

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) AFRICK, LANCE M.	2. Court or Organization USDC, EASTERN DISTRICT OF LA	3. Date of Report 11/20/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FULL-TIME U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 500 POYDRAS STREET ROOM C-405 NEW ORLEANS, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Executive Committee Member and Past President	Allstate Sugar Bowl
2.	Director	Africk Family Foundation, Inc.
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Wilson Elser Moskowitz Edelman & Dicker LLP - guaranteed payments
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. New Orleans Bar Association	March 10 - 12, 2016	Point Clear, AL	Bench Bar Conference	hotel, registration
2. American Conference Institute	July 28, 2016	Chicago, IL	Speech, Views from the Bench	hotel, airfare, ground transportation, meals
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Louisiana Local Gov. Enviro (Municipal Bond)	B	Interest	J	T					
2. RMA Tax Free Fund, Inc. (UBS)	A	Dividend			Buy	01/05/16	L		
3.					Sold (part)	01/06/16	J		
4.					Sold (part)	01/08/16	J		
5.					Sold (part)	01/12/16	J		
6.					Sold (part)	01/13/16	J		
7.					Buy	01/15/16	M		
8.					Buy	01/19/16	J		
9.					Sold (part)	01/21/16	J		
10.					Sold (part)	01/22/16	J		
11.					Sold (part)	01/25/16	J		
12.					Sold (part)	01/26/16	J		
13.					Sold (part)	01/29/16	J		
14.					Buy	02/02/16	J		
15.					Sold (part)	02/03/16	K		
16.					Sold (part)	02/03/16	K		
17.					Buy	02/04/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	02/05/16	J		
19.					Sold (part)	02/10/16	J		
20.					Buy	02/17/16	L		
21.					Sold (part)	02/18/16	M		
22.					Sold (part)	02/26/16	J		
23.					Sold (part)	03/02/16	K		
24.					Buy	03/03/16	K		
25.					Buy	03/04/16	J		
26.					Buy	03/09/16	J		
27.					Buy	03/14/16	K		
28.					Sold (part)	03/15/16	J		
29.					Buy	03/16/16	K		
30.					Sold (part)	03/17/16	K		
31.					Buy	03/22/16	J		
32.					Sold (part)	03/23/16	K		
33.					Sold (part)	03/30/16	J		
34.					Buy	03/31/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Buy	04/04/16	L		
36.					Sold (part)	04/05/16	J		
37.					Sold (part)	04/07/16	J		
38.					Sold (part)	04/13/16	J		
39.					Buy	04/18/16	J		
40.					Sold (part)	04/19/16	J		
41.					Sold (part)	04/20/16	J		
42.					Sold (part)	04/22/16	J		
43.					Buy	04/26/16	J		
44.					Sold (part)	04/27/16	J		
45.					Buy	05/03/16	L		
46.					Sold (part)	05/04/16	J		
47.					Sold (part)	05/13/16	J		
48.					Buy	05/17/16	J		
49.					Sold (part)	05/18/16	J		
50.					Buy	05/19/16	J		
51.					Sold (part)	05/24/16	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold (part)	05/24/16	M		
53.					Buy	05/25/16	M		
54.					Sold (part)	05/31/16	K		
55.					Buy	06/02/16	K		
56.					Buy	06/03/16	K		
57.					Sold (part)	06/06/16	J		
58.					Buy	06/07/16	M		
59.					Buy	06/16/16	J		
60.					Sold (part)	06/22/16	J		
61.					Sold (part)	06/23/16	J		
62.					Sold	06/24/16	O		
63. RMA GOVT MONEY MARKET FUND (UBS)	A	Dividend			Buy	06/24/16	O		
64.					Sold (part)	06/28/16	J		
65.					Sold (part)	07/08/16	J		
66.					Sold (part)	07/11/16	J		
67.					Sold (part)	07/27/16	J		
68.					Sold (part)	07/29/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Sold (part)	08/03/16	J		
70.					Sold (part)	08/08/16	N		
71.					Sold (part)	08/10/16	L		
72.					Sold (part)	08/12/16	J		
73.					Sold (part)	08/19/16	M		
74.					Sold	08/26/16	J		
75. Facebook Inc.		None	K	T					
76. Allendale, MI Public Callable Bond	B	Interest	K	T					
77. American Municipal Power OH Callable Bond	B	Interest	K	T					
78. Maryland St. Health & Higher Bond	B	Interest	K	T					
79. Long Island Power Callable Bond	B	Interest			Redeemed (part)	06/02/16	K		
80.					Redeemed	10/28/16	J	A	
81. Illinois State FGIC Bond	C	Interest	L	T					
82. Georgia Municipal Electric Bond	A	Interest	J	T					
83. Clark CNTY Nevada School Callable Bond	C	Interest			Redeemed	11/01/16	J	A	
84. Columbus OH Swr Callable Bond SER A	B	Interest	K	T					
85. Columbus OH City Sch Callable Bond	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. Florida St. BER Callable Bond (2)	C	Interest	M	T					
