

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Covello, Alfred V.	2. Court or Organization U.S. District Court, CT	3. Date of Report 7/07/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 450 Main Street Hartford, CT 06103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	William & Alice Mortensen Foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/31/11	State of Connecticut Pension	\$111,597.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RMA Tax Free Money Market	A	Dividend	K	T					
2. Bk of New England CH. 7 Bankruptcy (8/23/2004)		None	J	U					
3. HP	A	Dividend	J	T					
4. A	A	Dividend	J	T					
5. SWK	A	Dividend	J	T					
6. MCD	A	Dividend	J	T					
7. PEP	A	Dividend	J	T					
8. SCI		None	J	T					
9. UBS IRA MMF	B	Dividend	J	T					
10. UBS Bank Deposit IRA	A	Int./Div.	J	T					
11. Real Estate, Bidderford, ME (1/2 Interest)	C	Rent	M	W					
12. Real Estate, Naple FL (1/2 Interest)	D	Rent	O	W					
13. Real Estate, Farmington CT	C	Rent	P1	W					
14. Schwab Brokerage #1									
15. T	A	Dividend	J	T					
16. AAPL		None	K	T					
17. Schwab Money Market (MMF)	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. CATO	A	Dividend	K	T					
19. DTE	A	Dividend	K	T					
20. ETN	A	Dividend	K	T					
21. GOOG		None	J	T					
22. GS	A	Dividend			Sold	11/04/11	K	A	
23. HPQ	A	Dividend	K	T					
24. IBM	A	Dividend	K	T					
25. KFT	A	Dividend	J	T					
26. MRK	A	Dividend	K	T					
27. NVT	A	Dividend	J	T					
28. PG	A	Dividend	J	T					
29. PM	A	Dividend	J	T					
30. PTV	A	Dividend	J	T					
31. SCHW	A	Dividend	J	T					
32. TNC	A	Dividend	K	T					
33. VZ	A	Dividend	J	T					
34. YUM	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. MA 5.5% Pt Auth Rev	B	Interest	K	T					
36. VFH	A	Dividend	K	T					
37. YUM	A	Dividend	K	T					
38. TRV	A	Dividend	K	T					
39. NKE	A	Dividend	J	T					
40. CT State Gen Rev 4%	B	Interest	L	T					
41. Stamford CT GO 4%	B	Interest	L	T					
42. VTI	A	Dividend	L	T					
43. VHT	A	Dividend	K	T					
44. CVY	A	Dividend	L	T					
45. XLE	A	Dividend	K	T	Sold (part)	02/07/11	J	D	
46. EFG	A	Dividend	K	T					
47. IJK	A	Dividend	K	T					
48. IJJ	A	Dividend	K	T					
49. IJT	A	Dividend	K	T					
50. IJS	A	Dividend	J	T					
51. IWB	A	Dividend	K	T					

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52. HYG	C	Int./Div.	L	T					
53. EMB	C	Int./Div.	L	T					
54. FXI	A	Dividend	K	T					
55. DBC	A	Dividend	K	T					
56. PID	B	Dividend	K	T					
57. SCHV	B	Dividend	K	T					
58. XLY	A	Dividend	K	T	Sold (part)	11/04/11	J	C	
59. XLB	A	Dividend	K	T					
60. XLK	A	Dividend	K	T					
61. SDY	B	Dividend	K	T					
62. VWO	A	Dividend	K	T					
63. VNQ	A	Dividend	K	T	Sold (part)	02/07/11	J	D	
64. BWX	A	Dividend	K	T	Buy	11/04/11	K		
65. CT GO 3.5%	A	Interest	K	T					
66. Pinal County AZ 5%	A	Interest	M	T					
67. NY City 5.25% GO	D	Interest	L	T					
68. Louisiana ST Correc 5%	B	Interest	K	T					

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69. Midland TX 5% GO	B	Interest	K	T					
70. Vernon CT 4% GO	C	Interest	M	T					
71. Citizens PPTY Fl Rev 5%	C	Interest	M	T					
72. Reagan Cty TX 4% GO	C	Interest	M	T					
73. Utah Wtr Auth Rev 5%	C	Interest	M	T					
74. Magnolia TX 5% GO	C	Interest	M	T					
75. NY State Dorm 5%	C	Interest	M	T					
76. Northside TX 3.5%GO	A	Interest	M	T					
77. Mass. State Health 5%	A	Interest	M	T					
78. CA State Econ 5%	B	Interest	L	T					
79. San Fran CA Rev 4%	B	Interest	K	T					
80. Tarrant County TX 5.75%	D	Interest	M	T					
81. UCONN GO 5%	B	Interest	K	T					
82. Glastonbury 4.5%	C	Interest	L	T					
83. Schwab Brokerage #2									
84. Schwab MMF	A	Dividend	K	T					
85. ACN	A	Dividend	J	T					

