

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Aron, Catharine R.	2. Court or Organization U S Bankruptcy Court MDNC	3. Date of Report 05/08/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U. S. Bankruptcy Court P. O. Box 448 Greensboro, NC 27402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

Valic-Salary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED1. Middle District Bankruptcy
Seminar

April 20-21, 2017

Aberdeen

seminar

(lodging, food, transportation)

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First National Bank formerly New Bridge Bank money market	A	Interest	J	T					
2.									
3. AIG-Valic Aim Intern. ADR Growth Fund									
4. BTI	A	Dividend	J	T	Buy (add'l)	11/20/17	J		
5. BZLFY	A	Dividend	J	T					
6. CNI	A	Dividend	J	T					
7. CVE	A	Dividend	J	T	Buy (add'l)	04/17/17	J		
8. CHEUY	A	Dividend			Sold	05/22/17	J		
9. CCLAY		None			Sold	01/18/17	J	A	
10. CMPGY	A	Dividend	J	T					
11. ERIC		None			Sold	07/03/17	J		
12. FANUY		None	J	T					
13. FMX	A	Dividend	J	T					
14. TV		None			Sold	09/27/17	J	A	
15. JBAXY		None	J	T					
16. KGFHY	A	Dividend			Sold (part)	03/01/17	J	A	
17.					Sold	04/04/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. KMTUY	A	Dividend			Sold	03/29/17	J	A	
19. NVS	A	Dividend	J	T					
20. NVO	A	Dividend			Sold	05/01/17	J	A	
21. PUBGY		None			Sold (part)	05/12/17	J	A	
22.					Sold	09/05/17	J	A	
23. RELX	A	Int./Div.	J	T					
24. RHBY	A	Dividend			Sold (part)	02/06/17	J	A	
25.					Sold	06/22/17	J	A	
26. RDSB		None	J	T					
27. SAP	A	Dividend	J	T					
28. SNN	A	Dividend	J	T					
29. SYT	A	Dividend			Sold	02/15/17	J	A	
30. TSM	A	Dividend	J	T					
31. TEVA	A	Dividend			Sold	11/02/17	J		
32. TM	A	Dividend			Sold	02/14/17	J		
33. TRP	A	Dividend			Sold	11/14/17	J		
34. UN	A	Dividend	J	T					

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35. WPPGY	A	Dividend			Sold	07/17/17	J	A	
36. AMADY	A	Dividend	J	T					
37. BMBLY	A	Dividend			Sold	07/17/17	J	A	
38. CIOXY	A	Dividend	J	T	Buy (add'l)	04/06/17	J		
39. GIB	A	Dividend	J	T	Sold (part)	05/31/17	J	A	
40. SU	A	Dividend	J	T					
41. SBGSY		None	J	T	Buy (add'l)	07/27/17	J		
42.					Buy (add'l)	08/07/17	J		
43. AVGO	A	Dividend	J	T	Sold (part)	02/09/17	J	A	
44. BIDU		None	J	T					
45. AZSEY		None	J	T	Sold (part)	01/27/17	J	A	
46. AMCRY		None	J	T					
47. CABGY	A	Int./Div.	J	T					
48. DPSGY	A	Int./Div.	J	T	Buy (add'l)	09/07/17	J		
49. PPERY	A	Int./Div.	J	T					
50. UBS		None	J	T	Sold (part)	09/07/17	J		
51. ABDNY	A	Dividend			Sold	08/29/17	J		

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52. KPCPY	A	Dividend	J	T					
53. PRDSY	A	Dividend	J	T					
54. PBSFY	A	Dividend			Sold (part)	04/26/17	J		
55.					Sold (part)	05/22/17	J		
56.					Sold (part)	07/03/17	J		
57.					Sold	09/05/17	J		
58. SKYAY	A	Dividend			Sold (part)	09/13/17	J		
59.					Sold	09/21/17	J		
60. UOVEY	A	Dividend	J	T	Buy (add'l)	05/10/17	J		
61. YAHOO		None	J	T					
62. CFRUY	A	Int./Div.	J	T					
63. GNGBY	A	Int./Div.			Sold (part)	02/15/17	J		
64.					Sold (part)	05/01/17	J		
65.					Sold	09/28/17	J		
66. JAPAY	A	Int./Div.	J	T					
67. LYG	A	Int./Div.	J	T	Buy (add'l)	05/05/17	J		
68. WGHPY		None			Sold	09/05/17	J	A	

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69. CKHUY		None	J	T					
70. WTKWY		None	J	T	Buy (add'l)	09/12/17	J		
71. CSLLY		None	J	T					
72. KCRPY		None	J	T					
73. PDRDY		None	J	T	Buy (add'l)	09/05/17	J		
74.					Buy (add'l)	09/19/17	J		
75. IFJPY		None	J	T	Buy (add'l)	02/09/17	J		
76. xBBD	A	Int./Div.	J	T	Buy	03/07/17	J		
