pregerson, Harry

Date of Report

07/23/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Pregerson, Harry

Date of Report

07/23/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Harry Pregerson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544