87. Connecticut St. Health & RV Callable Bond	B	Interest			Redeemed	07/01/16	K	A	
88. Connecticut St. Health & Ser Callable Bond	A	Interest	J	T					
89. Atlanta GA Water & Wastewater Rev Bond SER A	C	Interest	L	T					
90. District Columbia Rev Callable Bond	A	Interest			Redeemed	03/30/16	J	A	
91. Houston TX Util Sys Callable Bond	C	Interest	L	T					
92. Connecticut ST Gen Rev Callable Bond SRD	A	Interest			Redeemed	11/01/16	J	A	
93. Florida St Brd Ed Lottery SR A	B	Interest	K	T					
94. Nevada St FGIC Callable Bond	B	Interest	K	T					
95. Univ Houston TX Callable Bond	A	Interest	K	T					
96. Kendall & Kane Countys Pref (frm Kendall & Kane Countys Callable Bond)	A	Interest			Redeemed	01/04/16	J	A	
97. Kendall & Kane Countys (formerly Kendall & Kane Countys Callable Bond)	A	Interest			Redeemed	03/21/16	K	A	
98. Florida St. Dept Environmen. Callable Bond (3)	C	Interest	L	T					
99. University Ill Univ Callable Bond	A	Interest			Redeemed	04/01/16	J	A	
100. Kentucky Infrastruct Callable Bond	C	Interest	L	T					
101. Florida St Brd Ed. Lottery SrB	C	Interest	L	T					
102. Metropolitan Trans AUNY SER A (2)	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

103. Metropolitan Trans Auth Asur D (2) (formerly Met Trans Auth NY Bond F)	B	Interest	K	T					
104. Ohio St. Ser A Callable Bond	B	Interest			Redeemed	03/01/16	K	A	
105. Pennsylvania Higher Ed Callable Bond	C	Interest	L	T					
106. San Antonio TX Elec Callable Bond (2)	C	Interest	L	T					
107. Texas State SRA CRO (formerly Texas State)	B	Interest	L	T					
108. Amarillo Tex CTFS Callable Bond	B	Interest	K	T					
109. Connecticut St Gen Ser A Callable Bond	C	Interest	L	T					
110. Florida St Brd Educ Pub Callable Bond	B	Interest	L	T					
111. John Hancock Variable Annuity		None	N	T					
112. Blackrock MUN Target Term Trust BTT (prev Blackrock Mun Target Trust)	C	Dividend	L	T					
113. Nuveen Inter Duration NID (formerly Nuveen Inter Duration)	C	Dividend	L	T					
114. Pimco Dynamic PCI (formerly Pimco Dynamic CR Income FD)	D	Dividend	K	T					
115. Louisiana St Gas & Fuels Tax	C	Interest	M	T					
116. Louisiana St RFDG SER C	C	Interest	L	T					
117. Metro Atlanta Rapid GA SER B (formerly Metropolitan Allstat Rapid..)	B	Interest	L	T					
118. Pueblo Cnty Colo CTFS PARTN Cnty Judicial Complex	B	Interest	K	T					
119. Atlanta GA WTR & WASTE WATER FSA SR B	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Boynton Beach Fla Util Sys Rev	B	Interest	K	T					
121. Miami Dade Cnty Fla SCH BRD CTFS SER B	A	Interest	L	T					
122. Louisiana LOC GOVT Environmental Assur SR B	D	Interest	K	T					
123. Center Coast MLP & Infrastructure FD	C	Dividend	K	T					
124. New Jersey ST Trans TRFD SER AA (2)	D	Interest	M	T					
125. Midland Cnty TEX Fresh WTR Supply DIST (2)	D	Interest	N	T					
126. University WIS Hosps & Clinics Auth Rev SER A	C	Interest	L	T					
127. Apple Inc	A	Dividend	K	T	Buy (add'l)	01/29/16	J		
128. NJ Eco SR NN Y25 (formerly New Jersey Econ DevAuth Rev RFDG)	C	Interest	M	T					
129. Nuveen Ltd Municipal Bond Fund	A	Dividend	K	T	Sold (part)	02/23/16	J	A	
130.					Buy (add'l)	08/15/16	J		
131. AT&T Inc.	A	Dividend			Sold	02/25/16	J	A	
132. Johnson & Johnson	A	Dividend	J	T					
133. Proctor & Gamble PG	A	Dividend	J	T	Buy (add'l)	02/25/16	J		
134. First Eagle Global Fund Class A		None			Sold	02/23/16	J	A	
135. Pfizer, Inc.	A	Dividend			Sold	02/25/16	J	A	
136. FPA Crescent Fund	A	Dividend			Sold	02/23/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Altria Group, Inc.	A	Dividend			Sold	02/23/16	J	B	
138. Starbucks Corp	A	Dividend			Sold	02/25/16	J	A	
139. Yacktman Focused Fund		None			Sold	02/23/16	J	A	
140. Lord Abbett Bond Debenure Fund	A	Dividend			Sold	02/23/16	J	A	
141. Guggenheim Macro Opportunities Fund Class A	A	Dividend			Sold	02/23/16	K	A	
142. Berkshire Hathaway CL B		None			Sold	02/25/16	J	A	
143. Corning Inc DF (Y)									
144. Fidelity Advisor Floating Rate High Income Fund (Y)									
145. Neuberger Berman Long Short Fund Class		None			Sold	02/23/16	K	A	
146. Goldman Sachs Strategic Income CLI (Y)									
147. Apple Inc	A	Dividend	J	T					