77.					Buy (add'l)	04/11/17	J		
78.					Buy (add'l)	05/16/17	J		
79. xBxBLY	A	Int./Div.	J	T	Buy	02/06/17	J		
80.					Buy (add'l)	05/12/17	J		
81.					Buy (add'l)	07/20/17	J		
82. xDBOEY	A	Int./Div.	J	T	Buy	09/20/17	J		
83. xESLOY	A	Int./Div.	J	T	Buy	02/10/17	J		
84.					Buy (add'l)	08/14/17	J		
85. xGEAGY	A	Int./Div.	J	T	Buy	08/25/17	J		

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86.					Buy (add'l)	11/30/17	J		
87. xING	A	Int./Div.	J	T	Buy	09/05/17	J		
88. xISNPY	A	Int./Div.	J	T	Buy	02/21/17	J		
89.					Buy (add'l)	06/06/17	J		
90. xKROTY	A	Int./Div.	J	T	Buy	08/17/17	J		
91.					Buy (add'l)	11/15/17	J		
92. xLVS	A	Int./Div.	J	T	Buy	02/14/17	J		
93.					Buy (add'l)	10/31/17	J		
94. xMDIBY	A	Int./Div.	J	T	Buy	12/01/17	J		
95. xMDLZ	A	Int./Div.	J	T	Buy	12/12/17	J		
96. xOTEX	A	Int./Div.	J	T	Buy	02/22/17	J		
97.					Buy (add'l)	03/17/17	J		
98.					Buy (add'l)	06/22/17	J		
99.					Buy (add'l)	07/20/17	J		
100. xPDYPY	A	Int./Div.	J	T	Buy	06/08/17	J		
101.					Buy (add'l)	08/10/17	J		
102. xRBGLY		None	J	T	Buy	12/22/17	J		

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103. xVCISY		None	J	T	Buy	11/15/17	J		
104. xWHGLY	A	Int./Div.	J	T	Buy	06/01/17	J		
105.									
106. SSGAMoney Market Fund	A	Int./Div.	J	T					
107.									
108. AIG- Valic money market account	A	Interest	J	T					
109.									
110. AIG/Valic Select IRA 1 Transamerica Large Growth									
111. AAPL	A	Dividend	K	T					
112. CERN		None	J	T					
113. SBUX	A	Dividend	J	T					
114. V	A	Dividend	J	T					
115. CVS	A	Dividend			Sold	02/10/17	J	C	
116. DIS	A	Dividend	J	T					
117. ECL	A	Dividend			Sold	03/02/17	J	C	
118. GILD		None	J	T					
119. GOOG		None	J	T					

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120. A	A	Int./Div.	J	T					
121. LOW	A	Dividend	J	T					
122. CHKP		None	J	T					
123. SLB	A	Int./Div.			Sold	07/20/17	J		
124. AGN		None	K	T					
125. ATVI	A	Int./Div.	J	T	Sold (part)	06/30/17	J	D	
126. AMGN	A	Int./Div.	J	T					
127. BLK	A	Int./Div.	J	T					
128. MSFT	A	Int./Div.	K	T					
129. ORLY		None			Sold	06/29/17	J	C	
130. UTX	A	Int./Div.	J	T					
131. FB		None	K	T					
132. NVDA	A	Dividend	J	T	Sold (part)	05/30/17	J	C	
133.					Sold (part)	11/02/17	J	D	
134. NXPI		None	J	T					
135. RHT		None	J	T					
136. CELG		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$50,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. AKAM		None	J	T					
138. INTC		None	J	T					
139. TJX		None	J	T					
140. TSCO		None			Sold	04/21/17	J		
141. ACHC		None			Sold	05/05/17	J		
142. HRS	A	Int./Div.	J	T					
143. INTU	A	Dividend	J	T					
144. LULU		None			Sold	03/15/17	J	A	
145. MLM	A	Dividend	J	T					
146. NKE	A	Dividend	J	T					
147. NOC	A	Dividend	J	T					
148. QCOM	A	Dividend			Sold	08/31/17	J	A	
149. SLB	A	Dividend			Sold	07/20/17	J		
150. SCHW		None	J	T					
151. xAET	A	Dividend	J	T	Buy	04/13/17	J		
152. xALB	A	Dividend	J	T	Buy	07/21/17	J		
153.					Buy (add'l)	08/16/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. xAMZN		None	J	T	Buy	03/14/17	J		
155. xAVGO	A	Dividend	J	T	Buy	01/09/17	J		
156. xCOST	A	Dividend	J	T	Buy	09/19/17	J		
157. xCRM		None	J	T	Buy	01/09/17	J		
158. xLLL	A	Dividend	J	T	Buy	01/09/17	J		
159. xPANW		None	J	T	Buy	06/29/17	J		
160. xQ ** Name change to IQV on 11/15		None	J	T	Buy	08/23/17	J		
161.					Buy (add'l)	11/27/17	J		
162. xSCSO		None	J	T	Buy	12/04/17	J		
163. xTER	A	Dividend	J	T	Buy	07/27/17	J		
164. xWM	A	Dividend	J	T	Buy	05/16/17	J		
165. xWMT	A	Dividend	J	T	Buy	09/19/17	J		
166. xZTS	A	Dividend	J	T	Buy	06/09/17	J		
167.									
