

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Silverstein, Laurie S.	2. Court or Organization U.S. Bankruptcy Court, Delaware	3. Date of Report 10/06/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge -Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States Bankruptcy Court 824 Market Street Wilmington, Delaware 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Planned Parenthood of Delaware
2.	Director	The Milton & Hattie Kutz Home, Inc.
3.	Director	Delaware Bar Foundation
4.	Officer	Watersfield Homeowners Association
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1/1/2014	Amended and Restated Limited Liability Partnership Agreement of Potter Anderson & Corroon LLP, a Delaware limited liability partnership
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Silverstein, Laurie S.

Date of Report

10/06/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Potter Anderson & Corroon LLP, retirement benefit (gross)	\$146,352.60
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	The Pennsylvania State University, salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. IWIRC/TMA	3/24/2016 to 3/25/2016	Atlanta, Georgia	Speaking engagement	Transportation, Lodging, Food
2. NCBJ Legislative Committee	4/26/2016 to 4/27/2016	Washington, D.C	NCBJ Legislative Committee visit to Congress	Transportation, Lodging, Food
3. Federal Judicial Center	6/29/2016 to 7/1/2016	Philadelphia, Pennsylvania	Educational conference	Transportation, Lodging, Food
4. American Bankruptcy Institute	8/4/2016 to 8/5/2016	Cambridge, Maryland	Speaking engagement/conference	Transportation, Lodging, Food
5. American Bankruptcy Institute	10/7/2016	Washington, D.C.	Speaking engagement	Transportation

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting	Date of Report
Silverstein, Laurie S.	10/06/2017

6.	National Conference of Bankruptcy Judges	10/25/2016 to 10/28/2016	San Francisco, California	NCBJ Annual Conference	Transportation, Lodging, Food
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FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Silverstein, Laurie S.

Date of Report

10/06/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Smugglers Notch Resort	Condo Fee	J
2.	Hartefeld Natrional Golf Club	dues	J
3.	Gettysburg College	tuition	L
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Silverstein, Laurie S.

Date of Report

10/06/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. PNC Bank account	A	Interest	N	T					
2. Artisans' Bank account	B	Interest	N	T					
3. WSFS Bank accounts	A	Interest	N	T					
4. M&T Bank accounts	A	Interest	N	T					
5. Bank of America account	A	Interest	M	T					
6. TD Bank student account		None	J	T					
7. Smugglers Notch Resort	D	Rent	M	T					
8. Pennsylvania 529 Guaranteed Savings Plan	D		M	T	Distributed	12/19/16	K		
9. PAC Retirement Fund -- Vanguard Prime Money Market	A	Int./Div.	J	T					
10. PAC Retirement Fund -- Vanguard Wellington Fund Admiral Shares	E	Dividend	O	T					
11. PAC Retirement Fund -- Vanguard Windsor II Fund Investor Shares	F	Dividend	O	T					
12. PAC Retirement Fund -- FAIRX	D	Dividend	L	T					
13. PAC Retirement Fund -- LLPFX		None			Sold	02/11/16	L		
14. PAC Retirement Fund -- LLINX	A	Dividend	K	T					
15. PAC Retirement Fund DEFIX	D	Dividend	L	T					
16. PAC Retirement Fund -- TAVIX		None			Sold	03/01/16	K		
17. PAC Retirement Fund -- TAVFX		None			Sold	03/01/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Silverstein, Laurie S.

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. PAC Retirement Fund -- WGRNX	D	Dividend	L	T					
19. PAC Retirement Fund -- Vanguard Target Retirement 2010 Fund	C	Dividend	M	T	Buy	05/27/16	M		
20. PBAXX	A	Dividend			Merged (with line 21)	09/29/16	J		
21. RBC Bank Deposit Program		None	L	T					
22. FPACX	A	Dividend	J	T	Buy	07/05/16	J		
23.					Buy (add'l)	12/21/16	J		
24.					Sold (part)	01/13/16	J	A	
25.					Sold (part)	06/16/16	J	A	
26. PRWCX	A	Dividend	J	T	Buy	06/17/16	J		
27.					Buy (add'l)	12/15/16	J		
28.					Sold (part)	01/13/16	J		
29. IVWIX	A	Dividend	J	T	Buy	01/13/16	J		
30.					Buy (add'l)	06/17/16	J		
31.					Buy (add'l)	12/16/16	J		
32. WARDX		None			Sold (part)	01/13/16	J		
33.					Sold	06/17/16	J		
34. TRMXX		None			Merged (with line 21)	03/22/16	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Silverstein, Laurie S.