148. Goldman Sachs, Inc Bder I	A	Dividend			Sold	02/23/16	K	A	
149. Goldman Sachs Strategic Income CLI (Y)									
150. First Eagle Gloval Income Builder (Y)									
151. Prudential Short Duration High Yield Tax (Y)									
152. Lord Abbott Short Duration Income (Y)									
153. New York ST NR3 (prev New York ST Dorm Auth REV Non State Sup Debt)	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Pennsylvania ST TPK Commn TPK REV for Issued DTD	A	Interest	M	T	Buy (add'l)	09/28/16	M		
155. Univ Ala CM1 (formerly Univ ALA At Birmingham HOSP REV SER A)	D	Interest	M	T					
156. Boeing Company Symbol BA	A	Dividend	J	T	Buy (add'l)	01/29/16	J		
157. British Amer Tobacco PLC GB SPON ADR	A	Dividend	J	T					
158. Colgate Palmolive Co Symbol CL	A	Dividend	J	T					
159. Crane Co Symbol CR	A	Dividend	J	T					
160. Diago PLC New GB SPON ADR Symbol DEO	A	Dividend	J	T					
161. Dominion Resources Inc	A	Dividend	J	T					
162. Home Depot Inc Symbol HD	A	Dividend	J	T					
163. Illinois Tool Works Inc Symbol ITW	A	Dividend	J	T					
164. Intel Corp Symbol INTC	A	Dividend	J	T					
165. Johnson Controls Inc. Symbol JCI	A	Dividend	J	T	Buy (add'l)	11/08/16	J		
166. Microsoft Corp Symbol MSFT		None	J	T					
167. Medtronic PLC Symbol MDT	A	Dividend	J	T					
168. Nordstrom Inc Symbol JWN	A	Dividend	J	T	Buy (add'l)	11/08/16	J		
169. Novartis AG SPON ADR Symbol NVS	A	Dividend	J	T	Buy (add'l)	11/08/16	J		
170. Nextera Energy Inc COM Symbol NEE	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Occidental Petroleum CRP Symbol OXY	A	Dividend	J	T					
172. Pepsico Inc Symbol PEP	A	Dividend	J	T					
173. Rockwell Automation Inc NEW Symbol ROK	A	Dividend	J	T					
174. Invesco LTD Symbol IVZ	A	Dividend	J	T	Buy (add'l)	11/08/16	J		
175. Schlumberger LTD Netherland Antilles Symbol SLB	A	Dividend	J	T					
176. Toronto Dominion BK New Canada CAD Symbol TD	A	Dividend			Sold	11/08/16	J	A	
177. Texas Instruments Symbol TXN	A	Dividend	J	T					
178. Union Pacific Corp Symbol UNP	A	Dividend	J	T					
179. United Technologies Corp Symbol UTX	A	Dividend	J	T	Buy (add'l)	01/29/16	J		
180. VF Corp Symbol VFC	A	Dividend	J	T	Buy (add'l)	11/08/16	J		
181. Yum Brands Inc Symbol YUM	A	Dividend	J	T					
182. New Jersey ST L22 (formerly New Jersey St Hghw Ed SR2)	B	Interest	K	T	Redeemed (part)	07/01/16	J	A	
183. Avalon Hldgs Symbol AVOL (Y)									
184. Ecolab Inc Symbol ECL	B	Dividend	M	T					
185. Inovalan Hldgs Symbol INOV		None			Sold	11/08/16	J	A	
186. Brevard Co Fla Sch Brd	A	Interest			Redeemed	01/04/16	K	A	
187. Cedar Hill Tex CTFS Sync	B	Interest			Redeemed	02/16/16	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Canadian Riv Mun Tex Rev	A	Interest			Redeemed	02/16/16	J	A	
189. Rhode Island Providence Plant	B	Interest			Redeemed	08/01/16	K	A	
190. Cape Coral FL WTR SWR	A	Interest			Redeemed	10/04/16	J	A	
191. Bastrop Cnty Texas	A	Interest	J	T					
192. MASS ST HEALTH & EDL FACS AUTH REV	A	Interest			Redeemed	03/03/16	J	A	
193. Tampa-Hillborough FL2 (formerly Tampa- Hillsborough Cnty Assur A)	C	Interest	L	T					
194. Delaware VY ER3 (formerly Delaware VY PA Regl Auth)	A	Interest	K	T					
195. Jacksonville Elec 4H5 (formerly Jacksonville Elec Au FL SR C)	C	Interest	L	T					
196. Mass ST GO Consol Ln SR A	B	Interest	K	T					
197. Miami-Dade Cnty GS4 (formerly Miami Dade Cnty FL Edl SR B)	C	Interest	L	T					
198. Connecticut ST LQ6 (formerly Connecticut ST Health & Edl SR T)	A	Interest	K	T					
199. New York ST MY6 (formerly New York ST Dorm Auth SR C)	B	Interest	K	T					
200. Broward CO FL VC9 (formerly Broward CO FL Arpt SR C)	D	Interest	M	T					
201. Jacksonville FL KA3 (formerly Jacksonville FL Sales T Assur)	A	Interest	J	T					
202. Deltona FL BZ2 (formerly Deltona FL Util Sys)	B	Interest	K	T					
203. Tangipahoa Par DK5 (formerly Tangipahoa Par LA Hsp)	A	Interest	K	T					
204. Hartford CT For Issues D SR A	B	Interest			Sold	09/27/16	L	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Memphis Ctr BL7 (formerly Memphis Ctr City Fin)	C	Interest	L	T					
206. California St YB4 (formerly California ST Univ System SR A)	B	Interest	L	T					
207. Daytona Beach FL CF2 (formerly Daytona Beach FL Util Sys)	B	Interest	L	T					
