

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Allen, Michael P.	2. Court or Organization United States Court of Appeals for Veterans Claims	3. Date of Report 07/10/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 625 Indiana Ave. Suite 900 Washington, DC 20004		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Professor of Law (until my appointment)	Stetson University College of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 07/01/2017	Stetson University One year leave of absence before effective resignation of tenure. There will be no fo financial terms associaetd with the leave.
2. 08/01/2017	Stetson University -- The July 1 agreement described above was amended to be for a two year leave. There remains no financial terms associaetd with the leave
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Allen, Michael P.

Date of Report

07/10/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Stetson University -- Salary in the time prior to my confirmation and appointment in August 2017	\$124,625.24
2. 2017	CCH Incorporated -- Book Royalties	\$2,420.50
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Ropes & Gray -- Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Allen, Michael P.

Date of Report

07/10/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Allen, Michael P.

Date of Report

07/10/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Aberdeen International Equity Fund	A	Dividend	J	T					
2. Schwab S&P 500 Index Fund	B	Dividend	L	T					
3. Schwab (sweep) Money Market Account	A	Interest	J	T					
4. Janus Henderson Global Select Fund	A	Dividend	K	T					
5. Baron Small Cap Fund	D	Dividend	K	T					
6. Janus Henderson Enterprise Fund	A	Dividend	K	T					
7. Janus Henderson Overseas Fund	A	Dividend	J	T					
8. Scwab (sweep) Money Market Account	A	Interest	K	T					
9. Fidelity Contrafund	C	Dividend	M	T					
10. Fidelity Magellan Fund	B	Dividend	L	T					
11. Fidelity Oversees Fund	A	Dividend	K	T					
12. Fidelity Securities Fund -- Blue Chip Growth	C	Dividend	M	T					
13. Fidelity Value Fund	C	Dividend	M	T					
14. Heartland Value Fund	A	Dividend	K	T					
15. Fidelity Small Cap Stock Fund	A	Dividend	K	T					
16. Fidelity Funds Diversified International Fund	A	Dividend	K	T					
17. JMPCB SmartRetirement DRE 2035 CF	B	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Allen, Michael P.

Date of Report

07/10/2018

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. TD Ameritrade SDB Sweep Program	A	Interest	J	T					
19. Fidelity 529 Fund -- Massachusetts Agg. Growth Portfolio	D	Dividend	N	T					
20. TIAA Traditional Fund	B	Dividend	L	T					
21. TIAA Real Estate Fund	B	Dividend	L	T					
22. CREF Stock R2 Fund	C	Dividend	M	T					
23. CREF Growth R@ Fund	C	Dividend	M	T					
24. CREF Global Equities R@ Fund	C	Dividend	M	T					
25. T-C Lg-Cap Gr-Inst	A	Dividend	J	T					
26. Amer Fds New Persp R6	A	Dividend	K	T					
27. CREF Bond Market R1 Fund	A	Dividend	J	T					
28. CREF Inf-Linked Bond R1 Fund	A	Dividend	J	T					
29. CREF Equity Index R1 Fund	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Allen, Michael P.

Date of Report

07/10/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Allen, Michael P.

Date of Report

07/10/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael P. Allen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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