

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gibney, John A.	2. Court or Organization District Court-Eastern District of Virginia	3. Date of Report 07/18/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Courthouse 701 East Broad Street Suite 6014 Richmond, Virginia 23219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Directors	Lawyers Helping Lawyers
2. Adjunct Faculty Member	College of William and Mary
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	College of William and Mary salary for teaching	\$2,999.97
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Hanover County Attorney's Office - Salary
2. 2017	Executor's fee decedent's estate
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T	C	Dividend	L	T					
2. Coca-Cola	A	Dividend	K	T	Sold (part)	04/03/17	J	C	
3. CSX	C	Dividend	M	T	Sold (part)	04/03/17	K	D	
4.	C	Dividend			Sold (part)	08/08/17	K	E	
5.	C	Dividend			Donated (part)				
6.	C	Dividend			Donated (part)				
7.	C	Dividend			Donated (part)				
8. Exxon	D	Dividend	M	T	Sold (part)	08/08/17	K	D	
9.	D	Dividend			Donated (part)				
10. Henrico Cty Dev. Auth. for VA United Methodist Home Bonds	A	Interest			Redeemed	06/01/17	K	A	
11. Intel	A	Dividend	J	T	Donated (part)				
12. PNC Financial Services Common Stock	B	Dividend	L	T					
13. PPL Corp	B	Dividend	L	T					
14. Weyerhaeuser	B	Dividend	K	T					
15. American Funds, AMCAP CL A (Note 7)	A	Dividend	L	T					
16. American Funds, American Balanced Fund CI A	A	Dividend	K	T					
17. American Funds, American Mutual Fund CI A	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. American funds, Capital World Growth and Income Fund	A	Dividend	K	T					
19. American Funds, Fundamental Investors Fund CI A	A	Dividend	K	T					
20. American Funds, New Perspective Fund CI A	A	Dividend	L	T					
21. American Funds, New World Fund CL A	A	Dividend	K	T					
22. American Funds, Washington Mutual Investors Fund CI A	B	Dividend	L	T					
23. BB&T Interest Account	A	Interest	J	T					
24. Vanguard Total Stock Mkt Idx	A	Dividend	K	T					
25. Wells Fargo Bank Account	A	Interest	J	T					
26. Bank of America Bank Accounts	A	Interest	J	T					
27. Northwestern Mutual Whole Life Insurance Policy	E	Dividend	N	T					
28. Trust 1(H)(note 3)(Y)									
29. Trust 2(H)(note 3)(Y)									
30. Trust 4(H)(note 4)(Y)									
31. IRA 1(H)									
32. -American Electric Power Co.	A	Dividend	J	T					
33. -John Hancock Income Securities Trust Fund	A	Dividend	J	T					
34. -Monmouth Real Estate Investment Corp.	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -American Funds, Amcap C1 A Fund	A	Dividend	K	T					
36. -American Funds, American Balanced C1 A Fund	A	Dividend	J	T					
37. -American Funds, American Mutual Fund C1 F3 (note 6)	A	Dividend	K	T					
38. -American Funds, Capital World -Growth and income C1 A Fund	A	Dividend	K	T					
39. -American Funds, Fundamental Investors C1 A Fund	A	Dividend	K	T					
40. -American Funds, New Perspective C1 A Fund	A	Dividend	K	T					
41. -American Funds, New World C1 A Fund	A	Dividend	J	T					
42. -American Funds Growth Fund of America	A	Dividend	J	T					
43. -American Funds, Small Cap World	A	Dividend	J	T					
44. -BB&T Interest Bearing Account	A	Interest	J	T					
45. IRA 2(H)									
46. -American Funds, Amcap C1 A Fund	B	Dividend	L	T					
47. -American Funds, American Balanced C1 A Fund	B	Dividend	L	T					
48. -American Funds, American Mutual C1 A Fund	B	Dividend	L	T					
49. -American Funds, Capital World -Growth and income C1 A Fund	B	Dividend	L	T					
50. -American Funds, Fundamental Investors C1 A Fund	B	Dividend	L	T					
51. -American Funds, Growth Fund of America C1 A Fund	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -American Funds, New Perspective C1 A Fund	A	Dividend	L	T					
53. -American Funds, New World C1 A Fund	A	Dividend	K	T					