208. New Jersey Trans Trust Fund (Y)									
209. Indianapolis Ind Loc Pub SER L	B	Interest	L	T					
210. Brazoria Cnty TX CTFS	A	Interest			Redeemed	03/01/16	J		
211. NJ ECO V26 (formerly NJ ECO DV Uth Sch SR NN (2))	D	Interest	L	T					
212. Las Vegas Q60 (formerly Las Vegas NV GO LT)	B	Interest	K	T					
213. Southern ILL Univ Revs	A	Interest	J	T					
214. North Carolina Infrastru	C	Interest	L	T					
215. Tampa FL BH3 (formerly Tampa FL Hosp SR B)	B	Interest	L	T					
216. Vermont St DR3 (formerly Vermont ST Pub Pwr Sply)	B	Interest	L	T					
217. Philadelphia PA WLL (formerly Philadelphia PA Wstewtr SR C)	A	Interest	J	T					
218. Connecticut ST JU7 (formerly Connecticut St for Issue SR E)	C	Interest	L	T					
219. Univ IL CP8 (formerly Univ IL Brd Trust EES CT)	A	Interest	J	T					
220. Daytona Beach CC9 (formerly Daytona Beach FL Util Due 110127)	D	Interest	M	T					
221. Miami FL HU2 (formerly Miami FL Special Oblig)	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. North TEX TWY Auth Rev SER A	A	Interest	K	T					
223. Will Co KL8 (formerly Will Co IL Cmnty Hsd NO SR A)	B	Interest	K	T					
224. Maryland St LSO (formerly Maryland St Cmnty Dev)	A	Interest	K	T					
225. Miami Dade Cnty QU2 (formerly Miami Dade Cnty Fla Sch Partn)	A	Interest	J	T					
226. Indiana Mun Pwr 2M2 (formerly Indiana Mun Pwr Agy Pwr SR A)	A	Interest	K	T					
227. NJ ECO DV UTH Due Due 030124	A	Interest	K	T					
228. Onondaga Cnty NY Indl	B	Interest	K	T					
229. Kansas City Mo SPL OBLIG SR B	D	Interest	M	T					
230. Texas ST LW7 (formerly Texas St SR A)	C	Interest	L	T					
231. South Jersey Port Corp M RV	B	Interest			Redeemed	11/11/16	J	A	
232. New York St Twy Auth St SR A	D	Interest	M	T					
233. New Jersey Trans Trust SR AA	C	Interest	L	T					
234. Atlanta GA Pub Safety & Judicial Rev	A	Interest			Redeemed	12/01/16	J		
235. New Jersey St Tok Auth SER A	B	Interest	K	T					
236. Victoria TX ISD	A	Interest	K	T					
237. Louisiana St Gas & Fuels FSA SR A	A	Interest			Redeemed	05/02/16	J		
238. Pennsylvania St Tpk SR A-1	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Univ Pittsburgh SR B RV	B	Interest	K	T					
240. Connecticut St Gen SER C	D	Interest	M	T					
241. Spring Tex Indpt Sch Dist	A	Interest	J	T					
242. Fort Bend Cnty TX LTD	A	Interest	K	T					
243. Miami-Dade Cnty FL Edl Amtac Sr A (formerly Miami Dade Cnty FL Edl)	C	Interest	L	T					
244. Connecticut ST Health & Rev NPFG	A	Interest	K	T					
245. Palm Beach Cnty FL Sch Brd	A	Interest	J	T					
246. Univ IL Brd Trust EES CX1 (former Univ IL Brd Trust EES Pre-Ref)	A	Interest	J	T					
247. Pennsylvania St SR A	B	Interest	L	T					
248. Alabama St Pub Sch & Clge	B	Interest	K	T					
249. New Haven Connecticut GO SER 08A	A	Interest	K	T					
250. Texas St Univ Sys KQ6 (formerly Texas ST Univ Sys FING R)	B	Interest	K	T					
251. Oak Island NC Enterprise Asur	A	Interest	J	T					
252. Mobile Ala Master Ambac	A	Interest			Redeemed	03/02/16	K	A	
253. Oregon ST Elderly & Disabled	A	Interest			Redeemed	11/30/16	J	A	
254. South Jersey Port Corp RV	A	Interest			Redeemed	11/11/16	J	A	
255. Texas St SR A CT6	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. Univ IL UNV NATIO REVS	A	Interest			Redeemed	04/01/16	J	A	
257. New Jersey ST CTFS Partn SER A	A	Interest	K	T					
258. Torrance Calif HP RV TOR	B	Interest	K	T					
259. Connecticut St Health & Edl SER J	A	Interest	K	T					
260. Gulfport MS Hosp REV	B	Interest			Redeemed	07/05/16	K	A	
261. Maine Health & Higher ED	A	Interest	J	T					
262. NYS Dorm AU REVS Non St	B	Interest			Redeemed	07/01/16	J		
263. Cucamonga VY CA Wtr SER A	C	Interest	L	T					
264. Palm Beach Co Fla Hsg SR A	A	Interest	J	T					
265. Miami-Dade Cnty Fla Spl SR A	B	Interest	L	T					
266. Orlando Orange Cnty FL	B	Interest	K	T					
267. Orange Cnty FL Transit	A	Interest			Redeemed	10/01/16	J	A	
268. Greenwood SC 50 Sch FAC	A	Interest	J	T					
269. Hialeah Fla Hsg Auth REV	A	Interest	K	T					
270. Mass St Wtr Res Au SR B	A	Interest	K	T					
271. Blackrock Municipal BKK (formerly Blackrock Municipal 2020 Term)	A	Dividend	K	T					
