

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jackson, Richard B.	2. Court or Organization UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLORADO	3. Date of Report 05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address US Courthouse 901 19th St Denver CO 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	STATE OF COLORADO	\$66,063.52
2. 2012	PERA STATE OF COLORADO	\$21,970.60
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	SELF-EMPLOYED TRAVEL AGENT
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. -VANGUARD VALUE VIPERS	B	Dividend	L	T					
3. -VANGUARD FTSE ALL WORLD EX US INDEX	A	Dividend	K	T					
4. -VANGUARD EXTENDED MKT VIPERS	A	Dividend	K	T					
5. -VANGUARD GROWTH VIPERS	A	Dividend	L	T	Sold (part)	03/01/12	J	B	
6. -VANGUARD EMERGING MKTS ETF	A	Dividend	J	T					
7. -BNY MELLON MUNICIPAL OPPORTUNITIES FUND	A	Dividend	J	T					
8. -BNYMELLON NATIONAL INTERMEDIATE MUNICIPAL BOND FUND	B	Dividend	L	T	Buy (add'l)	02/28/12	J		
9. -BNY MELLON NATIONAL SHORT TERM MUNICIPAL BOND FUND	A	Dividend	K	T	Buy	07/05/12	J		
10. -DREYFUS HIGH YIELD FUND	A	Dividend	J	T					
11. -DREYFUS EMERGING MKTS DEBT LOCAL CURRENCY FD	A	Dividend	J	T					
12. -TCW EMERGING MKTS INCOME FUND	A	Dividend	J	T	Buy	07/08/12	J		
13. -ISHARES MSCI EAFE INDEX FD	A	Dividend	J	T	Buy	06/10/12	J		
14. -ADVANTAGE GLOBAL ALPHA FUND	A	Dividend	J	T	Buy	07/08/12	J		
15. -US TREASURY NOTE DTD 10/2012		Interest			Sold	10/15/12	K		
16. -US TREASURY NOTE 07/13	A	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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17. BROKERAGE ACCOUNT #1									
18. -FED HOME LN MTG CORP	B	Dividend	L	T	Buy (add'l)	10/24/12	L		
19. -FED HOME LOAN BANKS	B	Dividend	L	T					
20. -US TREASURY NT 11/12	B	Interest			Sold	11/30/12	K		
21. -US TREAS INFLATION IND BOND	A	Interest	L	T					
22. -US TREASURY NOTE 6/2016	B	Interest	L	T					
23. -US TREASURY NOTE 12/16	B	Interest	L	T					
24. -US TREASURY NOTE 7/17	A	Interest	L	T					
25. -GEN ELECT CAP CPR 9/17	A	Interest	K	T					
26. -WELLS FARGO CO 5.25% 10/12	B	Interest	K	T					
27. -PFIZER INC	B	Interest	K	T					
28. -GOLDMAN SACHS GROUP INC	B	Interest	K	T					
29. -AT & T INC	B	Interest	K	T					
30. -AIM STIT TREASURY PORTFOLIO	A	Interest	L	T					
31. -SPDR TRUST SERIES 1	D	Dividend	M	T					
32. -ISHARES TR MIDCAP	B	Dividend	L	T					
33. -SPDR DOW JONES INDUSTRIAL AVERAGE	D	Dividend	M			06/22/12	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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34. -ISHARES MSCI EAFE INDX FUND	D	Dividend	M	T	Sold (part)	10/26/12	K		