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35.					Merged (with line 21)	03/23/16	J		
36. AEP	B	Dividend	K	T					
37. BCE	A	Dividend			Sold	01/12/16	K		
38. EPD	A	Dividend	K	T					
39. HCN	B	Dividend	K	T					
40. MCHP	A	Dividend			Sold	05/10/16	K	E	
41. MO	B	Dividend	K	T					
42. MRK	B	Dividend	K	T					
43. SGIHX		None			Sold	01/13/16	J		
44. ARTKX	C	Dividend	L	T	Buy	01/29/16	L		
45.					Buy (add'l)	02/01/16	J		
46. MADVX	A	Dividend			Buy	01/22/16	L		
47.					Buy (add'l)	05/10/16	J		
48.					Sold	06/14/16	L	D	
49. FMIHX	D	Dividend	M	T	Buy	01/29/16	M		
50.					Buy (add'l)	02/01/16	J		
51. NSEIX	C	Dividend	L	T	Buy	01/22/16	K		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Silverstein, Laurie S.

Date of Report

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52.					Buy (add'l)	05/10/16	J		
53. IWB	A	Dividend			Sold	01/29/16	N		
54. EPSYX	A	Dividend	K	T	Buy	01/22/16	K		
55.					Buy (add'l)	05/10/16	J		
56. POSKX	D	Dividend	M	T	Buy	01/29/16	K		
57. TIBIX	B	Dividend	K	T	Buy	01/22/16	K		
58.					Buy (add'l)	05/10/16	J		
59. VFIAX	D	Dividend	N	T	Buy	01/29/16	M		
60.					Buy (add'l)	02/01/16	J		
61. VTMGX	C	Dividend	L	T	Buy	01/29/16	K		
62. FDL		None			Sold	01/22/16	M		
63. AMVFX	A	Dividend	J	T	Buy	06/17/16	J		
64.					Buy (add'l)	09/15/16	J		
65.					Buy (add'l)	12/21/16	J		
66. OAKBX	A	Dividend	J	T	Buy	01/13/16	J		
67.					Buy (add'l)	11/29/16	J		
68.					Sold (part)	06/17/16	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Silverstein, Laurie S.

Date of Report

10/06/2017

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69. VBIAX	A	Dividend	J	T	Buy	01/13/16	J		
70.					Buy (add'l)	03/17/16	J		
71.					Buy (add'l)	06/17/16	J		
72.					Buy (add'l)	09/19/16	J		
73.					Buy (add'l)	12/27/16	J		
74. VEIRX	C	Dividend	L	T	Buy	01/22/16	K		
75.					Buy	05/10/16	J		
76. RAI	A	Dividend	K	T					
77. CD -- Mizrahi Tefahot Bank		None			Buy	06/24/16	L		
78.					Redeemed	12/27/16	L		
79. CD -- Wells Fargo Bank NA		None	L	T	Buy	06/17/16	L		
80. CD -- Wells Fargo Bank NA		None	L	T	Buy	06/17/16	L		
81. CD -- CIT Bank NA		None	L	T	Buy	06/21/16	L		
82. ML Bank Deposit Program	A	Int./Div.	L	T	Open	06/03/16	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Silverstein, Laurie S.

Date of Report

10/06/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII line 7, Smugglers Notch Resort -- fractional share purchased January, 2003 for \$142,600.

Part VII line 8, Pennsylvania 529 Guaranteed Savings Plan appreciates each year based on Penn State's tuition increase. This account is not invested in stock.

Part II, line 1 is 401(k) Plan. Do not know if there is a date.

Part VII, lines 9-19, "PAC Retirement Fund" means Potter Anderson & Corroon LLP Retirement Plan (401k plan through Vanguard)

Part VII, lines 77-81 CDs were purchased through Merrill Lynch. Interest of CDs is deposited in ML Bank Deposit Program (Bank of America N.A. money market account)

Part VII, lines 74-75 VEIRX was incorrectly listed on my amended annual report for year 2015 as VEITX (lines 202-203)..

Part VII, lines, 20, 34 and 35, these accounts were money market accounts. RBC changed all the money market accounts into the RBC Bank Deposit Program (line 21).

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Silverstein, Laurie S.

Date of Report

10/06/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Laurie S. Silverstein

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544