272. Blackrock Munivest MVT (formerly Blackrock Munivest Fund II)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. Blackrock Municipal Income BFI (formerly Blackrock Municipal Income)	B	Dividend	K	T					
274. Invesco High Yield Municipal Fund	D	Dividend	M	T					
275. Aegon AEH (formerly Aegon N V PRP CP SEC)	B	Dividend	K	T					
276. Polen Growth Fund Class I		None			Sold	02/23/16	J	A	
277. Technology Sector Spdr Trust		None			Sold	02/25/16	J	A	
278. UBS Bank USA DEP	A	Interest	J	T					
279. Nuveen Real Asset Income Fund Class C		None	J	T					
280. First Eagle Global Fund Class C		None	J	T					
281. American Century Equity Income		None	J	T					
282. Pimco Income Fund Class C		None	J	T					
283. Alliance Bornstein High Income Muni	A	Dividend			Sold	02/23/16	K	A	
284. Vodafone Group PLC	A	Dividend			Sold	02/25/16	J	A	
285. American bn Lndn Inc	A	Dividend			Sold	02/23/16	J	A	
286. Energy Sector Spdr Trust		None			Sold	02/25/16	J	A	
287. First Eagle Overseas Fund Class I		None			Sold	02/23/16	J	A	
288. Financial Sector Spdr Trust ETE		None			Sold	02/25/16	J	A	
289. Amazon Com Inc		None	J	T	Buy	01/29/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Apple Inc	A	Dividend	K	T	Buy (add'l)	01/29/16	J		
291. Boeing Company	A	Dividend	J	T	Buy	01/29/16	J		
292. UNTD Technologies Corp	A	Dividend	J	T	Buy	01/29/16	J		
293. University TEX PERM UNIV FD SER A (X)	C	Interest	M	T					
294. Roseville MICH CMNTY SCHS RFDG (X)	A	Interest			Redeemed	05/02/16	J	A	
295. Lincoln MI CONS S'D RFDG GO (X)	A	Interest			Redeemed	05/18/16	J	A	
296. Hillsborough CNTY FLA SCH BRD CTFS (X)	A	Interest			Redeemed	07/01/16	K	A	
297. Truckee Meadows NEV WTR AUTH WTR REV (X)	A	Interest			Redeemed	07/01/16	J	A	
298. Southwest Higher ED AUTH INC TEX REV	B	Interest	M	T	Buy	08/03/16	M		
299. Texas A&M UNIV REV	B	Interest	M	T	Buy	08/03/16	M		
300. Round Rock TEX PREREFUNDED RFDG (X)	A	Interest			Redeemed	08/15/16	J		
301. Port Auth NY & NJ RFDG	C	Interest	M	T	Buy	08/16/16	M		
302. College Park GA BUSINESS & INDL DEV AUTH REV RFDG (X)	B	Interest			Redeemed	09/01/16	K		
303. New York NY CALLABLE 253	B	Interest	M	T	Buy	09/12/16	M		
304. Washington St for Issues 2017		None	M	T	Buy	09/13/16	M		
305. New York NY CITY TRANS FIN AUTH REV	A	Interest	L	T	Buy	09/13/16	L		
306. Austin TX CMNTY COLLEGE DIST PUB FAC REV		None	M	T	Buy	09/13/16	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Port ST Lucie FLA UTIL REV RFDG		None	M	T	Buy	09/14/16	M		
308. Pennsylvania ST E57		None	M	T	Buy	09/14/16	M		
309. Miami-Dade CNTY FLA SER A RK8		None	M	T	Buy	09/15/16	M		
310. Houston TEX CMNTY COLLEGE SYS REV	B	Interest	K	T	Buy	09/15/16	M		
311. Arizona ST TRANSN BRD RV		None	M	T	Buy	09/16/16	M		
312. Allen TX INDPT SCH DIST RFDG		None	L	T	Buy	09/20/16	M		
313. New York ST DORM AUTH ST SRB RV D46		None	M	T	Buy	09/20/16	M		
314. Indiana ST FIN AUTH WASTEWATER UTIL REV	A	Interest	M	T	Buy	09/20/16	M		
315. University HI SER E RV	C	Interest	M	T	Buy	09/21/16	M		
316. Texax WTR DEV BRD REV		None	M	T	Buy	09/21/16	M		
317. Honolulu Hi Cnty -B SER B		None	M	T	Buy	09/21/16	M		
318. New York ST URBAN DEV SR A RV		None	M	T	Buy	09/22/16	M		
319. San Antonio TX WTR Syst SR B	C	Interest	M	T	Buy	09/22/16	M		
320. Lake Dallas TEX ISD Perma		None	M	T	Buy	09/26/16	M		
321. Cameron CNTY TEX ISD Perma		None	M	T	Buy	09/30/16	M		
322. UNIV MI UNV RV		None	M	T	Buy	10/04/16	M		
323. Key West FLA UTIL bRD ELEC REV (X)	A	Interest			Redeemed	10/04/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. North Harris CNTY TX WTR AU REV	B	Interest	M	T	Buy	10/17/16	M		
325. Fort Myers FLA VA1 (X)	A	Interest			Redeemed	12/01/16	J	A	
326. Fort Myers FLA VC7 (X)	A	Interest			Redeemed	12/01/16	J	A	
327. Dallas TX QS8 (X)	A	Interest			Redeemed	12/01/16	J	A	
328. Dallas TX RF5 (X)	A	Interest			Redeemed	12/01/16	J	A	
329. Bexar CNTY TX XW5		None	L	T	Buy	12/01/16	L		
330. Mendocino Calif Coast AQ5 (X)	A	Interest			Redeemed	12/20/16	J	A	
331. Houston TX Util GU5	C	Interest	M	T	Buy	10/13/16	M		
332. Texas ST for Issues Q44	C	Interest	M	T	Buy	09/21/16	M		
333. LA CA Cmnty Redev AV1	A	Interest			Redeemed	11/21/16	J	A	
334. New York NY for Previous A-1 FC8		None	M	T	Buy	09/27/16	M		