35. -RYDEX MANAGED FUTURES STRATEGY FD		None	K	T					
36. -ISHARES RUSSELL 1000 INDEX FUND	C	Dividend	M			07/16/12	M	F	
37. -ISHARES TR S & P SMALL CAP 600	A	Dividend			Sold	03/09/12	L		
38. -VANGUARD EMERGING MARKETS ETF	A	Dividend	L	T	Buy (add'l)	02/13/12	J		
39. -FED HOME LN MTG CORP	B	Dividend	M	T					
40. -FED NAT MTG ASSN	B	Dividend	K	T					
41. -HIGHBRIDGE DYNAMIC COMM STRAT FUND 3	A	Dividend	K	T	Buy	07/09/12	K		
42. -US TREAS NOTE 2.125% 5/31/15	B	Interest	L	T	Buy (add'l)	01/12/12	L		
43. -BEAR STEARRNS CO 4.65% 7/2/18	B	Dividend	K	T	Buy	11/2/12	K		
44. -DREYFUS HIGH YIELD 1	B	Dividend	K	T	Buy (add'l)	01/20/12	K		
45. -DREYFUS EMERGING MKTS DEBT LOCAL	A	Dividend	K	T	Buy	07/09/12	K		
46. -TCW EMERGING MARKETS INCME FUND	B	Dividend	K	T	Buy	01/20/12	K		
47. -DREYFUS SELECT MGER SCAP	A	Dividend	L	T	Buy	03/07/12	L		
48. -BNY MELLON INCOME STOCK FUND	C	Dividend	M	T	Buy	06/20/12	M		
49. -BNY MELLONINTL EQUITY INCOME FUND	A	Dividend	K	T	Buy	07/18/12	K		
50. -BANK NY EMERGING MKTS	A	Dividend	K	T	Buy	07/09/12	K		

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51. -VANGUARD EMERGING MKTS ETF	B	Dividend	K	T	Buy	07/16/12	K		
52. -ASG MANAGED FUTURES STRATEGY FD	A	Dividend	K	T	Buy	07/09/12	K		
53. -JOHN DEERE CAPITAL CORP 2% 1/13/17X	B	Dividend	K	T	Buy	08/02/12	K		
54. -AMAZON	A	Dividend	J	T	Buy	07/09/12	J		
55. -AON PLC	A	Dividend	J	T	Buy	07/05/12	J		
56. -COVIDIEN	A	Dividend	J	T	Buy	07/05/12	J		
57. -EATON CORP	A	Dividend	J	T	Buy	07/05/12	J		
58. -INGERSOLL RAND PLC	A	Dividend	J	T	Buy	07/05/12	J		
59. -INVESCO LTD	A	Dividend	J	T	Buy	07/05/12	J		
60. -NXP SEMICONDUCTORS NV	A	Dividend	J	T	Buy	07/05/12	J		
61. -AT AND T INC	A	Dividend	J	T	Buy	07/05/12	J		
62. -AIR PRDS AND CHEMICALS	A	Dividend	J	T	Buy	07/05/12	J		
63. -APPLE INC	A	Dividend	K	T	Buy	07/05/12	K		
64. -ACCENTURE	A	Dividend	J	T	Buy	07/05/12	J		
65. -ASTRAZENECA PLC	A	Interest	K	T	Buy	11/16/12	K		
66. -B BAND T CORP	A	Dividend	J	T	Buy	07/05/12	J		
67. -BROADCOM CORP	A	Dividend	J	T	Buy	10/02/12	J		

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68. -CARNIVAL CORP	A	Dividend	J	T	Buy	07/05/12	J		
69. -CATERPILLAR INC	A	Dividend	J	T	Buy	07/05/12	J		
70. -CATERPILLAR INC 2.6% 6/22	A	Interest	K	T	Buy	11/16/12	K		
71. -CELANESE CORP SERIES a	A	Dividend	J	T	Buy	07/05/12	J		
72. -CENTERPOINT ENERGY INC	A	Dividend	J	T	Buy	10/02/12	J		
73. -CHEVRON CORP	A	Dividend	J	T	Buy	07/05/12	J		
74. -COCA COLACO	A	Dividend	J	T	Buy	07/05/12	J		
75. -COMCAST CORP	A	Dividend	J	T	Buy	07/05/12	J		
76. -COSTCO	A	Dividend	J	T	Buy	07/05/12	J		
77. -WALT DISNEY	A	Dividend	J	T	Buy	07/05/12	J		