168. SSGA Money Market	A	Interest	J	T					
169.									
170. AIG- Valic Select IRA 2 Columbia value and restruct									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. COP	A	Dividend			Sold (part)	06/28/17	J		
172.					Sold	07/12/17	J	A	
173. ETN	A	Dividend	J	T	Buy (add'l)	07/07/17	J		
174.					Buy (add'l)	09/15/17	J		
175.					Sold (part)	10/17/17	J	A	
176.					Sold (part)	12/27/17	J	A	
177. JPM	A	Dividend	K	T	Sold (part)	02/23/17	J	A	
178.					Buy (add'l)	06/23/17	J		
179.					Sold (part)	09/19/17	J	A	
180.					Buy (add'l)	10/27/17	J		
181. MA	A	Dividend	J	T	Buy (add'l)	07/07/17	J		
182. CVX	A	Dividend	J	T	Buy (add'l)	06/09/17	J		
183. CI	A	Dividend	J	T	Sold (part)	12/27/17	J	A	
184. GOOG		None	J	T	Sold (part)	06/01/17	J	A	
185.					Sold (part)	08/09/17	J	A	
186.					Buy (add'l)	09/29/17	J		
187.					Buy (add'l)	10/13/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. AON	A	Dividend	J	T	Buy (add'l)	03/17/17	J		
189.					Sold (part)	10/17/17	J	B	
190.					Sold (part)	11/22/17	J	A	
191. ABT	A	Dividend	J	T	Buy (add'l)	02/03/17	J		
192.					Sold (part)	12/27/17	J	A	
193. AAPL	A	Dividend	K	T	Buy (add'l)	02/17/17	J		
194.					Sold (part)	06/28/17	J	B	
195.					Sold (part)	10/03/17	J	B	
196.					Buy (add'l)	11/20/17	J		
197. BRKB		None	K	T	Sold (part)	01/11/17	J	A	
198.					Buy (add'l)	08/04/17	J		
199. BLK	A	Dividend	J	T	Sold (part)	01/11/17	J	A	
200. CELG		None	J	T	Buy (add'l)	01/06/17	J		
201.					Buy (add'l)	06/09/17	J		
202.					Buy (add'l)	06/23/17	J		
203. C	A	Dividend	J	T	Sold (part)	02/23/17	J	A	
204.					Sold (part)	09/07/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. CMCSA	A	Dividend	J	T	Sold (part)	07/12/17	J	A	
206. FDX	A	Dividend	J	T	Buy (add'l)	02/17/17	J		
207.					Buy (add'l)	09/29/17	J		
208. GE		None	J	T	Sold (part)	06/28/17	J	A	
209.					Sold (part)	07/12/17	J		
210.					Buy (add'l)	09/15/17	J		
211.					Sold (part)	10/03/17	J		
212. HON	A	Dividend	J	T					
213. JNJ	A	Dividend	J	T	Buy (add'l)	05/26/17	J		
214. LOW	A	Dividend	J	T	Buy (add'l)	02/03/17	J		
215.					Sold (part)	03/22/17	J	A	
216.					Buy (add'l)	10/13/17	J		
217. MSFT	A	Dividend	J	T	Sold (part)	11/22/17	J	A	
218.					Sold (part)	12/27/17	J	A	
219. NBL	A	Dividend			Sold (part)	01/11/17	J	A	
220.					Sold (part)	06/01/17	J		
221.					Sold	12/12/17	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. PEP	A	Dividend	J	T	Sold (part)	10/03/17	J	A	
223.					Buy (add'l)	10/13/17	J		
224. ARMK		None			Sold	01/25/17	J	A	
225. CNQ		None	J	T	Buy (add'l)	03/17/17	J		
226.					Buy (add'l)	03/31/17	J		
227.					Buy (add'l)	04/13/17	J		
228.					Buy (add'l)	08/18/17	J		
229. CAH	A	Int./Div.			Sold (part)	02/08/17	J		
230.					Sold (part)	11/27/17	J		
231.					Sold	12/27/17	J		
232. CVS	A	Int./Div.	J	T	Sold (part)	03/22/17	J	A	
233.					Sold (part)	04/05/17	J		
234.					Sold (part)	06/01/17	J		
235.					Sold (part)	10/17/17	J		
236.					Buy (add'l)	12/12/17	J		
237. EA		None	J	T	Sold (part)	05/17/17	J	A	
238.					Sold (part)	06/14/17	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Aron, Catharine R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold (part)	08/23/17	J	A	
240.					Sold (part)	10/31/17	J	A	
241. INTU		None			Sold	01/11/17	J	A	
242. BIIB		None	J	T	Buy (add'l)	01/20/17	J		
243.					Sold (part)	02/08/17	J		
244.					Sold (part)	05/17/17	J		
245.					Buy (add'l)	06/23/17	J		
246.					Buy (add'l)	07/21/17	J		
247.					Buy (add'l)	08/04/17	J		
248. DNB	A	Dividend			Sold (part)	02/23/17	J		
249.					Sold	03/03/17	J		
250. MDT	A	Dividend	J	T	Sold (part)	08/09/17	J	A	
251.					Buy (add'l)	09/01/17	J		
252.					Buy (add'l)	09/15/17	J		