335. Illinois ST SER A MWD (X)	B	Interest			Redeemed	12/02/16	K		
336. Pennsylvania St TPK SQ3	A	Interest	M	T	Buy	12/03/16	M		
337. Illinois St Amboc (X)	A	Interest			Redeemed	12/02/16	J	A	
338. Washington St for Issues SR C		None	M	T	Buy	10/20/16	M		
339. Pennsylvania St TPK Comm Build AT BAM	C	Interest	M	T	Buy	10/20/16	M		
340. Mi Mun Bd AV Clean Water (X)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. OK Muni Pur Au Pwr Supp (X)	A	Interest	J	T					
342. Frederick Md Mtg RV RFDG (X)	A	Interest	J	T					
343. New Jersey Health Care F (X)	A	Interest	K	T					
344. City of NY A-1 Z58 (X)	B	Interest	K	T					
345. New York NY For Previous Asur C-1 7A3 (X)	A	Interest	K	T					
346. New York St Dorm Auth RE F68 (X)	B	Interest	L	T					
347. Austin TX Htl Occupony EX1 (X)	A	Interest	K	T					
348. Chicago IL O Hare Intl A (X)	A	Interest	K	T					
349. North TX Twy Auth Sr B Q64 (X)	A	Interest	J	T					
350. Dallas TX Indpt Sch RPO (X)	A	Interest	J	T					
351. Dutchess Cnty Assur RV (X)	A	Interest	J	T					
352. Flat Bluff TX (X)	B	Interest	K	T					
353. Ohio State Sr B Pt7 (X)	D	Interest	M	T					
354. Tanger Factory Outlet Centers Inc (X)	A	Dividend	J	T					
355. Yum China Hldgs Inc (X)	A	Dividend	J	T					
356. Lower Colo Riv Au TX (X)	A	Interest	K	T					
357. Birmingham ALAWtrwks & Ser A (X)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. Richmond VA Pub Util Rev (X)	A	Interest	J	T					
359. Aldine TX Indpt Sch (X)	A	Interest	K	T					
360. Deer Park TX ISD (X)	B	Interest	K	T					
361. Pearland TX Cert Oblig (X)	A	Interest	J	T					
362. Illinois ST 4FZ (X)	C	Interest	J	T					
363. Miami-Dade Cnty FLA RV FV8(X)	A	Interest	J	T					
364. Miami-Dade Cnty FLA Spl MNO (X)	A	Interest	K	T					
365. Elyria Ohio City Sch Dist Syn (X)	A	Interest	K	T					
366. Washington St Ser B & AT-7 (X)	A	Interest	J	T					
367. Metro Atlanta Rapid Trans GA Tax (X)	A	Interest	K	T					
368. Titus Co TX Asur (X)	A	Interest	J	T					
369. El Monte CA UN High Sch Assur SR A (X)	B	Interest	K	T					
370. Marion Co FL Pub SrA Assur (X)	A	Interest	J	T					
371. Broward Cnty Fl Sch Brd YU5 (X)	A	Interest	J	T					
372. Chester Co PA Pref (X)	A	Interest	J	T					
373. Maryland St for Issues D SrB (X)	A	Interest	K	T					
374. Marysville CA JT Uni Agr (X)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 26 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

375. Oange Cnty FL Sch Brd NSO (X)	A	Interest	J	T					
376. Texas St Technical Colle Assur CB6 (X)	A	Interest	J	T					
377. Texas St Technical Colle Assur C65 (X)	B	Interest	L	T					
378. New York St Dorm Auth AY7 (X)	A	Interest	J	T					
379. Miami-Dade Cnty Fl Aria WT1 (X)	A	Interest	K	T					
380. Univ CT Genl Oblg SR A (X)	A	Interest	K	T					
381. Harris Co TX Hlth JC9 (X)	A	Interest	J	T					
382. Rhode IS St Econ DV Corp (X)	A	Interest	J	T					
383. Michigan Mun BD Auth (X)	A	Interest	K	T					
384. Columbia SC Wtrwks Swr Sr A (X)	A	Interest	K	T					
385. Massachusetts St Dev Fin B-s (X)	B	Interest	L	T					
386. New Jersey Health Care Radia (X)	A	Interest	J	T					
387. Illinois HSG Dev Au Mult (X)	A	Interest	J	T					
388. Oklahoma Agric & Mechani (X)	A	Interest	K	T					
389. Louisiana St Transn Auth SrA (X)	D	Interest	N	T					
390. Tennessee St SrA (X)	A	Interest	K	T					
391. Will Cnty IL Cmnty RC4 (X)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 27 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. Southwind HSG Inv Evnsvl (X)	A	Interest	J	T					
393. North Carolina Estn Mun RV Radia (X)	A	Interest	J	T					
394. New York NY for Previous D-1 3FS (X)	A	Interest	K	T					
395. New Jersey Hlth Care FAC (X)	B	Interest	K	T					
396. Honolulu HI Wstewtr Cnty Rev (X)	A	Interest	K	T					
397. Glendale AZ Transn B26 (X)	A	Interest	J	T					
398. Manatee Cnty FL Sch Natio (X)	A	Interest	K	T					
399. Broward Cnty FI Sch Brd Ser A TYO (X)	A	Interest	J	T					
400. Broward Cnty FL Sch Brd Ser A TE4 (X)	A	Interest	J	T					
401. Orange Cnty FL Sch Brd MF9 (X)	A	Interest	J	T					
402. Orange Cnty FL Sch Brd LU7 (X)	A	Interest	J	T					
403. Temple TX Util Sys (X)	A	Interest	K	T					
404. Massachusetts St Sch Ambac FF5 (X)	A	Interest	J	T					