78. -DOVER CORP	A	Dividend	J	T	Buy	07/05/12	J		
79. -EMC CORP MASS	A	Dividend	J	T	Buy	07/05/12	J		
80. -EXPRESS SCRIPTS HOLDINGS INC	A	Dividend	J	T	Buy	07/05/12	J		
81. -EXXON MOBILCORP	A	Dividend	J	T	Buy	07/05/12	J		
82. -FAMILY DR STORES	A	Dividend	J	T	Buy	07/16/12	J		
83. -FREEPORT MCMORAN COOPER AND GOLD	A	Dividend	J	T	Buy	07/05/12	J		
84. -GENERAL ELECTRIC CO	A	Dividend	J	T	Buy	07/05/12	J		

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85. -GILEAD SCIENCES INC	A	Dividend	J	T	Buy	07/05/12	J		
86. -HALLIBURTON CO	A	Dividend	J	T	Buy	07/05/12	J		
87. -HOME DEPOT INC	A	Dividend	J	T	Buy	07/05/12	J		
88. -HONEYWELL INC	A	Dividend	J	T	Buy	07/05/12	J		
89. -JP MORGAN CHASE & CO	A	Dividend	J	T	Buy	07/05/12	J		
90. -JOHNSON CONTROLS IN	A	Dividend	J	T	Buy	07/05/12	J		
91. -LAS VEGAS SANDS CORP	A	Dividend	J	T	Buy	07/05/12	J		
92. -LILLY ELI	A	Dividend	J	T	Buy	08/15/12	J		
93. -MARATHON OIL CORP	A	Dividend	J	T	Buy	07/05/12	J		
94. -MEAD JOHNSON NUTR CO	A	Dividend	J	T	Buy	07/05/12	J		
95. -METLIFE INC	A	Dividend	J	T	Buy	07/09/12	J		
96. -MONDELEZ INTL	A	Dividend	J	T	Buy	10/15/12	J		
97. -OCCIDENTAL PETROLEUM	A	Dividend	J	T	Buy	12/17/12	J		
98. -PNC FINANCIAL SERVICES	A	Dividend	J	T	Buy	07/05/12	J		
99. -PEPSICO INC	A	Dividend	J	T	Buy	07/05/12	J		
100. -PEPSICO INC 3.125% 11/20	A	Interest	K	T	Buy	07/09/12	K		
101. -PFIZER INC	A	Dividend	J	T	Buy	07/09/12	J		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
102. -PHILIP MORRIS INTL	A	Dividend	J	T	Buy	07/05/12	J		
103. -PROCTER AND GAMBLE	A	Dividend	J	T	Buy	07/05/12	J		
104. -QUALCOMM INC	A	Dividend	J	T	Buy	07/05/12	J		
105. -SALESFORCE COM	A	Dividend	J	T	Buy	07/05/12	J		
106. -SCHLUMBERGER LTD	A	Dividend	J	T	Buy	07/05/12	J		
107. -SEMPRA ENERGY	A	Dividend	J	T	Buy	07/05/12	J		
108. -SHIRE PLC	A	Dividend	J	T	Buy	07/05/12	J		
109. -SOUTHWESTERN ENERGY CO	A	Dividend	J	T	Buy	10/02/12	J		
110. -STATE ST CORP	A	Dividend	J	T	Buy	07/05/12	J		
111. -TERADATA CORP	A	Dividend	J	T	Buy	07/05/12	J		
112. -TEVA PHARMA	A	Dividend	J	T	Buy	09/28/12	J		
113. -THE TRAVELERS COMPANIES	A	Dividend	J	T	Buy	07/09/12	J		
114. -US BANCORP	A	Dividend	J	T	Buy	07/05/12	J		
115. -IBM	A	Dividend	J	T	Buy	07/05/12	J		
116. -UNION PAC	A	Dividend	J	T	Buy	07/05/12	J		
117. -UNITED TECHNOLOGIES	A	Dividend	J	T	Buy	07/05/12	J		
118. -VALERO ENERGY	A	Dividend	J	T	Buy	07/05/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Jackson, Richard B.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. -VERIZON COMM	A	Dividend	J	T	Buy	07/05/12	J		
120. -VERIZON COMM INCL 3.55 11/21	A	Interest	K	T	Buy	11/16/12	K		