253.					Sold (part)	11/22/17	J	A	
254.					Sold (part)	12/12/17	J	A	
255. MIK		None			Sold (part)	06/01/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Sold	06/28/17	J		
257. MON	A	Dividend			Sold	04/05/17	J	A	
258. SLB		None			Sold (part)	05/03/17	J		
259.					Sold	06/01/17	J		
260. VZ	A	Dividend	J	T	Sold (part)	02/08/17	J	A	
261.					Sold (part)	05/03/17	J		
262.					Buy (add'l)	09/01/17	J		
263.					Buy (add'l)	09/29/17	J		
264.					Buy (add'l)	11/20/17	J		
265. VRTX		None	J	T	Sold (part)	05/17/17	J		
266.					Sold (part)	07/26/17	J		
267. WBA		None			Sold (part)	01/25/17	J	A	
268.					Sold (part)	04/19/17	J	A	
269.					Sold	06/28/17	J		
270. ATVI	A	Int./Div.	J	T	Buy (add'l)	03/17/17	J		
271.					Sold (part)	07/12/17	J	A	
272.					Sold (part)	10/30/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Aron, Catharine R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. GOOGL		None	J	T					
274. AMT	A	Int./Div.	J	T	Buy (add'l)	01/20/17	J		
275.					Sold (part)	09/19/17	J	A	
276.					Buy (add'l)	11/20/17	J		
277. BK	A	Int./Div.	J	T	Buy (add'l)	05/26/17	J		
278.					Sold (part)	10/31/17	J	A	
279.					Sold (part)	11/22/17	J	A	
280. COH		None			Sold	01/11/17	J	A	
281. DTE		None			Sold	01/11/17	J	A	
282. EQT		None			Sold	05/17/17	J	A	
283. XOM	A	Int./Div.	J	T	Buy (add'l)	05/12/17	J		
284.					Buy (add'l)	07/21/17	J		
285. FB		None	J	T	Buy (add'l)	01/06/17	J		
286.					Buy (add'l)	02/17/17	J		
287.					Sold (part)	09/07/17	J	C	
288.					Buy (add'l)	09/29/17	J		
289.					Buy (add'l)	10/13/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

290. MCD	A	Int./Div.	J	T	Sold (part)	01/11/17	J	A	
291.					Buy (add'l)	03/31/17	J		
292.					Sold (part)	06/28/17	J	A	
293.					Sold (part)	09/19/17	J	A	
294. NLSN	A	Int./Div.			Sold (part)	07/12/17	J		
295.					Sold	10/03/17	J		
296. PFE		None	J	T	Sold (part)	03/08/17	J	A	
297.					Buy (add'l)	08/04/17	J		
298. PM	A	Int./Div.	J	T	Buy (add'l)	01/06/17	J		
299.					Sold (part)	09/07/17	J	A	
300.					Buy (add'l)	10/27/17	J		
301. PVH		None	J	T	Sold (part)	01/11/17	J		
302.					Buy (add'l)	03/31/17	J		
303. COO		None			Sold	01/11/17	J	A	
304. ZBH		None			Sold (part)	03/08/17	J	A	
305.					Sold (part)	08/09/17	J	A	
306.					Sold	11/22/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Aron, Catharine R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. EIX	A	Int./Div.			Sold (part)	04/19/17	J	A	
308.					Sold	12/27/17	J	A	
309. AAP		None			Sold	01/25/17	J	A	
310. AKAM		None			Sold (part)	05/17/17	J		
311.					Sold	06/01/17	J		
312. ALXN		None	J	T	Sold (part)	06/28/17	J	A	
313.					Buy (add'l)	11/20/17	J		
314. ANTM	A	Int./Div.	J	T	Sold (part)	09/19/17	J	A	
315.					Sold (part)	10/03/17	J	A	
316. AVGO	A	Int./Div.	J	T					
317. CMG		None			Sold	03/22/17	J	A	
318. KO	A	Int./Div.			Sold	02/23/17	J		
319. DIS	A	Int./Div.			Sold (part)	06/01/17	J	A	
320.					Sold (part)	09/07/17	J	A	
321.					Sold	09/19/17	J		
322. EXPE	A	Int./Div.	J	T	Buy (add'l)	03/17/17	J		
323.					Sold (part)	07/12/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Sold (part)	08/09/17	J		
325.					Buy (add'l)	10/13/17	J		
326.					Buy (add'l)	11/22/17	J		
327. FIS	A	Int./Div.	J	T	Buy (add'l)	02/17/17	J		
328.					Buy (add'l)	07/07/17	J		
329. IVZ	A	Int./Div.			Sold (part)	05/17/17	J	A	
330.					Sold	11/27/17	J	A	
331. JC1	A	Int./Div.	J	T	Buy (add'l)	06/23/17	J		
332.					Buy (add'l)	12/08/17	J		
333. KR	A	Int./Div.			Sold (part)	06/28/17	J		
334.					Sold	08/23/17	J		
335. MAR	A	Int./Div.	J	T	Buy (add'l)	04/13/17	J		
336.					Sold (part)	06/14/17	J	A	
337.					Buy (add'l)	10/13/17	J		
338. MS	A	Int./Div.	J	T	Sold (part)	03/08/17	J	B	