405. Sacramento CA Area FGIC Sr A (X)	A	Interest	J	T					
406. Miami-Dade Cnty Fla Aviation RV2 (X)	C	Interest	L	T					
407. NewYork NY for Previa 6V8 (X)	A	Interest	J	T					
408. Philadelphia PA Gas Wks (X)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 28 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. New York NY For Previa 385 (X)	A	Interest	K	T					
410. North TX Twy Auth PWO (X)	B	Interest	L	T					
411. New Haven Connecticut Co XZ6 (X)	A	Interest	J	T					
412. Texas St LCL (X)	A	Interest	J	T					
413. Miami-Dade Cnty Fla VAO (X)	A	Interest	J	T					
414. Miami-Dade Cnty Fla Sch UX1 (X)	A	Interest	J	T					
415. Miami-Dade Cnty FL UY9 (X)	C	Interest	J	T					
416. Wisconsin St Ser C (X)	A	Interest	J	T					
417. Broward Cnty Fl Sch VK7 (X)	A	Interest	J	T					
418. Metro Atlanta Rapid Tran PH1 (X)	A	Interest	J	T					
419. New Jersey ST Edl Facs A P27 (X)	A	Interest	J	T					
420. Wayne St Univ Mi Univ W44 (X)	A	Interest	J	T					
421. St of WA GO SrE GE4 (X)	A	Interest	K	T					
422. St of WA GO Sr E GM6 (X)	A	Interest	K	T					
423. Connecticut St for Issue Sr A RBO (X)	A	Interest	K	T					
424. Albany Daugherty AB6 (X)	A	Interest							
425. Univ HI Brd Reg Sr E JP2		None	K	T	Buy	12/13/16	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 29 of 38

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. Texas St Univ Sys TV6		None	L	T	Buy	12/13/16	L		
427. Harris Co TX Hlth TD7 (X)	A	Interest	J	T					
428. Albany Dougherty AA8 (X)	A	Interest	J	T					
429. New Jersey St EG1 (X)	B	Interest	K	T					
430. Beaumont Unified DG6 (X)	A	Interest	J	T					
431. NJ ECO DV WS8 (X)	A	Interest	K	T					
432. Orange Cnty FL Health AY1 (X)	A	Interest	K	T					
433. Oregon St Dept CT9 (X)	A	Interest	J	T					
434. Louisiana LDC Govt NG5 (X)	A	Interest	M	T					
435. New Jersey Trans Trust PZ8 (X)	B	Interest	K	T					
436. Univ Houston TX 8M1 (X)	A	Interest	J	T					
437. Massachusetts H&E JS1 (X)	B	Interest	K	T					
438. Illinois St K42 (X)	A	Interest	L	T					
439. Livonia MI Pub Sch SUO (X)	A	Interest	K	T					
440. Florida St CB6 (X)	A	Interest	K	T					
441. Harris Co TX Hlth FACS HU7 (X)	A	Interest	J	T					
442. Cleveland OH Income KGO (X)	A	Interest	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. Austin TX EL2 (X)	A	Interest	J	T					
444. Chi IL MVO (X)	A	Interest	J	T					
445. New Jersey Trans QA2 (X)	A	Interest	J	T					
446. North TX Twy TB2 (X)	A	Interest	J	T					
447. Douglass Co DF2 (X)	A	Interest	K	T					
448. Dallas TX RS4 (X)	A	Interest	K	T					
449. South Broward FL HP1 (X)	A	Interest	J	T					
450. Univ CA HT5 (X)	B	Interest	K	T					
451. Lincoln St Hlth SU1 (X)	A	Interest	K	T					
452. Sacramento Cnty CA JD5 (X)	A	Interest	K	T					
453. Pennsylvania St Tpk CW1 (X)	A	Interest	K	T					
454. Alameda Corridor GN9 (X)	C	Interest	L	T					
455. Miami-Dade Cnty BW5 (X)	A	Interest	J	T					
456. Riverside CA Elec QQ5 (X)	A	Interest	K	T					
457. New York St TU7 (X)	A	Interest	J	T					
458. Connecticut St Health WC5 (X)	B	Interest	K	T					
459. City of NY NAD (X)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. Broward CO FL Arpt UE6 (X)	C	Interest	L	T					
461. Miami-Dade Cnty FL VX3 (X)	A	Interest	J	T					
462. Houston TX NL6 (X)		None	M	T					
463. Castle Rock CO DD1 (X)	B	Interest	K	T					
464. Idaho Health FACS VJ5 (X)	A	Interest	K	T					
465. Harris CO JE5 (X)	A	Interest	K	T					
466. Miami-Dade Cnty UZ4 (X)	C	Interest	L	T					
467. Dallas Ft Worth SR2 (X)	B	Interest	K	T					
468. Houston TX Util Sys JN8 (X)	A	Interest	J	T					
469. Hamilton Cnty OH YV5 (X)	A	Interest	K	T					
470. Pennsylvania ST TPK GTFO (X)	C	Interest	M	T					
471. New York City X99 (X)	B	Interest	L	T					
472. Laredo TX GX5 (X)	B	Interest	K	T					
473. Franklin Cntty BN6 (X)	B	Interest	K	T					
474. Ohio St Pub DE1 (X)	B	Interest	L	T					
475. Santa Ana CA EU6 (X)	A	Interest	J	T					
476. Baltimore MD TF7 (X)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. Glendale AZ BR4 (X)	A	Interest	J	T					
478. Lorain Co Ohio JD1 (X)	A	Interest	J	T					
479. Mississippi St 7D5 (X)	B	Interest	K	T					
480. Wayne St WU4 (X)	A	Interest	K	T					
481. Illinois Fin GX1 (X)	A	Interest	J	T					
