

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Senechal, Alice R.	2. Court or Organization District of North Dakota (NE)	3. Date of Report 05/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (Part-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address [REDACTED]		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Employee, Shareholder, President	Robert Vogel Law Office, P.C.
2. North Dakota Board of Law Examiners	Member
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Note	Robert Vogel Law Office, P.C., employee compensation	\$53035.00
2. Note	University of North Dakota, employee compensation	\$7,000.02
3. Note	North Dakota Board of Law Examiners, member per diem	\$1,450.00
4. 06/06/2012	Sharon Lutheran Church, employee compensation	\$77.15

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Robert Vogel Law Office, P.C.	Note	Harvey, ND	Litigation activity	Transportation
2. Robert Vogel Law Office, P.C.	3/28/2011	Crookston, MN	Presentation	Transportation
3. Robert Vogel Law Office, P.C.	5/9/2011	Minot, ND	Litigation activity	Transportation
4. Robert Vogel Law Office, P.C.	6/16 - 6/17/2011	Fargo, ND	Educational conference	Transportation, lodging, meals, registration fee
5. Robert Vogel Law Office, P.C.	6/29/2011	Fargo, ND	Litigation activity	Transportation

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6.	Robert Vogel Law Office, P.C.	12/15 - 12/18/2011	Phoenix, AZ	Educational conference	Transportation, lodging meals, registration fee
7.	Robert Vogel Law Office, P.C.	1/4/2012	Fargo, ND	Litigation activity	Transportation
8.	Robert Vogel Law Office, P.C.	2/13/2012	Cavalier, ND	Litigation activity	Transportation
9.	Robert Vogel Law Office, P.C.	2/17/2012	Minot, ND	Litigation activity	Transportation
10.	Robert Vogel Law Office, P.C.	3/2/2012	Fargo, ND	Litigation activity	Transportation
11.	Robert Vogel Law Office, P.C.	3/19/2012	Langdon, ND	Litigation activity	Transportation
12.	Robert Vogel Law Office, P.C.	6/20/2012	Fargo, ND	Litigation activity	Transportation
13.	Robert Vogel Law Office, P.C.	7/9 - 7/10/2012	Bismarck, ND	Litigation activity	Transportation, lodging, meals
14.	Robert Vogel Law Office, P.C.	7/27/2012	Carrington, ND	Litigation activity	Transportation
15.	Robert Vogel Law Office, P.C.	10/26/2012	Fargo, ND	Litigation activity	Transportation
16.	Robert Vogel Law Office, P.C.	10/31 - 11/3/2012	Palo Alto, CA	Litigation activity	Transportation, lodging meals
17.	Robert Vogel Law Office, P.C.	12/6 - 12/8/2012	Syracuse, NY	Litigation activity	Transportation, lodging, meals
18.	Robert Vogel Law Office, P.C.	12/19 - 12/20/2012	Carrington, ND	Litigation activity	Transportation, lodging, meals
19.	State Board of Law Examiners	4/12-4/13/2012	Bismarck, ND	Meeting	Transportation, lodging, meals
20.	State Board of Law Examiners	5/14/2012	Bismarck, ND	Meeting	Transportation
21.	State Board of Law Examiners	7/13/2012	Fargo, ND	Meeting	Transportation
22.	State Board of Law Examiners	8/29 - 8/30/2012	Bismarck, ND	Meeting	Transportation, lodging, meals
23.	State Board of Law Examiners	9/17/2012	Bismarck, ND	Meeting	Transportation
24.	State Board of Law Examiners	9/21/2012	Fargo, ND	Meeting	Transportation
25.	State Board of Law Examiners	12/14/2012	Fargo, ND	Meeting	Transportation
26.	State Bar Association of North Dakota	1/19/2012	Bismarck, ND	Meeting	Transportation, lodging, meals

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27. Federal Magistrate Judges Association	2/26/2012	Washington, DC	Meeting	Lodging
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Gate City Bank Accounts	C	Interest	M	T					
2. Hewlett Packard, f/k/a, Compaq Computer Corp Common Stock	A	Dividend	J	T					
3. Robert Vogel Law Office, P.C., Stock		None	J	U					
4. Real Estate, McHenry County, ND	D	Rent	M	W					
5. Thrivent Financial Life Insurance Policy		None	K	T					
6. Thrivent Large Cap Stock Fund	A	Dividend	J	T					
7. United States Savings Bonds	A	Interest	J	T					
8. TD Waterhouse MMF Municipal Portfolio	A	Dividend	J	T					
9. ISHARES Trust	A	Dividend	J	T					
10. Vanguard Total Stock Index Fund (Y)									
11. DFA Emerging Markets Core Equity Fund	B	Dividend	L	T					
12. DFA International Sustainability Care I Fund	B	Dividend	L	T					
13. DFA US Targeted Value Portfolio	B	Dividend	L	T					
14. Vanguard International Value Fund	A	Dividend	L	T					
15. Vanguard REIT Index Fund Admiral Shares	A	Dividend	L	T					
16. Mission Investment Fund	A	Interest	K	T					
17. American Century Equity Income - A	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. T.Rowe Price Total Equity Market Index	D	Dividend	M	T					
19. Federated High Yield Trust SS	B	Dividend	L	T					
20. Federated Total Return Bond Svc	B	Dividend	M	T					
21. Robert Vogel Law Office, P.C.	B	Interest	J	T					
22.									
23.									
24.									
25.									
26.									
27.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III, Line 1, 1/1/2012 - 12/31/2012

Part III, Line 2, 6/1/2012 - 9/15/2012

Part III, Line 3, 1/1/2012 - 12/31/2012

Part IV, Line 2, 3/9/2011, 3/18/2011, 3/30/2011, 4/13/2011, 4/27/2011, 5/4/2011, 5/12/2011, 5/20/2011, 6/1/2011, 6/22/2011, 7/6/2011, 8/10/2011, 8/24/2011, 9/9/2011, 9/28/2011, 11/2/2011, 11/16/2011, 12/2/2011, 12/21/2011, 2/9/2012, 3/13/2012, 3/26/2012, 4/11/2012, 5/4/2012, 5/18/2012, 6/13/2012, 7/11/2012, 8/2/2012, 9/25/2012, 10/23/2012, 11/15/2012, 12/4/2012

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alice R. Senechal

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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