339.					Sold (part)	04/05/17	J	A	
340. NWL	A	Int./Div.			Sold (part)	01/11/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000 J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000 K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000 L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000 M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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Aron, Catharine R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341.					Sold (part)	06/01/17	J		
342.					Sold	12/12/17	J		
343. PANW		None	J	T	Buy (add'l)	01/20/17	J		
344.					Sold (part)	03/08/17	J		
345.					Sold (part)	09/07/17	J	A	
346.					Buy (add'l)	09/15/17	J		
347.					Buy (add'l)	09/29/17	J		
348. QCOM	A	Int./Div.			Sold	02/08/17	J	A	
349. RCL	A	Int./Div.	J	T	Sold (part)	07/12/17	J	A	
350. SPGI	A	Int./Div.	J	T	Sold (part)	03/08/17	J	A	
351. SHW		None	J	T	Sold (part)	08/09/17	J	A	
352.					Buy (add'l)	10/13/17	J		
353. T		None	J	T	Buy (add'l)	02/03/17	J		
354.					Buy (add'l)	03/03/17	J		
355.					Buy (add'l)	04/28/17	J		
356.					Buy (add'l)	09/29/17	J		
357. xAGN		None	J	T	Buy	01/06/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Sold (part)	06/01/17	J	A	
359.					Buy (add'l)	06/23/17	J		
360.					Buy (add'l)	09/15/17	J		
361.					Buy (add'l)	11/22/17	J		
362.					Buy (add'l)	12/08/17	J		
363. xAMZN		None	J	T	Buy	11/20/17	J		
364. xAIG		None	J	T	Buy	12/22/17	J		
365. xABC		None	J	T	Buy	11/22/17	J		
366.					Buy (add'l)	12/08/17	J		
367. xAZO		None	J	T	Buy	09/01/17	J		
368.					Buy (add'l)	09/29/17	J		
369. xBMJ	A	Int./Div.	J	T	Buy	03/03/17	J		
370.					Buy (add'l)	05/12/17	J		
371.					Sold (part)	06/01/17	J		
372.					Sold (part)	11/22/17	J	A	
373. xCSCO	A	Int./Div.	J	T	Buy	09/01/17	J		
374.					Buy (add'l)	09/29/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. xCAG		None	J	T	Buy	12/08/17	J		
376. xCOST	A	Int./Div.	J	T	Buy	10/27/17	J		
377.					Buy (add'l)	11/20/17	J		
378. xDG	A	Int./Div.	J	T	Buy	05/12/17	J		
379.					Buy (add'l)	06/09/17	J		
380.					Buy (add'l)	08/04/17	J		
381. xEOG	A	Int./Div.	J	T	Buy	07/07/17	J		
382.					Buy (add'l)	09/29/17	J		
383. xFLT		None	J	T	Buy	05/26/17	J		
384.					Buy (add'l)	10/27/17	J		
385. xHAL	A	Int./Div.	J	T	Buy	05/26/17	J		
386. xK	A	Int./Div.			Buy	03/17/17	J		
387.					Buy (add'l)	06/23/17	J		
388.					Sold (part)	10/31/17	J		
389.					Sold	12/12/17	J		
390. xMTSI		None	J	T	Buy	09/15/17	J		
391. xMDLZ	A	Int./Div.	J	T	Buy	10/13/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Buy (add'l)	11/22/17	J		
393. xNUE	A	Int./Div.	J	T	Buy	10/13/17	J		
394.					Buy (add'l)	10/27/17	J		
395.					Buy (add'l)	11/22/17	J		
396. xQVCA	A	Int./Div.			Buy	01/06/17	J		
397.					Buy (add'l)	01/17/17	J		
398.					Sold	10/31/17	J	A	
399. xSO	A	Int./Div.	J	T	Buy	03/03/17	J		
400.					Buy (add'l)	03/31/17	J		
401.					Sold (part)	12/12/17	J	A	
402. xSEE	A	Int./Div.	J	T	Buy	05/26/17	J		
403.					Buy (add'l)	06/23/17	J		
404. xLUV	A	Int./Div.	J	T	Buy	12/28/17	J		
405. xSBUX	A	Int./Div.	J	T	Buy	02/17/17	J		
406.					Buy (add'l)	04/28/17	J		
407.					Buy (add'l)	05/12/17	J		
408.					Sold (part)	10/31/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/08/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Buy (add'l)	11/20/17	J		
410. xSYMC		None	J	T	Buy	12/22/17	J		
411. xSYY	A	Int./Div.	J	T	Buy	07/07/17	J		
412.					Buy (add'l)	07/21/17	J		
413. xTPR	A	Int./Div.	J	T	Buy	07/07/17	J		
414. xMOS		None	J	T	Buy	12/08/17	J		
415. xTSS	A	Int./Div.	J	T	Buy	04/13/17	J		
416.					Buy (add'l)	06/09/17	J		
417.					Buy (add'l)	09/29/17	J		
418.									