54. -American Funds Small Cap World	A	Dividend	K	T					
55. -Cisco Systems, Inc.	A	Dividend	K	T					
56. -Corning, Inc.	A	Dividend	J	T					
57. -Exxon	A	Dividend	K	T					
58. -Microsoft	A	Dividend	K	T					
59. -Proctor & Gamble	A	Dividend	J	T					
60. -Qiagen NV Regular Shares	A	Dividend	K	T					
61. -Sector Technology Select Sector SPDR	A	Dividend	J	T					
62. -Teva Pharmaceutical	A	Dividend			Sold	07/19/17	J	A	
63. -Triangle Capital Corp.	A	Dividend	J	T					
64. -Walgreen Company	A	Dividend	J	T					
65. -BB&T Interest Bearing Account	A	Interest	K	T					
66. IRA 3(H)									
67. -Vanguard Total Stock Mkt Index	A	Dividend	L	T					
68. IRA 4(H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. -American Funds Amcap Cl A Fund	A	Dividend	K	T					
70. -American Funds American Balanced Fund Cl A	A	Dividend	K	T	Buy (add'l)	06/05/17	J		
71. -American Funds American Mutual Cl A	A	Dividend	K	T	Buy (add'l)	06/05/17	J		
72. -American Funds Capital World Growth and Income Cl A	A	Dividend	K	T					
73. -American Funds Fundamental Investors Cl A (Note 2)	A	Dividend	K	T	Sold (part)	06/05/17	J		
74. -American Funds Growth Fund of America Cl A	A	Dividend	J	T	Sold (part)	06/05/17	J		
75. -American Funds New Perspective Fund Cl A	A	Dividend	K	T	Sold (part)	06/05/17	J		
76. -American Funds New World Cl A	A	Dividend	J	T					
77. -American Funds American Small Cap World Cl A	A	Dividend	J	T					
78. 457 Plan (note 1)(H)									
79. -Lincoln Stable Value Mutual Fund	A	Interest	L	T					
80. -Am FDS Europacific GR R4	A	Dividend	L	T					
81. -Mainstay Large Cap Growth Fund Class R1 (note 5)	B	Dividend			Sold	04/06/17	K		
82. -JP Morgan Us Equity	A	Dividend	K	T	Buy	04/06/17	K		
83. -Invesco Equity Income	B	Dividend	L	T					
84. -Vanguard Mid Cap Index ADM	C	Dividend	L	T					
85. -Vanguard Total Bond	A	Dividend	K	T					

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. -Vanguard Institutional Index	D	Dividend	L	T					
87. Trust 3(H)(note 4)(Y)									
88. Virginia Credit Union Bank Account	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII Line 79. In 457 plan, monthly purchases occurred from employer and employee contributions related to salary.
2. Part VII beginning at Line 72 and following in all sales of mutual funds. The amounts in mutual funds are based in part of reinvestment of dividends. For mutual funds held in IRAs and 457 account, purchases of the funds occurred on a monthly basis over many years. Because of these facts, it is impossible to determine the amount of gain from a sale. No monthly purchase of any particular asset exceeded \$1,000.00.
3. Part VII Lines 28 and 29. These are trusts which neither my wife nor I created, from which we did not receive income, and in which we do not hold a beneficial interest. As such they are not reportable. See Updates to Filing Instructions for Calendar Year 2017 Reports. In addition, I distributed all the assets from these trusts in 2016, as reflected on my 2016 report.
4. Part VII Line 30 and 87. These are trusts which neither my wife nor I created, from which we did not receive income, and in which we do not hold a beneficial interest. As such they are not reportable. See Updates to Filing Instructions for Calendar Year 2017 Reports.
5. Part VII Line 82. The 2016 report indicated that Mainstay Large Cap Growth Fund had been sold. The entry should have read that it was "sold part." The remainder was sold in 2017.
6. Part VII Line 37. Amcap Funds American Mutual Fund was inadvertently omitted from prior report.
7. Part VII Line 15. In all mutual funds, dividends are automatically reinvested. The purchase of new shares with dividends is not reported, although the income is. See Filing Instructions for Calendar Year 2017 Reports, p. 41.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John A. Gibney**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544