482. Atlanta GA Arpt RSQ (X)	A	Interest	K	T					
483. Amgen Inc	A	Dividend	J	T	Buy	11/08/16	J		
484. Bristol Myers Squibb CO		None	J	T	Buy	11/08/16	J		
485. CVS Health Corp		None	J	T	Buy	11/08/16	J		
486. Alphabet Inc CL A		None	J	T	Buy	11/08/16	J		
487. Exxon Mobil Corp		None	J	T	Buy	11/08/16	J		
488. Gen Electric CO		None	J	T	Buy	11/08/16	J		
489. Lockheed Martin Corp	A	Dividend	J	T	Buy	11/08/16	J		
490. Mondelez Intl Inc		None	J	T	Buy	11/08/16	J		
491. Metlife Inc		None	J	T	Buy	11/08/16	J		
492. Total SA Symbol TOT		None	J	T	Buy	11/08/16	J		
493. United Parcel Service Symbol UPS	A	Dividend	J	T	Buy	11/08/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. Ferrari NY Symbol RACE (X)	A	Dividend			Sold	11/08/16	J	A	
495. NB Strategic Co (2)		None	K	T	Buy	01/28/16	J		
496.					Buy (add'l)	03/22/16	J		
497.					Buy (add'l)	05/24/16	J		
498.					Buy (add'l)	10/05/16	J		
499.					Buy (add'l)	12/22/16	J		
500. UBS Bank USA Dep Acct (X)		None	M	T					
501. UBS AG Deposit Account (X)		None	O	T					
502. JP Morgan Strategic Income	A	Dividend	K	T	Buy	02/23/16	K		
503. Blackrock Stratetgic Income I	A	Dividend	K	T	Buy	02/23/16	K		
504. Sterling Capitol Ultra Short Bond Fund	A	Dividend	L	T	Buy	02/22/16	L		
505. Blackrock Global Long Short Equity		None	J	T	Buy	02/23/16	J		
506. Thornburg Limited Term Municipal	A	Dividend	K	T	Buy	02/23/16	K		
507. Amgen Inc AMGN	A	Dividend	J	T	Buy	02/25/16	J		
508. Boeing Company BA	A	Dividend	J	T	Buy	02/25/16	J		
509. British Amer BTI	A	Dividend	J	T	Buy	02/25/16	J		
510. Colgate CL	A	Dividend	J	T	Buy	02/25/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. Alphabet Inc GOOGL		None	J	T	Buy	02/25/16	J		
512. Crane Co CR	A	Dividend	J	T	Buy	02/25/16	J		
513. Duagei PLC DEO	A	Dividend	J	T	Buy	02/25/16	J		
514. Genl Electric GE	A	Dividend	J	T	Buy	02/25/16	J		
515. Home Depot Inc HD	A	Dividend	J	T	Buy	02/25/16	J		
516. Illinois Tool ITW	A	Dividend	J	T	Buy	02/25/16	J		
517. Intel Corp INTL	A	Dividend	J	T	Buy	02/25/16	J		
518. Johnson Controls JCI	A	Dividend	J	T	Buy	02/25/16	J		
519. Lockheed Martin LMT	A	Dividend	J	T	Buy	02/25/16	J		
520. Microsoft Corp MSFT	A	Dividend	J	T	Buy	02/25/16	J		
521. Mondelez MDLZ	A	Dividend	J	T	Buy	02/25/16	J		
522. Medtronic MDT	A	Dividend	J	T	Buy	02/25/16	J		
523. Nordstrom JWN	A	Dividend	J	T	Buy	02/25/16	J		
524. Novartis NVS	A	Dividend	J	T	Buy	02/25/16	J		
525. Nextero NEE	A	Dividend	J	T	Buy	02/25/16	J		
526. Occidental OXY	A	Dividend	J	T	Buy	02/25/16	J		
527. Pepsico Inc PEP	A	Dividend	J	T	Buy	02/25/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. Proctor & Gamble PG	A	Dividend	J	T	Buy	02/25/16	J		
529. Rockwell Automation ROK	A	Dividend	J	T	Buy	02/25/16	J		
530. Invesco IVZ	A	Dividend	J	T	Buy	02/25/16	J		
531. Texas Instruments TXN	A	Dividend	J	T	Buy	02/25/16	J		
532. Union Pacific Corp UNP	A	Dividend	J	T	Buy	02/25/16	J		
533. Total SA France TOT	A	Dividend	J	T	Buy	02/25/16	J		
534. United Parcel Service UPS	A	Dividend	J	T	Buy	02/25/16	J		
535. United Technologies UTX	A	Dividend	J	T	Buy	02/25/16	J		
536. VF Corp VFC	A	Dividend	J	T	Buy	02/25/16	J		
537. YUMI Brands YUM	A	Dividend	J	T	Buy	02/25/16	J		
538. Blackrock Global Long/Short Credit		None			Buy	02/23/16	K		
539.					Sold	08/15/16	J	A	
540. Blackrock BDMIX		None			Buy	02/23/16	J		
541.					Sold	08/15/16	J	A	
542. Nuveen Short Duration NVHIX	A	Dividend	K	T	Buy	02/22/16	J		
543.					Buy (add'l)	08/12/16	J		
544. Thornburg Limited Term Municipal		None	K	T	Buy	02/23/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545.					Buy (add'l)	08/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Multiple previously unreported assets were distributed to the reporter from the no-longer reportable assets: Africk Investments, Inc and Africk Family Investments, LP.

2. Multiple previously unreported assets were inherited.

* Amended report

3. Assets listed on the initial 2016 FDR as 286, 292 were deleted as they were determined to be duplicates of the asset listed as 496. NB Strategic Co (2) on the initial 2016 FDR.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **LANCE M. AFRICK**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544