419. SSGA Money Market	A	Interest	J	T					
420.									
421. AIG Valic IRA DBA DavidsonMid Cap									
422. SIVB		None	J	T					
423. IWM	A	Int./Div.			Sold	05/19/17	J	A	
424. ALKS		None	J	T					
425. CATM		None			Sold	05/23/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/08/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. STE	A	Int./Div.	J	T					
427. ACHC		None	J	T					
428. ALGN		None	J	T	Sold (part)	10/26/17	J	A	
429.					Sold (part)	11/27/17	J	A	
430. ACC	A	Int./Div.	J	T					
431. ANSS		None	J	T	Sold (part)	11/10/17	J	A	
432. ATR	A	Int./Div.	J	T	Sold (part)	07/19/17	J	A	
433. APAM	A	Int./Div.	J	T					
434. BKU	A	Int./Div.	J	T					
435. BLKB	A	Int./Div.	J	T	Sold (part)	11/10/17	J	A	
436. BURL		None	J	T					
437. CEB	A	Int./Div.			Sold	11/02/17	J	A	
438. CAA	A	Int./Div.			Sold	11/02/17	J	A	
439. CPE		None	J	T	Buy (add'l)	05/19/17	J		
440. CTLT		None	J	T					
441. CVCO		None	J	T					
442. CGNX	A	Int./Div.	J	T	Sold (part)	10/23/17	J	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. CMP	A	Int./Div.			Sold	11/03/17	J		
444. CSGP		None	J	T					
445. DORM		None	J	T					
446. DRQ		None	J	T					
447. EXPO	A	Int./Div.	J	T					
448. FRC	A	Int./Div.			Sold	07/19/17	J	A	
449. FIVE		None	J	T	Sold (part)	11/03/17	J	A	
450. IT		None	J	T					
451. GBCI	A	Int./Div.	J	T					
452. GHL	A	Int./Div.			Sold	09/05/17	J		
453. HAR	A	Int./Div.			Sold	03/13/17	J	A	
454. HTLD	A	Int./Div.			Sold	08/31/17	J	A	
455. xHIBB		None			Sold	05/23/17	J		
456. ICUI		None	J	T					
457. IBKC	A	Int./Div.			Sold	07/19/17	J	A	
458. IDXX		None	J	T	Sold (part)	05/24/17	J	A	
459. LGFA		None			Sold	05/23/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. LGFB		None			Sold	05/18/17	J	A	
461. MKTX	A	Int./Div.	J	T					
462. MD		None			Sold	05/23/17	J		
463. MCHP	A	Int./Div.			Sold	05/23/17	J	B	
464. MAA	A	Int./Div.	J	T					
465. MIDD		None	J	T	Sold (part)	10/23/17	J	A	
466. MINI	A	Int./Div.			Sold	05/23/17	J		
467. NHI	A	Int./Div.			Sold	05/23/17	J	A	
468. NGVC		None			Sold	05/23/17	J		
469. NWL	A	Int./Div.			Sold	05/23/17	J		
470. NDSN	A	Int./Div.	J	T					
471. PRAA		None			Sold	05/23/17	J	A	
472. PAG	A	Int./Div.			Sold	05/23/17	J	A	
473. POR	A	Int./Div.	J	T					
474. POWI	A	Int./Div.	J	T					
475. PINC		None	J	T					