121. -WATSON PHARM	A	Dividend	J	T	Buy	07/05/12	J		
122. -WELLS FARGO AND CO	A	Dividend	J	T	Buy	07/05/12	J		
123. -YAHOO	A	Dividend	J	T	Buy	11/08/12	J		
124. -YUMI BRANDS	A	Dividend	J	T	Buy	07/05/12	J		
125. 401(k) #1									
126. -PERAdvantage Income Fund	B	Dividend	K	T	Buy (add'l)	10/31/12	K		
127. -PERAdvantage Fixed Income	B	Dividend	K	T	Buy (add'l)	10/31/12	K		
128. -PERAdvantage US Large Cap Stk	B	Dividend	J	T	Buy (add'l)	10/31/12	J		
129. -PERAdvantage Intl Stock Fd	B	Dividend	J	T	Buy (add'l)	10/12/12	J		
130. BROKERAGE ACCOUNT #2									
131. -CHEVRON CORP	B	Dividend	K	T					
132. -EXXON MOBIL	C	Dividend	L	T	Sold (part)	03/09/12	J	D	
133. -AIR PRDTS AND CHEMICALS INC	A	Dividend	K	T					
134. -GENERAL ELECTRIC CO	A	Dividend	K	T					
135. -UNION PAC CORP	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Jackson, Richard B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. -UNITED TECHNOLOGIES CORP	A	Dividend	K	T					
137. -WALT DISNEY CO	A	Dividend	J	T					
138. -JOHNSON CTLS INC	A	Dividend	J	T					
139. -STARBUCKS CORP	B	Dividend	M	T					
140. -NESTLE S A SPONSORED ADR REPSTG REG	A	Dividend	L	T					
141. -PEPSICO INC	A	Dividend	K	T					
142. -PROCTER & GAMBLE CO	B	Dividend	K	T					
143. -JOHNSON & JOHNSON	B	Dividend	K	T					
144. -PFIZER INC	A	Dividend	J	T					
145. -AON CORP	A	Dividend	J	T					
146. -BB AND T CORP	A	Dividend	J	T					
147. -INVESCO LTD	A	Dividend	J	T					
148. -JP MORGAN CHASE & CO	A	Dividend	J	T					
149. -STATE STREET CORP	A	Dividend	J	T					
150. -US BANCORP	A	Dividend	J	T					
151. -WELLS FARGO AND CO	A	Dividend	J	T					
152. -ACCENTURE PLC	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. -APPLE INC		None	K	T					
154. -GOOGLE INC		None			Sold	03/28/12	J	C	
155. -INTEL CORP	A	Dividend			Sold	12/13/12	J	C	
156. -FLORHAM PARK NJ 8% 2/16	B	Dividend	L	T					
157. -SALESFORCE.COM INC		None	J	T					
158. -SEMPRA ENERGY	A	Dividend	J	T					
159. -WATSON PHARMACEUTICALS INC		Dividend	J	T					
160. -ZIMMER HOLDINGS INC	A	Dividend	J	T					
161. I-SHARES MSCI EAFE INDEX FD	C	Dividend	L	T	Sold (part)	06/09/12	K	C	
162. -ISHARES MSCI EMERGING MARKETS FD	A	Dividend			Sold	06/09/12	K	C	
163. -VANGUARD EXTENDED MARKET VIPERS INDEX FUND	A	Dividend	L	T					
164. -CASTLE PINES NORTH MET DIST CO	C	Interest			Sold	12/01/12	L	A	
165. -THORNTON CO DTD	B	Interest	L	T					
166. -RANGELY CO SCH DIST RE 4	B	Interest	L	T					
167. -SNOWMASS IG CO	B	Interest	L	T					
168. -METROPOLITAN TRANSN AUTH NY	B	Interest	L	T					
169. -METRO WASTEWTR RECLAM DSTR CO	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170. -EL PASO CTY CO REV	B	Interest	L	T					