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86. AKZOY	A	Dividend	J	T					
87. AHKSY	A	Dividend			Sold	02/04/11	J	A	
88. BUD	A	Dividend	J	T					
89. ALU	A	Dividend	J	T					
90. BTE	A	Dividend	J	T	Buy	05/20/11	J		
91. MT	A	Dividend			Sold	04/21/11	J	A	
92. BASFY	A	Dividend	J	T	Buy	03/16/11	J		
93. BSBR	A	Dividend	J	T					
94. BACHY	A	Dividend			Sold	09/27/11	J	A	
95. BRGY	A	Dividend			Sold	07/12/11	J		
96. BJINY	A	Dividend			Sold	02/07/11	J	A	
97. BNPQY	A	Dividend			Sold	09/20/11	J	A	
98. BBL	A	Dividend	J	T	Buy	08/31/11	J		
99. BCS	A	Dividend			Sold	02/07/11	J	A	
100. BZLFY	A	Dividend	J	T					
101. BRDCY	A	Dividend	J	T	Buy	10/07/11	J		
102. CAJ	A	Dividend	J	T					

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103. CVE	A	Dividend	J	T					
104. CMXHY	A	Dividend	J	T	Buy	08/16/11	J		
105. CNIT		None			Sold	04/14/11	J	A	
106. CJREF	A	Dividend	J	T					
107. CHL		None	J	T					
108. CEO		None			Sold	05/20/11	J	A	
109. CS	A	Dividend	J	T					
110. DBOEY	A	Dividend	J	T					
111. DEO	A	Dividend	J	T					
112. ERIC	A	Dividend	J	T	Buy	08/12/11	J		
113. EGO		None			Sold	05/17/11	J	A	
114. EXPGY	A	Dividend	J	T					
115. FMS	A	Dividend	J	T					
116. FJTSY	A	Dividend			Sold	02/02/11	J	A	
117. GFA	A	Dividend	J	T	Buy	07/21/11	J		
118. GG	A	Dividend	J	T	Buy	08/05/11	J		
119. GVDNY	A	Dividend	J	T					

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120. IFPJY	A	Dividend	J	T	Buy	10/31/11	J		
121. JUPIY		None	J	T					
122. KDDIY		None	J	T					
123. KCRPY		None	J	T					
124. AHONY	A	Dividend	J	T					
125. LRLCY	A	Dividend	J	T	Buy	09/08/11	J		
126. LNEGY	A	Dividend	J	T					
127. LVMUY	A	Dividend	J	T					
128. MTNOY		None	J	T					
129. MKTAY		None			Sold	11/11/11	J	A	
130. NSRGY	A	Dividend	J	T					
131. NGD	A	Dividend	J	T	Buy	08/09/11	J		
132. NRBAY	A	Dividend	J	T	Buy	03/24/11	J		
133. NVS	A	Dividend	J	T					
134. NVO		None	J	T					
135. DCM	A	Dividend	J	T	Buy	09/22/11	J		
136. NXPI	A	Dividend	J	T	Buy	04/04/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. OGXPY	A	Dividend	J	T	Buy	07/14/11	J		
138. PDS	A	Dividend	J	T	Buy	06/24/11	J		
139. PWE	A	Dividend	J	T	Buy	02/10/11	J		
140. RUK	A	Dividend	J	T					
141. RIO	A	Dividend	J	T	Buy	11/11/11	J		
142. RHHBY	A	Dividend	J	T					
143. RDSA	A	Dividend			Sold	02/11/11	J	A	
144. SAP		None	J	T					
145. SGPPY	A	Dividend	J	T					
146. SVNDY	A	Dividend			Sold	09/16/11	J	A	
147. SBGSY	A	Dividend	J	T					
148. SGSOY	A	Dividend	J	T					
149. SI	A	Dividend			Sold	06/27/11	J	A	
150. SNN	A	Dividend			Sold	03/16/11	J	A	
151. SNE	A	Dividend			Sold	02/04/11	J	A	
152. SQM	A	Dividend	J	T					
153. SDXAY	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. SLW		None			Sold	05/13/11	J	A	
155. STD	A	Dividend			Sold	07/28/11	J	A	
156. SUHJY	A	Dividend	J	T					
157. SWBDY	A	Dividend			Sold	03/25/11	J	A	
158. TCK		None			Sold	06/17/11	J	A	
159. TEVA		None			Sold	03/16/11	J	A	
160. TSCDY	A	Dividend	J	T					
161. TNTTY	A	Dividend			Sold	07/14/11	J	A	
162. TKGBY	A	Dividend	J	T					
163. UGP		None			Sold	06/17/11	J	A	
164. UN		None	J	T					
165. VLECY	A	Dividend			Sold	09/08/11	J	A	
166. VOD	A	Dividend	J	T					
167. WSH		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Alfred V. Covello**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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