476. PSMT	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. PRA	A	Int./Div.	J	T					
478. PRLB		None			Sold	05/18/17	J	A	
479. KWR	A	Int./Div.	J	T					
480. QEP		None	J	T					
481. RPM	A	Int./Div.	J	T					
482. ROLL		None	J	T					
483. RBA	A	Int./Div.	J	T					
484. SSNC	A	Int./Div.	J	T	Buy (add'l)	10/19/17	J		
485. SBNY		None	J	T					
486. SUI	A	Int./Div.	J	T					
487. SMCI		None			Sold	05/23/17	J		
488. SPN		None	J	T					
489. TMH		None			Sold	02/07/17	J		
490. TCBI		None	J	T					
491. TTC	A	Int./Div.	J	T					
492. THS		None			Sold	11/07/17	J		
493. UP	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494. TYL		None	J	T					
495. ULTI		None	J	T					
496. UMPQ	A	Int./Div.			Sold	05/23/17	J	A	
497. URBN		None			Sold	07/19/17	J		
498. PAY		None			Sold	08/04/17	J		
499. WAB		None	J	T					
500. WAGE	A	Int./Div.	J	T					
501. WBS	A	Int./Div.	J	T					
502. WST	A	Int./Div.	J	T					
503. ZBRA		None	J	T					
504. xBAH	A	Int./Div.	J	T	Buy	05/19/17	J		
505. xBJRI	A	Int./Div.	J	T	Buy	05/19/17	J		
506. xCRI	A	Int./Div.	J	T	Buy	11/01/17	J		
507. xCOR	A	Int./Div.	J	T	Buy	08/22/17	J		
508. xEXP	A	Int./Div.	J	T	Buy	05/19/17	J		
509. xDEA	A	Int./Div.	J	T	Buy	05/19/17	J		
510. xGDI		None	J	T	Buy	05/19/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511.					Buy (add'l)	11/17/17	J		
512. xGGG	A	Int./Div.	J	T	Buy	05/19/17	J		
513. xHZN		None	J	T	Buy	05/19/17	J		
514. xIPXL		None	J	T	Buy	10/24/17	J		
515. xJVRV	A	Int./Div.	J	T	Buy	05/19/17	J		
516. xKTWO		None	J	T	Buy	09/27/17	J		
517. xLECO	A	Int./Div.	J	T	Buy	05/19/17	J		
518. xLAD	A	Int./Div.	J	T	Buy	05/19/17	J		
519. xLOGM	A	Int./Div.	J	T	Buy	10/19/17	J		
520.					Buy (add'l)	11/08/17	J		
521. xMTDR		None	J	T	Buy	10/19/17	J		
522. xOGE	A	Int./Div.	J	T	Buy	05/19/17	J		
523. xDOC	A	Int./Div.	J	T	Buy	05/19/17	J		
524. xPNFP	A	Int./Div.	J	T	Buy	09/05/17	J		
525. xPII	A	Int./Div.	J	T	Buy	05/19/17	J		
526. xPOOL	A	Int./Div.	J	T	Buy	07/26/17	J		
527. xSLAB		None	J	T	Buy	08/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. xSNDR	A	Int./Div.	J	T	Buy	08/28/17	J		
529. xINN	A	Int./Div.	J	T	Buy	05/19/17	J		
530.					Buy (add'l)	12/04/17	J		
531. xMIK		None	J	T	Buy	05/19/17	J		
532. xWAL		None	J	T	Buy	09/05/17	J		
533.									
534. SSGAMoney Market Fund	A	Int./Div.	J	T					
535.									
536.									