171. -BNY MELLON MUNICIPAL OPPORTUNITIES	B	Dividend	M	T					
172. -BNY MELLON NATL MUNI MONEY MKT FUND		Dividend	M	T					
173. -TEXAS INSTRUMENTS	A	Dividend			Sold	10/04/12	J	B	
174. -QUALCOMM	A	Dividend	J	T					
175. -TUALATIN HILL OR 6.21	B	Interest	L	T					
176. -DOVER CORP	A	Dividend	K	T					
177. -IBM CORP	A	Dividend	J	T					
178. -PROVO UTAH 4% 1/20	B	Interest	L	T					
179. -EMC CORP MASS		Dividend	J	T					
180. -BEACHWOOD OHIL 12/19	B	Interest	L	T					
181. -BAXTER INTL INC	A	Dividend			Sold	10/10/12	J	B	
182. -VERIZON COMMUNCATIONS	A	Dividend	J	T					
183. -MICROSOFT TECHNOLOGY INC	A	Dividend	J	T	Buy	12/13/12	J		
184. -TEVA PHARM	A	Dividend	J	T	Buy	10/10/12	J		
185. -BROADCOM	A	Dividend	J	T	Buy	10/04/12	J		
186. -AMAZON .COM INC	A	Dividend	J	T	Buy	03/09/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
187. -TERADATA CORP	A	Dividend	J	T	Buy	07/19/12	J		
188. -ADVANTAGE GLOBAL ALPHA FUND	A	Dividend	K	T	Buy	07/19/12	K		
189. -DREYFUS HIGH YIELD FD	A	Dividend	J	T	Buy	07/27/12	J		
190. -DREYFUS EMERGING MKTS DEBT LOCAL CUR FD	A	Dividend	J	T	Buy	07/27/12	J		
191. -TCW EMERGING MKTS INCOME FUND	A	Dividend	J	T	Buy	07/27/12	J		
192. IRA #2									
193. -DREYFUS PR LRG CAP EQ FD	A	Dividend			Sold	07/27/12	L	E	
194. -BNY MELLON INTERM BOND FUND CLASS M	B	Dividend	L	T	Buy (add'l)	04/13/12	J		
195. -DREYFUS PREMIER LTD TERM HIGH YIELD FD	A	Dividend	J	T					
196. -RYDEX MANAGED FUTURES STRAT FD (X)	A	Dividend			Sold	07/25/12	J	A	
197. -AIM STIT TREASURY PORTFOLIO	A	Interest	J	T					
198. -BNY MELLON SMALL MID CAP FUND	A	Dividend	J	T	Buy (add'l)	09/27/12	J		
199. -DREYFUL DIVERSIFIED INTERNATTIONAL FUND	A	Dividend	K	T	Buy (add'l)	08/12/12	K		
200. -DREYFUS EMERGING MKTS DEBT FUND	A	Dividend	J	T	Buy	07/09/12	J		
201. -TCWEMERGING MKTS INCOME FUND	A	Dividend	J	T	Buy	07/09/12	J		
202. -DREYFUS BASIC S AND P 500 STOCK INDEX FD	B	Dividend	L	T	Buy	07/09/12	L		
203. -ASG MANAGED FUTURES STRATEGY FUND	A	Dividend	J	T	Buy	07/09/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
204. -HIGHBRIDGE DYNAMIC COMMODITIES STRATEGY FUND	A	Dividend	J	T	Buy	07/09/12	J		
205. BROKERAGE ACCOUNT #3									
206. -DREYFUS LARGE FUND GROWTH FUND CLASS I	A	Dividend	K	T					
207. BROKERAGE ACCOUNT #4									
208. -AMERICAN STRATEGIC INCOME PORTFOLIO	A	Dividend	K	T					
209. -CIT GROUP INC NEW	A	None	J	T					
210. -GABELLI DIVIDEND & INCOME TRUST	A	Dividend	J	T					
211. -AIM INV INVESCO VAN KAMPEN CORP BOND FD.	B	Dividend	K	T					
212. -AIM INV INVESTCO VAN KAMPEN HIGH YD FD CLASS A	A	Dividend	K	T					
213. -PLUM CREEK TIMBER CO INC	A	Dividend	J	T	Buy	03/09/12	J		