537. NC HSG FIN BONDS	A	Interest			Redeemed	01/19/17	K	B	
538. Highwoods Pptys Inc	A	Dividend	K	T					
539. Hooker Furniture	A	Dividend	K	T					
540. Equitey One Inc	A	Dividend			Merged (with line 541)	03/03/17	K		
541. xRegency CTRS Corp* (see merger)	A	Int./Div.	K	T					
542. Carter Bank and Trust		None	L	T					
543. Calamos Growth Mutual Fund A	A	Int./Div.	K	T					
544. Mutual Series Mutual Shares A	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. Tempelton Growth Mutual Fund A	A	Int./Div.	K	T					
546. Franklin Income Mutual Fund A	A	Int./Div.	K	T					
547. VFA-IRA Alliance Bernstein International Value	A	Int./Div.			Sold	02/02/17	M	D	
548. Valic Freedom Plus IRA Annuity (Portfolio Director Fixed and Variable)	A	Int./Div.	L	T					
549. AIG 401K									
550. BSCFX	A	Int./Div.	K	T					
551. JNTFX	A	Int./Div.	K	T					
552. VPMCX	A	Int./Div.	K	T					
553. VEXMX	A	Int./Div.	K	T					
554. FTSE	A	Int./Div.	K	T					
555. TGVAX	A	Int./Div.	K	T					
556. VINIX	A	Int./Div.	K	T					
557. VWELX	A	Int./Div.	K	T					
558. MEIAX	A	Int./Div.	K	T					
559. JSIVX	A	Int./Div.	J	T					
560. VBMFX	A	Int./Div.	K	T					
561. AIG Stable Value Fund	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. Sun America NQDA	A	Int./Div.	L	T					
563. Jackson National NQDA	A	Int./Div.	L	T					
564. VFA TransAmerica Laarge Growth	A	Int./Div.	N	T					
565. VFA Columbia Value	A	Int./Div.	N	T					
566. VFA Sterling Mid Cap Value	A	Int./Div.	N	T					
567. VFA GWK Small Core	A	Int./Div.	N	T					
568. VFA Nuveen Intermediate Muni	C	Interest	M	T					
569. VFA Intergrated Manager Account									
570. CVS	A	Dividend			Sold	02/10/17	J	C	
571. A	A	Dividend	J	T					
572. SLB	A	Dividend			Sold	07/20/17	J		
573. SBUX	A	Dividend	J	T					
574. V	A	Dividend	J	T					
575. MSFT	A	Dividend	K	T					
576. AMGN	A	Distribution	J	T					
577. ATVI	A	Int./Div.	J	T	Sold (part)	06/30/17	J	D	
578. BLK	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. CELG		None	J	T					
580. CERN		None	J	T					
581. CHKP		None	J	T					
582. DIS	A	Int./Div.	J	T					
583. ECL	A	Int./Div.			Sold	03/02/17	J	D	
584. GILD		None	K	T					
585. GOOG		None	J	T					
586. ORLY		None			Sold	06/29/17	J	D	
587. UTX	A	Int./Div.	J	T					
588. CRM		None	J	T					
589. LOW	A	Dividend	J	T					
590. NXPI		None	J	T					
591. FB		None	K	T					
592. NVDA	A	Dividend	J	T	Sold (part)	05/30/17	J	D	
593.					Sold (part)	10/31/17	J	D	
594.					Sold (part)	11/02/17	J	D	
595. RHT		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. AKAM		None	J	T					
597. TJX		None	J	T					
598. TSCO		None			Sold	04/21/17	J		
599. INTC	A	Int./Div.	J	T					
600. QCOM	A	Int./Div.			Sold	08/31/17	J	A	
601. NKE	A	Int./Div.	J	T					
602. AGN		None	J	T					
603. GOOGL		None	J	T					
604. APPL	A	Int./Div.	K	T					
605. NOC	A	Int./Div.	J	T					
606. SCHW		None	J	T					
607. ACHC		None			Sold	05/05/17	J		
608. HRS	A	Int./Div.	J	T					
609. INTU	A	Int./Div.	J	T					
610. LULU		None			Sold	03/15/17	J	A	
611. MLM		None	J	T					
612. WOOF		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. xXLNX	A	Int./Div.	J	T	Buy	01/09/17	J		
614. xAVGO	A	Int./Div.	K	T	Buy	01/09/17	J		
615. xLLL	A	Int./Div.	J	T	Buy	01/09/17	J		
616. xAMZN		None	K	T	Buy	03/14/17	J		
617. xUL	A	Int./Div.			Buy	03/07/17	J		
618.					Sold	09/19/17	J	B	
619. xAET		None	J	T	Buy	04/13/17	J		
620. xWM	A	Int./Div.	J	T	Buy	05/16/17	J		
621. xZTS	A	Int./Div.	J	T	Buy	06/09/17	J		
622. xPAWN		None	J	T	Buy	06/29/17	J		
623. xALB	A	Int./Div.	J	T	Buy	07/21/17	J		
624.					Buy (add'l)	08/23/17	J		
625. xTER	A	Int./Div.	J	T	Buy	07/27/17	J		
626. xQ *Name Change to IQV on 11/15		None	J	T	Buy	08/23/17	J		
627. xCOST	A	Int./Div.	J	T	Buy	09/19/17	J		
628. xWMT	A	Int./Div.	J	T	Buy	09/19/17	J		
629. xCSCO	A	Int./Div.	J	T	Buy	12/04/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. xDiversified equity mutual Funds									
631. xFSSVX	A	Int./Div.	K	T	Buy	02/03/17	J		
632. xFSIVX	B	Int./Div.	L	T	Buy	02/03/17	L		
633. xFUSVX	C	Int./Div.	M	T	Buy	02/03/17	M		
634. xPMDEX	A	Int./Div.	K	T	Buy	02/03/17	J		
635. xTWTIX	A	Int./Div.	K	T	Buy	02/03/17	J		
636. xWSMAX	A	Int./Div.	J	T	Buy	02/03/17	J		
637.									
638.									
639. SPDR (cash)	A	Interest	K	T					
640. Genworth Variable Life Insurance (Fixed Index Annuities)	A	Int./Div.	J	T					
641.									
642.									
643.									
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645.									
646.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Catharine R. Aron**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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