214. -QWEST CORP PREFERRED 7/5% 9/15/16	A	Interest	J	T	Buy	03/09/12	J		
215. -WELLSFARGO WEALTH BUILDER MODERATE BALANCE FUND	A	Dividend	K	T					
216. -CIT GROUP INC NEW 5/14	A	Dividend			Redeemed	01/23/12	J		
217. -CIT GROUP INC NEW 5/15	A	Dividend			Redeemed	01/23/12	J		
218. -CIT GROUP INC NEW 5/16	A	Dividend			Redeemed	03/09/12	J		
219. -CIT GROUP INC NEW 5/17	A	Dividend			Redeemed	03/09/12	J		
220. -GENERAL ELEC CAPITAL CORP MID TERM NOTE	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
221. -WELLS FARGO BANK (VARIOUS ACCOUNTS)	A	Interest	K	T					
222. TRUST #1									
223. -SPDR S AND P 500 FD	A	Dividend	K	T					
224. -SPDR DOW JONES INDUSTRIAL AVER TRUST	A	Dividend	K	T					
225. -ISHARES MSCI EAFE INDEX FD	A	Dividend			Sold	06/12/12	J	B	
226. -I-SHARES MSCI EMERGING MKTS FD	A	Dividend			Sold	6/12/12	J	A	
227. -VANGUARD EXTENDED MKT VIPERS INDX FD	A	Dividend	K	T					
228. VANGUARD EMERGING MKTS FUND	A	Distribution	J	T	Buy	06/09/12	J		
229. -BNY MELLON NATL INTER MUN BD FUND	A	Dividend	L	T					
230. -BNY MELLON NATL MUNI MONEY MKT FD	A	Dividend	J	T					
231. -BNY MELLON NAT S/T MIN BD FUND	A	Dividend	J	T	Buy (add'l)	06/09/12	J		
232. -DREYFUS HIGH YIELD FD	A	Dividend	J	T	Buy	06/09/12	J		
233. -DREYFUS EMERGING MKT DEBT LOCAL FD	A	Dividend	J	T	Buy	06/09/12	J		
234. TCW EMERGING MKTS INCOME FD	A	Distribution	J	T	Buy	06/11/12	J		
235. TRUST #2									
236. -SPDR S&P500ETF(fnaSPDR TRust series I)	B	Dividend	L	T					
237. -SPDR DOW JONES INDUSTRIAL AV TRUST	B	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

238. -ISHARES MSCI EAFE INDX FD	A	Dividend	K	T	Sold (part)	07/20/12	J		
239. -ISGARES MSCI EMERGING MKTS INDX FD	A	Dividend			Sold	07/12/12	J	A	
240. -VANGUARD EXTENDED MTK VIPERS	A	Dividend	K	T					
241. -COLO ST BD GOVERNORS UNIV ENT	B	Interest			Sold	08/04/12	L	A	
242. -BNY MELLON INT MUN BD FD	C	Dividend	M	T	Buy (add'l)	06/09/12	J		
243. -US TREASURY NOTE 7/12	A	Interest			Sold	07/15/12	K	A	
244. -BNY MELLONNATL MUNI MONEY MKT FD	A	Dividend	K	T	Buy (add'l)	08/06/13	K		
245. -TCWEMERGING MKTS INCOME FUND	A	Dividend	J	T	Buy	06/09/13	J		
246. -DREYFUS HIGH YIELD FUND	A	Dividend	J	T	Buy	06/09/13	J		
247. -DREYFUS EMERGING MKTS DEBT LOCAL CURRENCY FD	A	Dividend	K	T	Buy	06/09/13	J		
248. -VANGUARD EMERGING MKTS FUND	A	Distribution	J	T	Buy	06/09/13	J		
249. -BNYMELLON NATIONAL SHORT TERM MUN FUND	A	Dividend	K	T	Buy (add'l)	08/06/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Richard B. Jackson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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